

Form ADV Part 2B: Brochure Supplement



Item 1 – Cover Page

Scott F. Moller

Address:

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Contact Info:

Scott F. Moller

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Date of Supplement: March 2023

This brochure supplement provides information about Scott F. Moller that supplements the Heritage Capital Advisors, LLC (hereinafter HCA) disclosure brochure. You should have received a copy of that brochure. Please contact Scott F. Moller at 612-272-2913 or smoller@mollerleebarett.com if you did not receive HCA's brochure or if you have any questions about the contents of this supplement.

Additional information about Scott F. Moller is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Scott F. Moller

Born: 1964

CRD# 5022291

Education: B.A. Accounting, Bethel College, Arden Hills, MN (1986)

Business Background: Principal and Director, Heritage Capital Advisors, LLC, St Paul, MN, November 2001 to present; Partner, Moller & Barrett, LLP (f/k/a Brown & Moller LLP), St Paul, MN, November 1993 to December 2020. Partner, Moller, Lee & Barrett PLLC, St Paul, MN, January 2021 to Present.

Item 3 – Disciplinary Information

Scott F. Moller has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Moller, Lee & Barrett PLLC

Scott F. Moller, the sole principal/owner, Managing Member and investment adviser representative of HCA, is also a managing partner and an owner of Moller, Lee & Barrett, PLLC, through which Mr. Moller spends up to 50% of his time.

Clients needing assistance with tax preparation and/or accounting services will be referred to Moller, Lee & Barrett, PLLC but are not obligated to use its services.

Moller, Lee & Barrett, PLLC provides advanced tax strategies and tax planning, bookkeeping, tax return services, and business advisory services. HCA clients in need of such services will be referred to Moller, Lee & Barrett, PLLC.

Clients should also understand that we have a conflict of interest to recommend Moller, Lee & Barrett, PLLC because Scott F. Moller is the owner of HCA and an owner of Moller, Lee & Barrett, PLLC. Although, HCA receives no compensation or referral fees for recommending clients to Moller, Lee & Barrett, PLLC, Scott F. Moller and other personnel have a financial incentive to recommend Moller, Lee & Barrett, PLLC over other providers offering the same or similar services.

You are not required or obligated to use Moller, Lee & Barrett, PLLC and instead can work with any accounting firm or other tax preparation service provider of your choosing. There are other firms that may be more appropriate for your tax planning needs and other firms that charge lower fees for such services. You are encouraged to conduct your due diligence before deciding to work with Moller, Lee & Barrett, PLLC or any other service provider recommended by our firm.

To the extent that you personally engage Moller, Lee & Barrett, PLLC, you will be responsible for the payment of the fees for their services and HCA will not be required to reimburse you for such payments. Fees for the services of Moller, Lee & Barrett, PLLC will be in addition to and separate from the fees charged by HCA and you will be responsible for the payment of the fees for the services of Moller, Lee & Barrett, PLLC. In no event will the services of Moller, Lee & Barrett, PLLC be engaged without your express approval.

Heritage Ventures, LLC

Scott Moller is also the owner of Heritage Ventures, LLC which owns and operates an equipment leasing company, a beef cattle operation and a company that invests in farm real estate.

Item 5 – Additional Compensation

Other than his normal salary and receipt of profits as an owner of the firm, Scott F. Moller receives no other compensation in connection with investment advisory services offered through HCA.

Item 6 – Supervision

Scott Moller is also the Chief Compliance Officer of HCA. He is responsible for overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives. He can be contacted at 612-272-2913..

Item 7 – Requirements for State-Registered Advisers

Scott F. Moller has not been involved in an arbitration award and has not been found liable in an arbitration claim alleging damages in excess of \$2,500. He has not been involved in any award or found liable in any civil, self-regulatory organization, or administrative proceeding. Additionally, he has not been the subject of a bankruptcy petition.