

**Part 2B of Form ADV: Brochure Supplement**

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This brochure supplement provides information about Andrew P. Loechl, CFA that supplements the Eagle Harbor Asset Management, Inc brochure. You should have received a copy of that brochure. Please contact Andrew P. Loechl if you did not receive Eagle Harbor Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Andrew P. Loechl is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## **Item 2 Educational Background and Business Experience**

Andrew P. Loechl, CFA\*

Born July 17, 1960

\* The CFA designation is a professional certification awarded to candidates by the CFA Institute (formerly AIMR). Candidates must complete three levels each culminating in a six-hour exam, have four years of qualified investment experience, and are obligated to adhere to the CFA Institute's Code of Ethics and Standards of Professional Conduct.

### **EDUCATION**

University of Illinois, Champaign-Urbana, B.S. - Finance, 1982

DePaul University, MBA - Finance, 1986

### **BUSINESS BACKGROUND**

August 2000 - present

**Eagle Harbor Asset Management, Inc.** Seattle, WA

Portfolio Manager; President as of January 2011; Chief Compliance Officer 2009 - 2015

January 1998 – July 2000

**Laird Norton Trust Company**, Seattle, WA

Vice President and Senior Portfolio Manager

May 1993 – January 1998

**Highmark Capital Management**, div. of Union Bank, Seattle, WA

Vice President and Portfolio Manager

### **PROFESSIONAL ASSOCIATIONS**

Chartered Financial Analyst [CFA]; Member, CFA Institute 1993

Board Member, CFA Seattle July 2009 to July 2016

President, CFA Seattle July 2014 to July 2015

Vice President, CFA Seattle July 2012 to June 2014

Treasurer, CFA Seattle, July 2011 – July 2012

Trustee, CFA Seattle, July 2009 – July 2011

### Item 3 Disciplinary Information

Andrew P. Loechl has had no legal or disciplinary history or events as described in A, B, C, and D below.

A. A criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the *supervised person* 1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any *felony*; (b) a *misdemeanor* that *involved* investments or an *investment-related* business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses; 2. is the named subject of a pending criminal *proceeding* that involves an *investment-related* business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses; 3. was *found* to have been *involved* in a violation of an *investment-related* statute or regulation; or 4. was the subject of any *order*, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the *supervised person* from engaging in any *investment-related* activity, or from violating any *investment-related* statute, rule, or *order*.

B. An administrative *proceeding* before the SEC, any other federal regulatory agency, any state regulatory agency, or any *foreign financial regulatory authority* in which the *supervised person* 1. was *found* to have caused an *investment-related* business to lose its authorization to do business; or 2. was *found* to have been *involved* in a violation of an *investment-related* statute or regulation and was the subject of an *order* by the agency or authority (a) denying, suspending, or revoking the authorization of the *supervised person* to act in an *investment-related* business; (b) barring or suspending the *supervised person's* association with an *investment-related* business; (c) otherwise significantly limiting the *supervised person's investment-related* activities; or (d) imposing a civil money penalty of more than \$2,500 on the *supervised person*.

C. A *self-regulatory organization (SRO) proceeding* in which the *supervised person* 1. was *found* to have caused an *investment-related* business to lose its authorization to do business; or 2. was *found* to have been *involved* in a violation of the *SRO's* rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from *investment-related* activities; or (iii) fined more than \$2,500.

D. Any other *proceeding* in which a professional attainment, designation, or license of the *supervised person* was revoked or suspended because of a violation of rules relating to professional conduct. If the *supervised person* resigned (or otherwise relinquished his attainment, designation, or license) in anticipation of such a *proceeding* (and the adviser knows, or should have known, of such resignation or relinquishment), disclose the event.

#### **Item 4 Other Business Activities**

A. Investment Related Business

Andrew P. Loechl provides backup service in the event of vacation, illness and or death for Eric Turloff of Turloff Financial.

B. Any Other Business or Occupation

Investment Committee Member for *Islandwood*, a non-profit environmental education organization on Bainbridge Island, WA. Non paid volunteer role participating in the oversight of the endowment funds investment manager. The time commitment is a one-hour meeting every three months as long as there are no conflicts with Eagle Harbor Asset Management, Inc. activities.

#### **Item 5 Additional Compensation**

Andrew P. Loechl derives no additional compensation outside of client fees at Eagle Harbor Asset Management, Inc.

#### **Item 6 Supervision**

Andrew P. Loechl is supervised by the Chief Compliance Officer, Brian Rowe, CFA. Andrew P. Loechl is required to follow and abide by the compliance and policy procedures of Eagle Harbor Asset Management, Inc. as stipulated by law, and the SEC.

#### **Item 7 Arbitration and Other Claims**

A. In addition to the events listed in Item 3, Andrew P. Loechl has **not** been *involved* in any of the following events listed below:

1. An award or otherwise being *found* liable in an arbitration claim alleging damages in excess of \$2,500, *involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. An award or otherwise being *found* liable in a civil, *self-regulatory organization*, or administrative *proceeding involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

B. Andrew P. Loechl has not been a subject in a bankruptcy petition