

# Form ADV Part 2B Brochure Supplement

March 3, 2021

## **Seraphim Capital Management, LLC**

650 5th Ave, Suite 2420

New York, NY 10019

Mailing Address:

P.O. Box 265

Pearl River, NY 10965

720-406-7790

Daniel Petrie

23 Aspen Way, Morristown, NJ 07960

973-267-7831

This brochure supplement provides information about **Daniel Petrie, Investment Advisor Representative**, and supplements SCM's Part 2A ADV Brochure. You should have received a copy of that brochure. Please contact our Chief Compliance Officer, Mr. John Morris at 720-406-7790 or [jmorris@seraphimcapitalmanagement.com](mailto:jmorris@seraphimcapitalmanagement.com) if you did not receive Seraphim Capital Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Petrie is available on the SEC's website:  
[www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Educational Background and Business Experience**

### **Daniel Petrie, Investment Advisor Representative**

Born 1964

Dan has over 28 years' experience in the financial service industry. He began his career as a program trader covering both Europe and the Far East for Drexel Burnham & CO. His leadership qualities led to senior management roles at several Wall Street firms including Robb Peck McCooley, Punk Segal & Co, Tradition Asiel Securities and then as Managing Director at the American Stock Exchange. Dan received his Bachelor of Science Degree in Economics from Ramapo College in New Jersey and studied technical analysis at the prestigious New York Institute of Finance.

Security Examinations: Series 7, 63, 66, 55, 24, 8, 4, 24

## **Disciplinary Information**

Mr. Petrie does not have any legal, financial or other disciplinary item(s) to report or disclose.

## **Other Business Activities**

Mr. Petrie does not have outside financial business activities or affiliations nor is he involved in any other business activity of any kind that would detract, conflict or deter him from his responsibilities as a Managing Member of Seraphim Capital Management.

## **Additional Compensation**

Seraphim Capital Management does not nor does Mr. Petrie receive additional compensation or any economic benefit from third parties in connection with providing investment advice to clients.

## **Supervision**

John Morris supervises Mr. Petrie's activities via weekly calls or meetings, and all trades are reviewed on a regular basis.

As Managing member, Mr. Morris is responsible for the supervision of firm activities as well as the investment advice offered to clients. If you would like to contact Mr. Morris about his roles, he can be reached at 720-406-7790.

As the Chief Compliance Officer, Mr. Morris is responsible for the implementation and administration of SCM's Compliance Program and regulatory requirements.