



**Ohana Wealth and Life Planning**  
**Form ADV Part 2B**  
**Disclosure Brochure Supplement**

**CRD number: 162785**

**March 28th 2021**

## **Item 1 – Cover Page**



**Jeffrey J. Spitzmiller, CFA, RLP**  
**Chief Executive Officer & Chief Investment Officer**

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**March 28th 2021**

This Brochure Supplement provides information about Jeffrey J. Spitzmiller that supplements the Queen City Capital Management, LLC's (QCCM) Group Brochure. You should have received a copy of that brochure. Please contact Matthew Swendiman, Chief Compliance Officer, at 513-562-1675, or at [mwendiman@keybridgecompliance.com](mailto:mwendiman@keybridgecompliance.com), if you did not receive QCCM's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jeffrey J. Spitzmiller is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Educational Background and Business Experience**

**Jeffrey J. Spitzmiller, CFA, RLP**  
**Chief Executive Officer & Chief Investment Officer**

**Year of Birth:** 1971

**Education:** Miami University, Bachelor's Degree in Business Administration: 1994; Boston University, Master's Degree in Banking and Financial Services: 2007

### **Business Experience:**

- April 2012 to Present: Queen City Capital Management, LLC, Chief Executive Officer & Chief Investment Officer
- June 2007 to April 2012: Brecek and Young Advisors, Inc., Chief Investment Officer
- July 2003 to June 2007: Brecek and Young Advisors, Inc., Chief Investment Strategist

### **Professional Designations:**

CFA®; Chartered Financial Analyst (2000)  
RLP®, Registered Life Planner (2020)

## **Item 3 – Disciplinary Information**

We are obligated to disclose any disciplinary event that would be material to you when evaluating Mr. Spitzmiller. We do not have any legal or other disciplinary items to report to you.

## **Item 4 – Other Business Activities**

Please refer to Form ADV Part 2A - *Item 10: Other Financial Industry Activities and Affiliations* for additional information.

## **Item 5 – Additional Compensation**

Mr. Spitzmiller does not receive any compensation or economic benefit from sources outside of QCCM for providing advisory services.

## **Item 6 – Supervision**

Chief Compliance Officer, Matthew Swendiman, generally supervises Mr. Spitzmiller by reviewing the processes and controls in place for the discretionary investment management

responsibilities that he executes for clients. Mr. Swendiman can be reached by telephone at 513-562-1675 or by email at [mswendiman@keybridgecompliance.com](mailto:mswendiman@keybridgecompliance.com).

### **Explanation of Designations**

**The Chartered Financial Analyst® (CFA)** designation is a professional certification issued by the CFA Institute to qualified financial analysts who: (i) have a bachelor's degree and four years of qualified investment work experience [full time, but not necessarily investment related]; (ii) complete a self-study program (250 hours of study for each of the three levels); (iii) successfully complete a series of three six-hour exams; and (iv) pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct.

**The Registered Life Planner® (RLP)** designation is a professional certification issued by the Kinder Institute of Life Planning. To qualify for the Registered Life Planner® designation, applicants must successfully complete three training courses:

- **The Seven Stages of Money Maturity® Training (16 hours)** a 2-day course that teaches listening, principles of communication, and client-planner attitudes, values, biases, and behavioral characteristics and their impact on financial planning.
- **EVOKE® Life Planning Training (36-40 hours)** is a 4-day online or a 5-day residential course on a planning method that uses a structured interview process to uncover clients' most exciting, meaningful, and fulfilling aspirations and engage them in the work of creating their own vibrant futures, based on a solid financial architecture. It is an experiential program where one experiences both being a Life Planner and being Life Planned, so one of the requirements of an RLP® designation is that the advisor has been life planned.
- **The Life Planning Mentorship (40-50 hours)** is a continuation of the deep learning of the EVOKE® Life Planning Training using case studies, peer support, group teleconferences, and one-on-one guidance from an experienced mentor over a six-month period.