

# Brochure Supplement

## Cynthia A. Simpson

DOB: 3/8/1962



### WesBanco Securities, Inc.

1 Bank Plaza

Wheeling WV 26003

304-231-1200

800-368-3369

<http://www.wesbanco.com/WealthManagement/Brokerage/Index.aspx>

#### **PURPOSE OF THE BROCHURE SUPPLEMENT:**

This *BROCHURE SUPPLEMENT* provides information about Cynthia Simpson that supplements the WesBanco Securities, Inc. *FIRM BROCHURE* document. You should have received a copy of the *FIRM BROCHURE*. Please contact Cynthia Simpson if you did not receive WesBanco Securities, Inc. *FIRM BROCHURE* or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Cynthia Simpson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Cynthia Simpson's CRD # is 6675799.

#### **NOTE:**

While WesBanco Securities, Inc. may refer to itself as a "registered investment advisor" or "registered", clients should be aware that registration itself does not imply any level of skill or training.

#### **Educational & Business Experience**

##### Education

- B.S. Mechanical Engineering, West Virginia University, 4 years

##### Business Background

- WesBanco Securities, Inc., March 2019 to Present
- Merrill Lynch Wealth Management, June 2016 – March 2019
- Visiting Angels, March 2016 – June 2016
- Bankers Life and Casualty, October 2015 – May 2016
- CONSOL Energy Inc., January 2013 – April 2015
- CNX Marine Terminals Inc., May 1984 – January 2013

#### **Disciplinary Information**

Cynthia Simpson has not had any legal or disciplinary events in the past. Clients and prospective clients can view the CRD records (registration records) for Mrs. Simpson through the SEC's Investment Adviser Public Disclosure (IAPD) website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) or FINRA's BrokerCheck database online at [www.finra.org/brokercheck](http://www.finra.org/brokercheck). The CRD number for Cynthia Simpson is #6675799.

### **Other Business Activities**

In addition to being an Investment Advisor Representative (hereafter “IA Rep”) of WesBanco Securities, Inc. (Hereafter “WSI Advisor”), Mrs. Simpson is licensed as an insurance agent.

Mrs. Simpson may make recommendations on insurance products and may sell those recommended insurance products to advisory clients. When such recommendations or sales are made, a conflict of interest exists as Mrs. Simpson may earn insurance commissions for the sale of those products, which may create an incentive to recommend such products. WSI Advisor requires that Mrs. Simpson disclose this conflict of interest when such recommendations are made. Also, WSI Advisor requires Mrs. Simpson to disclose that advisory clients may purchase recommended insurance products from other insurance agents not affiliated with WSI Advisor.

### **Additional Compensation**

Aside from the sales commissions paid by insurance companies to Mrs. Simpson (see the “Other Business Activities” section above), Mrs. Simpson does not receive any additional compensation from non-clients for providing advisory services. All advisory compensation is paid by clients directly.

### **Supervision**

All activities of Mrs. Simpson as an IA Rep are reviewed by the Principals of the firm. Financial plan recommendations are reviewed by the Principal(s) before the plan is completed. For portfolio management recommendations, the Principal(s) review all recommended transactions at the end of each day. The Principal(s) review account documentation and activity periodically in addition to the quarterly calculation of advisory fees. Advisory clients may contact WSI Principals directly:

Rose L. Wilson

304 905 7215

### **Requirements for State-Registered Advisors**

WSI Advisor would be required to disclose additional information for Mrs. Simpson if either had ever been the subject of a bankruptcy petition or even been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply, WSI Advisor has no information to disclose in this regard. WSI is SEC registered, therefore, this does not apply.