

**Form ADV Part 2B – Brochure Supplement**

**BRADLEY E. COHEN**

**NORTH STAR INVESTMENT MANAGEMENT  
CORPORATION**

20 N. Wacker Drive  
Suite 1416  
Chicago, Illinois 60606  
Telephone: 312.580.0900

Date of Supplement: March 20, 2023

**This Brochure Supplement provides information about Mr. Brad Cohen that supplements North Star Investment Management Corporation's Brochure. You should have received a copy of that Brochure. Please contact the Firm's Chief Compliance Officer at the number provided above if you have not received our Brochure or if you have any questions about the content of this Supplement.**

**Additional information about Mr. Cohen is available on the SEC's Web site at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 – Educational Background and Business Experience**

Registered investment advisers are required to disclose in this Item the supervised person's name, year of birth, educational background, and business background. Following is the information responsive to this requirement:

Name of Supervised Person: Bradley Cohen

Year of Birth: 1968

Formal Education: Mr. Cohen graduated from the University of Maryland and received his J.D. from Marquette Law School.

Business Experience: Mr. Cohen joined North Star Investment Management Corporation ("NSIMC") in April of 2006 and is a Portfolio Manager. He was registered with North Star Investment Services, Inc. ("NSISI") from October of 2006 until October 2016. He was registered with Mid Atlantic Capital Corporation ("MACC") from October 2016 until December 2018. Mr. Cohen also is a Portfolio Manager for the North Star Opportunity Fund and North Star Bond Fund. Prior to joining NSIMC, Mr. Cohen had 13 years of securities experience as a member, specialist, and trader on the Chicago Stock Exchange, most recently as co-owner of LaSalle Capital Partners, an OTC specialist firm.

## **Item 3 – Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that may be material to your evaluation of each supervised person providing investment advice. There is no information applicable to this requirement.

## **Item 4 – Other Business Activities**

Mr. Cohen devotes approximately 100 percent of his professional time to NSIMC. NSIMC may utilize the brokerage services of NewEdge Securities, Inc. to execute securities transactions on behalf of the advisory clients. NewEdge Securities, Inc. may also serve as the introducing broker/dealer for the North Star Funds (see Item 4C of the Brochure for a list of the North Star Funds).

Mr. Cohen is not actively engaged in any noninvestment-related business or occupation that represents a substantial source of his income or involves a substantial amount of his time.

## **Item 5 – Additional Compensation**

Mr. Cohen does not receive any compensation or additional economic benefits from any other third party for providing advisory services through NSIMC.

## **Item 6 – Supervision**

Mr. Cohen is supervised by Andrew Eisenberg, Chief Compliance Officer. Mr. Eisenberg reviews Mr. Cohen's work through client account reviews, transactions reports and face to face interactions. Mr. Eisenberg's telephone number is (312) 580-0900. Questions related to the operation of the Firm and the supervision of Mr. Cohen should be directed to Mr. Eisenberg.