

DTA Brochure Supplement
Form ADV, Part 2B
Item 1



Teplitz Financial Group LLC, a Registered Investment Adviser
3 Jocama Blvd., Suite 200A
Old Bridge, NJ. 08857
(732) 591-0909

Name: Ari Teplitz, CRD No. 5816950

Office Address: 3 Jocama Blvd., Suite 200A, Old Bridge, NJ. 08857
Office Phone Number: (732) 591-0909
Email Address: ateplitz@teplitzfinancial.com

Brochure Supplement Date: March 02, 2023

This Brochure Supplement provides information about Daniel Teplitz, your Investment Adviser Representative ("IAR") that supplements TFG's Form ADV, Part 2A Brochure. Please contact TFG if you have any questions regarding this supplement.

Additional information about your IAR is available on the SEC's website at www.adviserinfo.sec.gov.

This Brochure Supplement has not been approved by the SEC or any state securities authority. The terms "registered investment adviser" and "investment adviser representative" do not mean that any particular training level or skill has been reached by the entity or person using the term. Those terms only mean that entity or person is registered with the SEC or a state securities authority.

Your IAR's Year of Birth: 1978

Item 2 - Educational Background and Business Experience

Educational Background (School, Degree Earned)

University of Massachusetts, 2000, MS
Yale University, 2005, MFA

Business Experience (Employer Name, Position, Title and Date of Employment)

Teplitz Financial Group, LLC Partner/Managing Member, 01/2019 – Present
American Portfolios Financial Services, Registered Representative, 07/2014-Present
American Portfolios Advisors, Investment Advisor Representative, 07/2014 – 12/31/2018
NYLIFE Securities, Registered Representative, 06/2010-07/2014

Professional Designations (Name of professional designation, criteria for designation)

Certified Financial Planner (CFP)
Chartered Financial Consultant (ChFC)
FINRA: Series 6, 7, 63, 66
Insurance Licensed: Life, Health & Property & Casualty & Annuities (FL, NJ, NY, PA, CA)

Professional Certifications

Employees have earned certifications and credentials that are required to be explained in further detail.

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Certified Financial Planner (CFP)

Certified Financial Planners are licensed by the CFP Board to use the CFP mark.

CFP certification requirements:

- Bachelor's degree from an accredited college or university.
- Completion of the financial planning education requirements set by the CFP Board (www.cfp.net).
- Successful completion of the 10-hour CFP® Certification Exam.
- Three-year qualifying full-time work experience.
- Successfully pass the Candidate Fitness Standards and background check.

Continuing Education Requirements:

CFP® professionals are required to complete 30 hours of continuing education (CE) each reporting period - 2 hours of CFP Board approved Ethics CE and 28 hours of CE covering one or more of CFP Board's Principal Topics. The CE requirement is effective immediately upon initial certification, or 12 months after successful completion of the CFP® examination if the Experience and/or Degree requirements have not been met.

Chartered Financial Consultant (ChFC)

A professional designation representing completion of a comprehensive course consisting of financial education, examinations and practical experience. Chartered Financial Consultant designations are granted by The American College upon completion of seven required courses and two elective courses. Those who earn the designation are understood to be knowledgeable in financial matters and to have the ability to provide sound advice.

Continuing Education Requirements:

As part of the Professional Recertification Program, client-facing designees will be required to complete 30 hours of CE every two years. At least one hour of ethics CE will be required as part of that total. The CE requirement must be completed every two years and reported by the end of the second year. All eligible College-delivered CE will be added automatically to the designee's records. CE credit that is taken outside of The College is self-reported; designees are required to maintain appropriate documentation that substantiates the validity of any CE credit reported for the Professional Recertification Program. This is important in the event the designee is subject to The College's audit process.

Item 3 - Disciplinary Information

- A. Mr. Teplitz has not been the subject of a criminal or civil action in a domestic, foreign or military court of competent jurisdiction, or
- B. An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority, or
- C. Any self-regulatory organization (SRO) proceedings, or
- D. Any other hearing or formal adjudication in which a professional attainment, designation, or license of Mr. Teplitz was revoked or suspended because of a violation of rules relating to professional conduct.

