

**Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
April 2023**

Oscar Pina

**Ascent Wealth Management Group, LLC
4000 Ponce de Leon Blvd, Suite 470
Coral Gables, FL 33146
www.ascentwealthmanagementgroup.com**

**Firm Contact:
Nicholas Karkanen
Chief Compliance Officer**

This brochure supplement provides information about Mr. Pina that supplements our brochure. You should have received a copy of that brochure. Please contact Nicholas Karkanen if you did not receive Ascent Wealth Management Group, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Pina is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #1528635.

Item 2: Educational Background & Business Experience

Oscar Pina

Year of Birth: 1960

Educational Background:

- 1983: University of Miami; Bachelor's degree in Finance

Business Background:

- 04/2023 – Present Ascent Wealth Management Group, LLC; President & Chief Compliance Officer
- 08/2014 – Present Pina & Associates; Managing Member
- 09/2013 – 04/2023 Ameriprise Financial Services, Inc.; Investment Adviser Representative

Commented [NK1]: What month should be listed here?

Exams, Licenses & Other Professional Designations:

- 2013: Series 63 Exam
- 2002: Series 7 Exam
- 2001: Property and Casualty 220 Exam
- 2001: Chartered Financial Consultant® (ChFC®)
- 1986: Series 6 Exam
- 1986: Life & Health 218 Exam

Accredited Portfolio Management Advisor (APMA®):

Individuals who hold the APMA® designation have completed a course of study encompassing client assessment and suitability, risk/return, investment objectives, bond and equity portfolios, modern portfolio theory and investor psychology. Students have hands-on practice in analyzing investment policy statements, building portfolios, and making asset allocation decisions including sell, hold, and buy decisions within a client's portfolio. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations. All designees have agreed to adhere to *Standards of Professional Conduct* and are subject to a disciplinary process. Designees renew their designation every 2 years by completing 16 hours of continuing education, reaffirming adherence to the *Standards of Professional Conduct* and complying with self-disclosure requirements.

Chartered Financial Consultant® (ChFC®)

The ChFC® designation is offered by The American College. Designation holders are required to serve clients with the highest level of professionalism. The authority to use the ChFC® mark is granted by the Certification Committee of the Board of Trustees of The American College, and that privilege is contingent on adherence to strict ethical guidelines. All ChFC® advisors are required to do the same for clients that they would do for themselves in similar circumstances, the standard of ethical behavior most beneficial for their clients. Each ChFC® has taken 9 or more college-level courses on all aspects of financial planning. The average study time for the program is over 400 hours, and advisors frequently spend years earning this coveted distinction. Each ChFC® must also complete a

minimum of 30 hours of continuing education every two years and must meet extensive experience requirements to ensure that you get the professional financial advice you need.

Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Mr. Pina.

Item 4: Other Business Activities

Mr. Pina is a licensed insurance agent/broker. He may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Pina, as a fiduciary, will act in the client's best interest.

Item 5: Additional Compensation

Mr. Pina does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Nicholas Karkanen, Chief Compliance Officer of Ascent Wealth Management Group, LLC, supervises and monitors Mr. Pina's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Nicholas Karkanen if you have any questions about Mr. Pina's brochure supplement at 305-444-7317.

Item 7: Requirements for State-Registered Advisers

Mr. Pina has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.