



# FORM ADV PART 2B BROCHURE SUPPLEMENT

May 14, 2021

Mondeum Wealth Advisors, LLC

**Mauricio Brocado**

This brochure supplement provides information about Mr. Brocado that supplements the Mondeum Wealth Advisors, LLC brochure. You should have received a copy of that brochure. Contact us at 833-781-6336 if you did not receive Mondeum Wealth Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Brocado (CRD # 7328421) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2 EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### Mauricio Brocado

*Year of Birth:* 1966

*Formal Education After High School:*

- Universidad Iberoamericana, Bachelor of Accounting, 1991

*Business Background:*

- Mondeum Wealth Advisors, LLC, Investment Adviser Representative, 12/2020 – Present
- Nuclo Asset Management, Chief Investment Strategist, 3/2019 – Present
- Nuclo Consulting, Chief Investment Strategist, 3/2019 – Present
- 3H Capital, Researcher, 11/2018 – 3/2019
- Tresalia Capital, Head of Strategic Investments, 9/2008 – 8/2018
- Actinver, Director of Strategy & Stock Analysis, 11/2004 – 8/2008
- Ixe Grupo Financiero, Senior Analyst, 4/2001 – 10/2004
- Valores Finamex, Senior Analyst, 11/1997-3/2001
- Brocado-Gascon, Sandoval y Compañía, Managing Partner, 6/1991-10/1997

## ITEM 3 DISCIPLINARY INFORMATION

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Brocado has no required disclosures under this item.

## ITEM 4 OTHER BUSINESS ACTIVITIES

Mr. Brocado is a Chief Investment Strategist of Nuclo Asset Management and Nuclo Consulting, affiliated companies based in Mexico that provide outsourced investment services to family offices. In this capacity, Mr. Brocado receives compensation from service fees. This presents a conflict of interest because Mr. Brocado may have an incentive to recommend the services of Nuclo Asset Management, however clients will not pay additional fees for the services by Nuclo Asset Management and services will only be provided to those clients if and when suitable.

## ITEM 5 ADDITIONAL COMPENSATION

Refer to the *Other Business Activities* section above for disclosures on Mr. Brocado's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Mondeum Wealth Advisors, LLC's firm brochure for additional disclosures on this topic.

## ITEM 6 SUPERVISION

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Mondeum Wealth Advisors, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: Michael Milani, Chief Executive Officer

Supervisor phone number: 305-921-9732

## ITEM 7 REQUIREMENTS FOR STATE REGISTERED ADVISERS

Mr. Brocado does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

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*Where possible begins*