

# **Carter, Terry & Company, Inc.**

**3060 Peachtree Road  
Suite 1200  
Atlanta, GA 30305  
404.364.2070**

**Jeffry Haspel**

## **ADV Part 2B Brochure Supplement**

March 22, 2023

This brochure supplement provides information about Jeffry Haspel that supplements the brochure for Carter, Terry & Company, Inc. (“Carter Terry”). You should have received a copy of that brochure. Please contact Belfield H. Carter Jr., Chief Compliance Officer, at 404-364-2070 if you did not receive a copy of our brochure or if you have any questions about the contents of this supplement.

Additional information about Jeffry Haspel, CRD #1934054, is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## ***Item 2 Educational Background and Business Experience***

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**Jeffry Haspel**

***Year of birth:*** 1951

***Formal education:***

✦ University of Oregon, B.S. Broadcast Communications 1975

***Business background:***

✦ Carter, Terry & Company, Inc.,  
Financial Advisor (5/2017 to Present)  
Wilbanks Securities, Inc/Wilbanks Advisory  
Financial Advisor, (3/2003 to 5/2017)

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## ***Item 3 Disciplinary Information***

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Mr. Haspel has not been the subject of any legal or disciplinary event that would be material to your evaluation of his professional integrity.

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## ***Item 4 Other Business Activities***

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In addition to being an investment advisor representative with Carter, Terry & Company, Inc. (“Carter Terry”), Mr. Haspel is also registered representative with Carter Terry, a registered broker/dealer. If you purchase products or services through Mr. Haspel in his capacity as a registered representative, Mr. Haspel may receive

commissions or other compensation. For example, should you purchase mutual funds through Mr. Haspel, he may receive 12b-1 fees, which are fees paid by mutual fund companies to broker-dealers for the on-going marketing of their investment products.

This additional compensation may present a conflict of interest because it creates an incentive to recommend products and services based upon compensation, rather than on your needs. Mr. Haspel will explain the costs associated with any recommendations he makes. You have no obligation to do business with Mr. Haspel in any of these capacities. Please be advised that Mr. Haspel strives to put his clients' interests first and foremost.

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### ***Item 5 Additional Compensation***

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Mr. Haspel receives additional compensation for his activities as a registered representative of a broker/dealer. This may also include applicable sales awards and other prizes. This compensation is described under "Other Business Activities" above.

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### ***Item 6 Supervision***

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Mr. Haspel is supervised by Belfield H. Carter Jr., Chief Compliance Officer. Mr. Carter may be reached at 404-364-2070.

We supervise Mr. Haspel by requiring that he adhere to our processes and procedures as described in our firm's *Code of Ethics* and Compliance Procedures.

Additionally, we will monitor Mr. Haspel's advisory activities on a periodic and ongoing basis by reviewing areas including, but not limited to, the following:

- Account opening documentation;
- Account transactions;
- Custodial information to assess account activity;
- Evidence that your financial situation, objectives, and individual investment needs are reviewed no less than annually; and
- Client communications.

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***Item 7. Requirements for State-Registered Advisers***

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Mr. Haspel has not been found liable in a civil, self-regulatory organization or administrative proceeding.

Mr. Haspel has not been the subject of a bankruptcy petition.