

STRINGER ASSET MANAGEMENT LLC  
PART 2B OF FORM ADV: BROCHURE SUPPLEMENT

**ITEM 1. COVER PAGE**

This *Brochure Supplement* is provided on Gary Stringer. Gary Stringer's contact information is:

**GARY S. STRINGER, CFA**  
**PRESIDENT**  
**CHIEF INVESTMENT OFFICER**  
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**[GARY.STRINGER@STRINGERAM.COM](mailto:GARY.STRINGER@STRINGERAM.COM)**  
**MARCH 31, 2021**

This *Brochure Supplement* provides information about Gary Stringer that supplements the *Disclosure Brochure* of Stringer Asset Management, LLC, a copy of which you should have received. Please contact Stringer Asset Management's Chief Compliance Officer, Chad Keller, at [chad.keller@stringeram.com](mailto:chad.keller@stringeram.com) if you did not receive the *Disclosure Brochure* or if you have any questions about the contents of this *Brochure Supplement*.

**Additional information about Gary Stringer is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## STRINGER ASSET MANAGEMENT LLC

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#### **ITEM 2. EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Born in 1973, Gary Stringer received his post-secondary education with a B.S. in Marketing from the University of Maryland in 1997.

Mr. Stringer serves as the President and Chief Investment Officer for Stringer Asset Management, LLC. Mr. Stringer leads the portfolio management efforts for the Firm's mutual funds and separately managed account portfolios. In this role, he works with the team to develop the Firm's investment management process, strategic and tactical allocations, as well as security selection. Prior to co-founding Stringer Asset Management in February 2013, Mr. Stringer was a Managing Director at Morgan Keegan and Company, Inc. where he served as the Director of Investments for Morgan Keegan's Wealth Management Services division.

Mr. Stringer holds the professional designation of Chartered Financial Analyst ("CFA"). The CFA® charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management. For additional information about each of these credentials, please refer directly to the website of the issuing organization.

#### **ITEM 3. DISCIPLINARY INFORMATION**

There are no legal or disciplinary events to disclose that are material to a client's or prospective client's evaluation of Gary Stringer.

#### **ITEM 4. OTHER BUSINESS ACTIVITIES**

Gary Stringer is a registered representative of Matrix 360 Distributors, LLC, a FINRA registered Broker-Dealer. Stringer Asset Management and Matrix 360 Distributors are not affiliated. Mr. Stringer receives no compensation for this activity. This outside business activity does not create any conflicts of interest.

#### **ITEM 5. ADDITIONAL COMPENSATION**

Gary Stringer does not receive any additional compensation related to providing advisory services.

#### **ITEM 6. SUPERVISION**

Chad Keller, Chief Compliance Officer, is generally responsible for supervising Gary Stringer's advisory activities on behalf of Stringer Asset Management. The telephone number to reach Chad Keller is 901-800-2956.

Stringer Asset Management supervises its personnel and the investments made in client accounts. Stringer Asset Management monitors the investments recommended by Mr. Stringer to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Stringer Asset Management periodically reviews the advisory activities of Mr. Stringer, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Stringer.

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This *Brochure Supplement* is provided on Chad Keller. Chad Keller's contact information is:

**CHAD N. KELLER, CFP®**  
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**MARCH 31, 2021**

This *Brochure Supplement* provides information about Chad Keller that supplements the *Disclosure Brochure* of Stringer Asset Management, LLC, a copy of which you should have received. Please contact Stringer Asset Management's Chief Compliance Officer, Chad Keller, at [chad.keller@stringeram.com](mailto:chad.keller@stringeram.com) if you did not receive the *Disclosure Brochure* or if you have any questions about the contents of this *Brochure Supplement*.

**Additional information about Chad Keller is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## STRINGER ASSET MANAGEMENT LLC

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#### ITEM 2. EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born in 1980, Chad Keller received his post-secondary education with a B.A. in Economics from the University of Tennessee in 2003 and a M.B.A. from the University of Memphis in 2007.