Item 4 - Other Business Activities

Mr. Teplitz is a registered representative ("RR") of American Portfolios Financial Services, Inc. ("APFS"). However, Mr. Teplitz, in his capacity as an RR, is authorized to offer you certain products issued or offered by companies other than APFS ("non-APFS products"). In addition, Mr. Teplitz is a licensed insurance agent. You are not obligated to purchase insurance or securities products through Mr. Teplitz. However, if you implement insurance recommendations through him, he will receive commissions. The insurance business is an incidental part of his business and the amount of income he receives from insurance business fluctuates depending on the amount of sales. You are advised there may be other insurance products and services available through other insurance professionals at a lower cost than those products available through Mr. Teplitz. Mr. Teplitz spends approximately 2% of his time engaged in insurance activities.

In addition, Mr. Teplitz, as an RR, also may service your securities or insurance products on behalf of the company issuing the product. Depending on his or her capacity, is compensated by APFS, for sale, renewal and servicing of certain authorized non-APFS products. This compensation includes base commissions and other forms of compensation that may vary from product to product.

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You should be aware that depending on his capacity, may have an incentive to recommend certain products rather than others, based on the compensation that he or she will receive.

This potential conflict of interest is addressed by APFS through communications to, and training and supervision of its RRs, and by providing disclosure to the client of specific conflicts as part of the documentation provided to each client at the time of the product sale. Additionally, the components of the investment portfolio of each client are compared with the client's needs by supervisory personnel overseeing your RR's activities.

Name of Outside Business Activity. Nature of Outside Business Activity

American Portfolios Financial Services, Inc.
Registered Representative
Commission-based investment products & services
Board Member, Theatre Development Fund
Board Member, Marlboro Jewish Center

Item 5 - Additional Compensation

TFG and/or its IARs do not receive an economic benefit for providing advisory services. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include the supervised person's regular salary.

Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

Item 6 - Supervisory Information

Your IAR's conduct and activities with public customers as an RR are supervised by a supervisory representative of APFS Investments, Inc, at or through the local branch office location, a regional office and/or the Home Office. This supervision takes place through personal observation, electronic monitoring, the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: Daniel Teplitz, Member
Supervisor's Telephone Number: (732) 591-0909

Item 7 - Requirements for State-Registered Advisers

Ari Teplitz has not paid an award or otherwise been found liable in an arbitration claim alleging damages in excess of \$2,500 or in a civil, self-regulatory organization, or administrative proceeding.

Ari Teplitz has not been the subject of a bankruptcy petition.

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Teplitz Financial Group LLC, a Registered Investment Adviser
3 Jocama Blvd., Suite 200A
Old Bridge, NJ. 08857
(732) 591-0909

Name: Daniel Teplitz, CRD No. 1011634

Office Address: 3 Jocama Blvd., Suite 200A, Old Bridge, NJ. 08857
Office Phone Number: (732) 591-0909
Email Address: dteplitz@danielteplitz.com

Brochure Supplement Date: March 02, 2023

This Brochure Supplement provides information about Daniel Teplitz, your Investment Adviser Representative ("IAR") that supplements TFG's Form ADV, Part 2A Brochure. Please contact TFG if you have any questions regarding this supplement.

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Your IAR's Year of Birth: 1948

Item 2 - Educational Background and Business Experience

Educational Background (School, Degree Earned)

JTS – 1975, MA
JTS – 1969, BHL
Columbia University- 1969, BA

Business Experience (Employer Name, Position, Title and Date of Employment)

Teplitz Financial Group LLC, Partner, 09/2002 – Present
American Portfolios Financial Services, Inc., Registered Representative, 07/2005 – Present
Daniel Teplitz & Associates, Financial Planner, 01/1986 – Present
Walnut Street Securities, Inc., Investment Adviser Rep & Registered Representative, 08/2003 – 07/2005
Nathan & Lewis Securities, Investment Advisory Agent, 09/1986 – 08/2003
Nathan & Lewis Securities, Registered Representative, 09/1985 – 08/2003
Park Avenue Securities, Registered Representative, 05/1999 – 12/2001
Guardian Life Insurance, Field Representative, 07/1981 – 12/2000

Professional Designations (Name of professional designation, criteria for designation)

Certified Financial Planner (CFP)
Chartered Life Underwriter (CLU)
Chartered Financial Consultant (ChFC)
FINRA: Series 7, 24, 63, 66
Insurance Licensed: Life, Health & Property & Casualty & Annuities (FL, NJ, NY)

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Professional Certifications

Employees have earned certifications and credentials that are required to be explained in further detail.

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- Bachelor's degree from an accredited college or university.
- Completion of the financial planning education requirements set by the CFP Board (www.cfp.net).
- Successful completion of the 10-hour CFP® Certification Exam.
- Three-year qualifying full-time work experience.
- Successfully pass the Candidate Fitness Standards and background check.

