

# **RANDY J. BRUNNER**

**Wealth Advisor**  
**CRD# 3249765**

## **SBG WEALTH MANAGEMENT**

4001 Vineville Avenue

Macon, GA 31210-5039

478-474-7004

[randy@sbgwealth.com](mailto:randy@sbgwealth.com)

**February 28, 2023**

This Brochure supplement provides information about RANDY J. BRUNNER that supplements the SBG WEALTH MANAGEMENT ("SBG") brochure. You should have received a copy of that brochure. Please contact us at 478-474-7004 if you did not receive SBG WEALTH MANAGEMENT ("SBG")'s brochure or if you have any questions about the contents of this supplement.

Additional information about RANDY J. BRUNNER is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) and <http://SBGWealth.com>

## **Form ADV Part 2b Brochure Supplement**

### **Item 2 Educational Background and Business Experience**

Randy J Brunner, born in 1959, earned a B.S. in Applied Science from Miami University. He has been affiliated with SBG Wealth Management since December, 2015.

Mr. Brunner also works as an independent representative offering Merchant Services to retail and other clients.

Mr. Brunner currently holds the following FINRA securities professional licenses:

Series 7 – General Securities Representative

Series 66 – Securities Agent and Investment Advisor Representative

To maintain his Series 7 license, Mr. Brunner completes an annual firm element in addition to 24 hours of continuing education that includes 3 hours of ethics training every 3 years.

### **Item 3 Disciplinary Information**

Registered investment advisers are required to disclose certain material facts about its associated personnel regarding any legal or disciplinary events, including criminal or civil actions in a domestic, foreign or military court, or any proceeding before a state, federal or foreign regulatory agency, self-regulatory organization, or suspension or sanction by a professional association for violation of its conduct rules, that would be material to your evaluation of each officer or a supervised person providing investment advice. There are no legal, civil or disciplinary events to disclose regarding Randy J. Brunner in the past ten years.

We encourage an independent review of Mr. Brunner's background on the Investment Advisor Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching his CRD# 3249765.

## **Form ADV Part 2b Brochure Supplement**

### **Item 4 Other Business Activities**

Principal executives, managers, and investment advisor representatives are required to disclose outside business activities that account for a significant portion of their time or income, or that may present a conflict of interest with their advisory activities.

Mr. Brunner sells commission-based products including insurance and annuities as a registered representative of Smith, Brown & Groover, Inc. (CRD# 1329), a FINRA – registered broker – dealer. He receives compensation based on his production.

Mr. Brunner serves on the board of directors of Vine Ingle Little League.

### **Item 5 Additional Compensation**

Neither Mr. Brunner nor our advisory firm is compensated for advisory services involving performance-based fees, and firm policy does not allow associated persons to accept or receive additional economic benefit, such as sales awards or other prizes, for providing advisory services to firm clients.

### **Item 6 Supervision**

We supervise our investment advisor representatives in the following ways:

- Compare activity in the client account with the investment objectives;
- Ensure all financial information and investment objectives have been recorded;
- Confirm that all clients are contacted during three-year books and records to find out if there are any changes in financial information or investment objectives;
- Verify all clients receive quarterly statements;
- Review advisory fees and ensure they are being charged correctly;
- Review account to ensure the client is not invested in securities that do not match risk tolerance.

Mr. Brunner is directly supervised by Raymond H. Smith, Jr.

Questions relative to the firm, its services or this brochure supplement may be made to the attention of Holli Edwards, Audit & Compliance Specialist/CFO at (478) 474-7004 or [holli@sbgwealth.com](mailto:holli@sbgwealth.com).

### **Item 7 Requirement for State-Registered Advisers**

Mr. Brunner has not been involved in any arbitration award or liability, or any civil, self-regulatory organization, or administrative proceeding, nor has he been the subject of any bankruptcy petition.