



Form ADV Part 2B – Individual Disclosure Brochure

Alec J. Quaid

Investment Adviser Representative
CRD #6425034

Novem Group
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This document provides information about Alec J. Quaid that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda Esteves, Chief Compliance Officer of Novem Group, if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Alec J. Quaid is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Alec J. Quaid

Born: 1994

Education: Colorado State University
Bachelor of Science in Finance, 2017

Business Background (past 5 years)

7/2020 – Present	Investment Adviser Representative Novem Group
5/2019 – Present	Registered Assistant American Portfolios Advisors, Inc.
3/2019 – Present	Registered Assistant American Portfolios Financial Services, Inc.
3/2019 – 6/2020	Registered Assistant Novem Group
1/2015 – 1/2019	Registered Representative/Agent Northwestern Mutual

Certifications

Series 6 – Investment Company and Variable Contracts Products Representative Examination

Series 7 – General Securities Representative Examination

Series 63 – Uniform Securities Agent State Law Examination

Series 65 – Uniform Investment Adviser Law Examination

Other Business Activities

Alec J. Quaid is a Registered Assistant of American Portfolios Financial Services, Inc. and an Investment Adviser Representative of American Portfolios Advisors, Inc. He is also an insurance agent through American Portfolios Denver. From time to time, the representative may offer clients advice or products from these activities. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative. Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in the capacities listed above.

Alec J. Quaid is a basketball coach of the Elevation Flyers, and the Secretary/Treasurer of the Business Network International.

Additional Compensation

Alec J. Quaid does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Alec J. Quaid works closely with his supervisor and all advice provided to clients and account-related transactions are reviewed by his supervisor. Alec J. Quaid adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures manual, including the Code of Ethics, and regulatory requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.