

This brochure supplement provides information about Patricia Gail Williams that supplements the Silicon Private Wealth, LLC brochure. You should have received a copy of that brochure. Please contact Patricia Gail Williams if you did not receive Silicon Private Wealth, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Patricia Gail Williams is also available on the SEC's website at www.adviserinfo.sec.gov.

Silicon Private Wealth, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Patricia Gail Williams

Personal CRD Number: 1596390

Investment Adviser Representative

Silicon Private Wealth, LLC
48883 Crown Ridge Cmn
Fremont, CA 94539
408-645-6790 (office)
pat@siliconprivatewealth.com

UPDATED: 5/10/2021

Item 2: Educational Background and Business Experience

Name: Patricia Gail Williams **Born:** 1959

Educational Background and Professional Designations:

Education:

BA Political Science, Vassar College - 1982

Designations:

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Business Background:

| | |
|-------------------|---|
| 09/2016 - Present | Registered Representative Purshe Kaplan Sterling Investments |
| 04/2016 - Present | CCO & CEO Silicon Private Wealth, LLC |
| 05/2016 - 08/2016 | Registered Representative Viant Capital, LLC |
| 01/2016 – 05/2016 | Unemployed |
| 10/1986 - 12/2015 | Director Merrill Lynch |

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

Item 4: Other Business Activities

Patricia Gail Williams registered representative of Purshe Kaplan Sterling and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. SPWL always acts in the best interest of the client, including with respect to the sale of commissionable products to advisory clients. Clients are in no way required to implement the

plan through any representative of SPWL in such individual's capacity as a registered representative.

Patricia Gail Williams is a licensed insurance agent. From time to time, she will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. SPWL always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients always have the right to decide whether or not to utilize the services of any SPWL representative in such individual's outside capacities.

Item 5: Additional Compensation

Patricia Gail Williams does not receive any economic benefit from any person, company, or organization, other than Silicon Private Wealth, LLC in exchange for providing clients advisory services through Silicon Private Wealth, LLC.

Item 6: Supervision

As a representative of Silicon Private Wealth, LLC, Patricia Gail Williams works closely with the supervisor, Calum John Cunningham, and all advice provided to clients is reviewed by the supervisor prior to implementation. Patricia Gail Williams adheres to applicable regulations regarding the activities of an Investment Adviser Representative, together with all policies and procedures outlined in the firm's code of ethics and compliance manual. Calum John Cunningham's phone number is 408-645-6791.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Patricia Gail Williams has not been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or

- e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
- a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Patricia Gail Williams has not been the subject of a bankruptcy petition.