Continuing Education Requirements:

CFP® professionals are required to complete 30 hours of continuing education (CE) each reporting period - 2 hours of CFP Board approved Ethics CE and 28 hours of CE covering one or more of CFP Board's Principal Topics. The CE requirement is effective immediately upon initial certification, or 12 months after successful completion of the CFP® examination if the Experience and/or Degree requirements have not been met.

Chartered Life Underwriter (CLU)

A professional designation for individuals who wish to specialize in life insurance and estate planning. Individuals must complete five core courses and three elective courses, and successfully pass all eight two hour, 100-question examinations in order to receive the designation.

Continuing Education Requirements:

As part of the Professional Recertification Program, client-facing designees will be required to complete 30 hours of CE every two years. At least one hour of ethics CE will be required as part of that total. The CE requirement must be completed every two years and reported by the end of the second year. All eligible College-delivered CE will be added automatically to the designee's records. CE credit that is taken outside of The College is self-reported; designees are required to maintain appropriate documentation that substantiates the validity of any CE credit reported for the Professional Recertification Program. This is important in the event the designee is subject to The College's audit process.

Chartered Financial Consultant (ChFC)

A professional designation representing completion of a comprehensive course consisting of financial education, examinations and practical experience. Chartered Financial Consultant designations are granted by The American College upon completion of seven required courses and two elective courses. Those who earn the designation are understood to be knowledgeable in financial matters and to have the ability to provide sound advice.

Continuing Education Requirements:

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Item 3 - Disciplinary Information

- A. Mr. Teplitz has not been the subject of a criminal or civil action in a domestic, foreign or military court of competent jurisdiction, or
- B. An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority, or
- C. Any self-regulatory organization (SRO) proceedings, or
- D. Any other hearing or formal adjudication in which a professional attainment, designation, or license of Mr. Teplitz was revoked or suspended because of a violation of rules relating to professional conduct.

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Item 4 - Other Business Activities

Mr. Teplitz is a registered representative ("RR") of American Portfolios Financial Services, Inc. ("APFS"). However, Mr. Teplitz, in his capacity as an RR, is authorized to offer you certain products issued or offered by companies other than APFS ("non-APFS products"). In addition, Mr. Teplitz is a licensed insurance agent. You are not obligated to purchase insurance or securities products through Mr. Teplitz. However, if you implement insurance recommendations through him, he will receive commissions. The insurance business is an incidental part of his business and the amount of income he receives from insurance business fluctuates depending on the amount of sales. You are advised there may be other insurance products and services available through other insurance professionals at a lower cost than those products available through Mr. Teplitz. Mr. Teplitz spends approximately 2% of his time engaged in insurance activities.

In addition, Mr. Teplitz, as an RR, also may service your securities or insurance products on behalf of the company issuing the product. Depending on his or her capacity, is compensated by APFS, for sale, renewal and servicing of certain authorized non-APFS products. This compensation includes base commissions and other forms of compensation that may vary from product to product.

You should be aware that depending on his capacity, may have an incentive to recommend certain products rather than others, based on the compensation that he or she will receive.

This potential conflict of interest is addressed by APFS through communications to, and training and supervision of its RRs, and by providing disclosure to the client of specific conflicts as part of the documentation provided to each client at the time of the product sale. Additionally, the components of the investment portfolio of each client are compared with the client's needs by supervisory personnel overseeing your RR's activities.

Name of Outside Business Activity, Nature of Outside Business Activity

American Portfolios Financial Services, Inc.
Registered Representative
Commission-based investment products & services

Item 5 - Additional Compensation

TFG and/or its IARs do not receive an economic benefit for providing advisory services. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include the supervised person's regular salary.

Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

Item 6 - Supervisory Information

Your IAR's conduct and activities with public customers as an RR are supervised by a supervisory representative of APFS Investments, Inc, at or through the local branch office location, a regional office and/or the Home Office. This supervision takes place through personal observation, electronic monitoring, the review of written materials and/or other appropriate practices.

Supervisor's Name, Title: Daniel Teplitz, Managing Member/CCO
Supervisor's Telephone Number: (732) 591-0909

Item 7 - Requirements for State-Registered Advisers

Daniel Teplitz has not paid an award or otherwise been found liable in an arbitration claim alleging damages in excess of \$2,500 or in a civil, self-regulatory organization, or administrative proceeding.

Daniel Teplitz has not been the subject of a bankruptcy petition.