

This brochure supplement provides information about Joseph Orlando that supplements the LPS Financial LLC brochure. You should have received a copy of that brochure. Please contact Tamir Shabat, Chief Compliance Officer and Managing Member, if you did not receive LPS Financial LLC's brochure or if you have any questions about the contents of this supplement.

LPS Financial LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Joseph Orlando

Personal CRD Number: **2882204**

Investment Adviser Representative

LPS Financial LLC
2535 Victory Boulevard
Staten Island
New York, 10006
(212) 558-6500
jorlando@lpsfinancial.com

UPDATED 3/23/2021

Item 2: Educational Background and Business Experience

Name: Joseph Orlando
Born: 1968

Education Background and Professional Designations:

Education:

Baruch College Years attended 1986-1989 Studied Finance

Designations:

Series 7, 6

Business Background:

9/2019- Present	Investment Advisor Representative LPS Financial, LLC
8/2020- Present	Marketing Consultant Vessel Capital Management, LLC
3/2016 – 9/2016	Registered Representative First Standard Financial Company LLC
1/2008– 3/2016	Registered Representative Newbridge Securities Corporation
7/2005 – 1/2008	Registered Representative Gunnallen Financial, Inc.
1/2001-7/2005	Registered Representative Joseph Stevens & Company, Inc.
2/2000-8/2000	Registered Representative Bryn Mawr Investment Group, Inc.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business. For additional information, please see <https://brokercheck.finra.org/>

Item 4: Other Business Activities

Joseph Orlando is an investment advisor representative with LPS. He is also engaged as a marketing consultant for Vessel Capital Management LLC, a related entity to LPS, for which he receives a flat fee retainer and discretionary bonus.

Item 5: Additional Compensation

Joseph Orlando does not receive any economic benefit from someone who is not a client in exchange for providing clients advisory services through LPS Financial LLC. Compensation related to the activities with Vessel Capital Management LLC are outlined above.

Item 6: Supervision

As Chief Compliance Officer of LPS Financial LLC, Tamir Shabat supervises all duties and activities of the firm. Joseph Orlando adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements. Tamir Shabat's phone number is (212) 328-7876.