



Form ADV Part 2B – Brochure Supplement

for

**Brad M. Ingegneri, CFP[®], CRPC[®], AIF[®]
President**

Effective: March 18, 2021

This Form ADV 2B ("Brochure Supplement") provides information about the background and qualifications of Brad M. Ingegneri, CFP[®], CRPC[®], AIF[®], (CRD# 4364810) in addition to the information contained in the Ivy Wealth Management, Inc. ("IWM" or the "Advisor", CRD #164557) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the IWM Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (401) 681-4266 or by email at info@ivywealth.net.

Additional information about Mr. Ingegneri is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov or by searching with his full name or Individual CRD# 4364810.

Item 2 – Educational Background and Business Experience

Brad M. Ingegneri, CFP[®], CRPC[®], AIF[®], born in 1971, is dedicated to advising Clients of IWM as the President of IWM. Mr. Ingegneri earned a Masters of Public Health Administration from Yale University in 1995. Mr. Ingegneri earned a Bachelor of Arts from the University of Pennsylvania in 1993. Additional information regarding Mr. Ingegneri's employment history is included below.

Employment History:

President, Ivy Wealth Management, Inc.	07/2012 to Present
Investment Advisor Representative, The Patriot Financial Group	03/2021 to Present
Registered Representative, Securities America, Inc.	12/2020 to Present
Investment Advisor Representative / Registered Representative, LPL Financial	07/2010 to 07/2012
Assistant Vice President, Merrill Lynch, Pierce, Fenner & Smith, Incorporated	06/2001 to 07/2010

CERTIFIED FINANCIAL PLANNER[™] ("CFP[®]")

The CERTIFIED FINANCIAL PLANNER[™], CFP[®] and federally registered CFP[®] (with flame design) marks (collectively, the "CFP[®] marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP[®] Board").

The CFP[®] certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP[®] certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 88,000 individuals have obtained CFP[®] certification in the United States.

To attain the right to use the CFP[®] marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP[®] Board's studies have determined as necessary for the competent and professional delivery of financial planning services and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP[®] Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP[®] Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP[®] Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP[®] professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP[®] marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP[®] professionals provide financial planning services at a fiduciary standard of care. This means CFP[®] professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP® Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Chartered Retirement Planning Counselor™ ("CRPC®")

Individuals who hold the CRPC® designation have completed a course of study encompassing pre-and post-retirement needs, asset management, estate planning and the entire retirement planning process using models and techniques from real client situations. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations. All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process. Designees renew their designation every two-years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.

Accredited Investment Fiduciary™ ("AIF®")

The AIF® mark is held by the Center for Fiduciary Studies, LLC, a Fiduciary360 (fi360) company. The professional designations awarded by fi360 demonstrate the focus on all the components of a comprehensive investment process, related fiduciary standards of care, and commitment to excellence. AIF® designees undergo an initial training program, annual continuing education, and pledge to abide by the designation's code of ethics.

Since October 2002, the Accredited Investment Fiduciary™ (AIF®) designation has been the mark of commitment to a standard of fiduciary investment excellence. Those who earn the AIF® mark successfully complete a specialized program on investment fiduciary standards of care and subsequently passed a comprehensive examination. AIF® designees demonstrate a thorough understanding of fi360's Prudent Practices for investment advisors and stewards.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Ingegneri. Mr. Ingegneri has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Ingegneri.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. *As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Ingegneri.*

However, we do encourage you to independently view the background of Mr. Ingegneri on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or Individual CRD# 4364810.

Item 4 – Other Business Activities

Broker-Dealer Affiliation

Mr. Ingegneri is also a registered representative of Securities America, Inc. ("Securities America") of La Vista, Nebraska. Securities America is a registered broker-dealer (CRD# 10205), member FINRA, SIPC. In his separate capacity as a registered representative, Mr. Ingegneri will typically receive commissions for the implementation of recommendations for commissionable transactions. Clients are not obligated to implement any recommendation provided by Mr. Ingegneri. Neither the Advisor nor Mr. Ingegneri will earn ongoing investment advisory fees in connection with any services implemented in Mr. Ingegneri's separate capacity as a registered representative where commissions are earned.

Insurance Agency Affiliations

Mr. Ingegneri is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from his role with IWM. As an insurance professional, Mr. Ingegneri will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Ingegneri is not required to offer the insurance products for any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This may cause a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Ingegneri or the Advisor.

The Patriot Financial Group, LLC

Mr. Ingegneri is dually registered with The Patriot Financial Group, ("Patriot", CRD# 172470), a registered investment advisor with the U.S. Securities and Exchange Commission and offers investment advisory services to individuals, high net worth individuals, trusts, estates, and businesses. When deemed to be in the Client's best interest, IWM will refer Clients or prospective clients to Patriot. If a Client is referred to Patriot, the Client will be required to enter into an advisory agreement directly with Patriot. This practice presents a conflict of interest as Mr. Ingegneri will benefit from compensation and revenue generated through Patriot. To mitigate this conflict of interest, IWM will not charge investment advisory fees on assets referred to Patriot. Clients will not pay a higher fee to either Patriot, or to IWM as a result of the referral.

Item 5 – Additional Compensation

Mr. Ingegneri receives additional compensation from his business activities listed in Item 4 above.

Item 6 – Supervision

Mr. Ingegneri serves as the President of IWM and is supervised by Todd Sadwin, the Chief Compliance Officer. Mr. Sadwin can be reached at (401) 681-4266.

IWM has implemented a Code of Ethics, an internal compliance document that guides each Supervised Persons in meeting their fiduciary obligations to Clients of IWM. Further, IWM is subject to regulatory oversight by various agencies. These agencies require registration by IWM and its Supervised Persons. As a registered entity, IWM is subject to examinations by regulators, which may be announced or unannounced. IWM is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.