Mr. Keller serves as the Portfolio Manager, Chief Operating Officer, and Chief Compliance Officer for Stringer Asset Management, LLC. Mr. Keller is a member of the investments team and works on the quantitative analysis, factor-biases, and asset allocation of the Firm's mutual funds and separately managed account portfolios. He is also responsible for the daily operations and compliance for the Firm. Prior to co-founding Stringer Asset Management, Mr. Keller was a Senior Investment Specialist at Morgan Keegan and Company, Inc. where he worked with investment and economic data daily, and was responsible for monitoring the risk characteristics of several of the Firm's discretionary models.

Mr. Keller holds the professional designation of CERTIFIED FINANCIAL PLANNER™ ("CFP®"). The CFP® certification is a financial planning credential awarded by the Certified Financial Planner Board of Standards Inc. (the "CFP Board") to individuals who meet its education, examination, experience and ethics requirements. Eligible candidates are generally required to have three years of financial planning related experience and possess a bachelor's degree from an accredited U.S. college or university. Certificants are further required to complete a CFP Board-Registered Education Program (or possess a qualifying professional credential), clear a personal and professional background check, and pass the CFP® Certification Examination, a ten-hour multiple choice exam divided into three separate sessions. In order to maintain the certification, CFP® designees must also complete at least 30 hours of continuing education every two years on an ongoing basis. For additional information about each of these credentials, please refer directly to the website of the issuing organization.

#### ITEM 3. DISCIPLINARY INFORMATION

There are no legal or disciplinary events to disclose that are material to a client's or prospective client's evaluation of Chad Keller.

#### ITEM 4. OTHER BUSINESS ACTIVITIES

Chad Keller is a registered representative of Matrix 360 Distributors, LLC, a FINRA registered Broker-Dealer. Stringer Asset Management and Matrix 360 Distributors are not affiliated. Mr. Keller receives no compensation for this activity. This outside business activity does not create any conflicts of interest.

#### ITEM 5. ADDITIONAL COMPENSATION

Chad Keller does not receive any additional compensation related to providing advisory services.

#### ITEM 6. SUPERVISION

While Chad Keller is the Chief Compliance Officer and is generally responsible for his own supervision, Gary Stringer, Chief Investment Officer, periodically reviews Mr. Keller's advisory activities. The telephone number to reach Gary Stringer is 901-800-2956.

Stringer Asset Management supervises its personnel and the investments made in client accounts. Stringer Asset Management monitors the investments recommended by Mr. Keller to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Stringer Asset Management periodically reviews the advisory activities of Mr. Keller, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Keller.

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This *Brochure Supplement* is provided on Kim Escue. Kim Escue's contact information is:

**KIM F. ESCUE, CFA**  
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**MARCH 31, 2021**

This *Brochure Supplement* provides information about Kim Escue that supplements the *Disclosure Brochure* of Stringer Asset Management, LLC, a copy of which you should have received. Please contact Stringer Asset Management's Chief Compliance Officer, Chad Keller, at [chad.keller@stringeram.com](mailto:chad.keller@stringeram.com) if you did not receive the *Disclosure Brochure* or if you have any questions about the contents of this *Brochure Supplement*.

**Additional information about Kim Escue is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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#### **ITEM 2. EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Born in 1968, Kim Escue received her post-secondary education with a B.B.A. in Finance from the University of Memphis in 1990 and a M.B.A. from the University of Memphis in 1994.

Ms. Escue serves as the Senior Portfolio Manager and the Chief Financial Officer at Stringer Asset Management, LLC. Ms. Escue is responsible for the daily management of both the Firm's mutual funds and separately managed account portfolios. In her role, she works with the team to develop the Firm's strategic allocations and security selection, as well as develop tactical themes based on current market opportunities. Prior to co-founding Stringer Asset Management, Ms. Escue was with Morgan Keegan and Company, Inc. for over 15 years where she was a Due Diligence Analyst and a member of the Firm's Investment Strategy Committee.

Ms. Escue holds the professional designation of Chartered Financial Analyst ("CFA"). The CFA® charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management. For additional information about each of these credentials, please refer directly to the website of the issuing organization.

#### **ITEM 3. DISCIPLINARY INFORMATION**

There are no legal or disciplinary events to disclose that are material to a client's or prospective client's evaluation of Kim Escue.

