

**Item 1: Cover Page**

**COPLEY INVESTMENT MANAGEMENT, LLC**

**Justin C. Burgess**

**ADV2  
BROCHURE**

**SUPPLEMENT**

**This part of the ADV gives information about the name of CIM's supervised person and  
for the use of  
clients.**

**Web Address: [www.copleyinvestmentmanagement.com](http://www.copleyinvestmentmanagement.com)  
12/30/22**

(This brochure has been compiled by Copley Investment Management, LLC and has not been approved by the Commission  
or any state security authority)

**2328 Lynnwood Drive, Wilmington, NC 28403  
PO Box 16090, Wilmington, NC 28408  
Office: 910-612-4060; 910-452-7147**

## **Item 2: Education Background and Business Experience**

Justin C. Burgess (personal CRD# 6260117) provides and formulates investment advice for clients and has direct client contacts. Mr. Burgess was born on 6/10/1988 in Henderson, North Carolina.

Justin Burgess joined Copley Investment Management (CIM) in January of 2015. Prior to that, Justin was a financial advisor with Nabell Winslow Investments and Wealth Management, also in Wilmington, NC. He worked with First Bank in Wilmington from 2010-2014 as a loan underwriter and customer service representative.

### **Education & Training**

- BS in Business Administration, University of North Carolina at Wilmington (2010)

### **Past and Present Appointments**

- North Carolina State Board of CPA Examiners, Public Member (July 2015 – 2019)

## **Item 3: Disciplinary Information**

Justin C. Burgess has not been involved in any disciplinary events.

- He has never been involved in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction.
- Justin C. Burgess was never convicted of, or pled guilty or (“no contest”) to (a) any felony; (b) misdemeanor that involved investments or investment- related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offense
- He has never been named subject of a pending criminal proceeding that involves an investment-related business.
- Justin C. Burgess has never been involved in any investment-related statute or regulation; or was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting him from engaging in any investment-related activities.

Mr. Burgess has never been involved in any administrative proceeding before the SEC or any other federal regulatory agency, any state regulatory agency, or any foreign regulatory authority in which he was found to have caused an investment-related business to lose its authorization to do business.

- Neither CIM nor Justin C. Burgess has never been imposed a civil penalty.
- His license has not been denied, suspended, or revoked to act in an investment related business.

There have been no self-regulatory organization (SRO) proceedings in which Mr. Burgess was involved.

**Item: 4 Other Business Activities**

- Justin C. Burgess's primary business is financial advisor with Copley Investment Management.

**Item 5: Additional Compensation**

- Justin Burgess and Copley Investment Management do not accept any Performance Base Fees. CIM does not pay for referrals.

**Item 6: Supervision**

- Justin C. Burgess is supervised by Dr. Ronald E. Copley, President and Principal of Copley Investment Management.

**Item 7: Requirements for State-Registered Advisors**

- Justin C. Burgess has never been found liable in an arbitration claim alleging damages involving any investment actives.
- Mr. Burgess has not been involved in an award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - Investment-related business or activities;
  - Fraud, false statement(s), or omissions;
  - Theft, embezzlement, or other wrongful taking of property;
- Bribery, forgery, counterfeiting, or extortion or dishonest, unfair, or unethical practices.