

J. Greg McGovern

Part 2B of Form ADV for Penn Investment Advisors, Inc.

Brochure Supplement

Item 1: Cover Page

This brochure supplement provides information about J. Greg McGovern, Vice President, Financial Advisor, that supplements the Penn Investment Advisors, Inc. ("Penn Investment Advisors") brochure. You should have received a copy of that brochure. Please contact our home office at 1.800.626.1027 if you did not receive a copy of Penn Investment Advisors' brochure, or if you have any questions about the contents of this supplement.

Additional information about J. Greg McGovern is available on the SEC's website at www.advisorinfo.sec.gov.

Penn Investment Advisors, Inc.
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1.800.626.1027
www.pennadvisors.com

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Table of Contents

Item 1: Cover Page.....	1
Table of Contents.....	1
Item 2: Educational Background and Business Experience.....	2
Item 3: Disciplinary Information.....	2
Item 4: Other Business Activities.....	2
Item 5: Additional Compensation	2
Item 6: Supervision.....	3

Investments Managed by Penn Investment Advisors are not FDIC insured, May Lose Value, Have no Bank Guarantee, are not a Deposit, and are not Insured by any Federal Government Entity.

Item 2: Educational Background and Business Experience

NAME: J. Greg McGovern

Title: Vice President, Financial Advisor

Education:

MS; Organizational Dynamics; University of Pennsylvania; 2003

BS; Business Administration; LaSalle University; 1982

Employment:

2020 – Present	Registered Representative PKS Investments
2016 – Present	Vice President, Financial Advisor Penn Investment Advisors, Inc.
2018 – 2020	Registered Representative PTS Brokerage, LLC
2012 – 2016	Financial Advisor Ameriprise Financial, Inc.
2007 – 2012	Financial Advisor Edward Jones
2003 – 2006	Director, Staffing Princeton University

Item 3: Disciplinary Information

There are no legal or disciplinary events material to a client's or prospective client's evaluation of J. Greg McGovern.

Item 4: Other Business Activities

J. Greg McGovern is a registered representative of PKS Investments ("PKS"). From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Penn Investment Advisors always acts in the best interest of the client, including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of PKS in their capacity as a registered representative.

Item 5: Additional Compensation

J. Greg McGovern does not receive any additional economic benefit for providing advisory services. J. Greg McGovern does not receive any sales awards or other prizes, and any bonus

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received is not based, even in part, on the number or amount of sales, client referrals, or new accounts.

Item 6: Supervision

Penn Investment Advisors supervises the supervised person, J. Greg McGovern, through its compliance program. The firm (1) has adopted and implemented policies and procedures reasonably designed to prevent violations by the firm and its supervised persons; (2) reviews, at least annually, the adequacy and effectiveness of the policies and procedures; (3) has designated a chief compliance officer who is responsible for administering the policies and procedures; and (4) maintains records of the policies and procedures and annual reviews. The firm monitors the advice the supervised person provides to clients by regular reviews of the transactions in client accounts. The person responsible for supervising the supervised person's advisory activities on behalf of the firm is George R. Breuninger, Chief Compliance Officer, he can be reached at 1.800.626.1027.

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