#### **ITEM 4. OTHER BUSINESS ACTIVITIES**

Kim Escue is a registered representative of Matrix 360 Distributors, LLC, a FINRA registered Broker-Dealer. Stringer Asset Management and Matrix 360 Distributors are not affiliated. Ms. Escue receives no compensation for this activity. This outside business activity does not create any conflicts of interest.

#### **ITEM 5. ADDITIONAL COMPENSATION**

Kim Escue does not receive any additional compensation related to providing advisory services.

#### **ITEM 6. SUPERVISION**

Chad Keller, Chief Compliance Officer, is generally responsible for supervising Ms. Escue's advisory activities on behalf of Stringer Asset Management. The telephone number to reach Chad Keller is 901-800-2956.

Stringer Asset Management supervises its personnel and the investments made in client accounts. Stringer Asset Management monitors the investments recommended by Ms. Escue to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Stringer Asset Management periodically reviews the advisory activities of Ms. Escue, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Ms. Escue.

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This *Brochure Supplement* is provided on Jonathan Bernstein. Jonathan Bernstein's contact information is:

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**MARCH 31, 2021**

This *Brochure Supplement* provides information about Jonathan Bernstein that supplements the *Disclosure Brochure* of Stringer Asset Management, LLC, a copy of which you should have received. Please contact Stringer Asset Management's Chief Compliance Officer, Chad Keller, at [chad.keller@stringeram.com](mailto:chad.keller@stringeram.com) if you did not receive the *Disclosure Brochure* or if you have any questions about the contents of this *Brochure Supplement*.

**Additional information about Jonathan Bernstein is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

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#### **ITEM 2. EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

Born in 1968, Jonathan Bernstein received his post-secondary education with a B.S. in Business Administration and Finance from Brooklyn College in 1994.

Mr. Bernstein serves as Vice President and Sales & Marketing Director for Stringer Asset Management, LLC. Mr. Bernstein is responsible for business development, marketing and communication. Prior to co-founding Stringer Asset Management, Mr. Bernstein was a Senior Vice President and the Director of Sales and Consulting at Morgan Keegan and Company, Inc. He has spent his career working with financial advisors on effective communication, objective consulting and best practice training. He is an accomplished and dynamic presenter with extensive experience in communication, project management, operations management, and is a frequent contributor to financial industry publications.

Mr. Bernstein holds the professional designation of Certified Investment Management Analyst ("CIMA"). The CIMA certification is an asset management credential administered through the Investment Management Consultants Association ("IMCA") to individuals who meet its experience, ethical, education and examination requirements. Prerequisites for the CIMA designation include three years of financial services experience and an acceptable regulatory history. In order to obtain the CIMA certification, candidates must successfully complete a one-week classroom education program at an accredited university business school and pass an online certification examination. CIMA designees are further required to adhere to the IMCA's Code of Professional Responsibility and Standards of Practice on an ongoing basis. CIMA designees must also report 40 hours of continuing education credits on a biannual basis in order to maintain the designation. For additional information about this credential, please refer directly to the website of the issuing organization.

#### **ITEM 3. DISCIPLINARY INFORMATION**

There are no legal or disciplinary events to disclose that are material to a client's or prospective client's evaluation of Jonathan Bernstein.

#### **ITEM 4. OTHER BUSINESS ACTIVITIES**

Jonathan Bernstein is a registered representative of Matrix 360 Distributors, LLC, a FINRA registered Broker-Dealer. Stringer Asset Management and Matrix 360 Distributors are not affiliated. Mr. Bernstein receives no compensation for this activity. This outside business activity does not create any conflicts of interest.

#### **ITEM 5. ADDITIONAL COMPENSATION**

Jonathan Bernstein does not receive any additional compensation related to providing advisory services.

#### **ITEM 6. SUPERVISION**

Chad Keller, Chief Compliance Officer, is generally responsible for supervising Mr. Bernstein's advisory activities on behalf of Stringer Asset Management. The telephone number to reach Chad Keller is 901-800-2956.

Stringer Asset Management supervises its personnel and the investments made in client accounts. Stringer Asset Management monitors the investments recommended by Mr. Bernstein to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. Stringer Asset Management periodically reviews the advisory activities of Mr. Bernstein, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Mr. Bernstein.