

Caldwell Advisors, LLC
5210 Maryland Way, Suite 202
Brentwood, TN 37207

David S. Steinfeld
5210 Maryland Way, Suite 202
Brentwood, TN 37027

January 31, 2021

This Brochure Supplement provides information about David S. Steinfeld that supplements the Caldwell Advisors Brochure. You should have received a copy of that Brochure. Please contact Caldwell Advisors, LLC, Natasha L. Smith, 5210 Maryland Way, Suite 202, Brentwood, TN 37027 or call 615-370-8988 if you did not receive Caldwell Advisor, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about David S. Steinfeld is available on the SEC's website at www.adviserinfo.sec.gov.

The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Caldwell Advisors, LLC is a Registered Investment Advisor. Registration of an Investment Advisor does not imply any level of skill or training. The oral and written communications of an Advisor provide you with information about which you would use for determining whether to hire or retain an Advisor.

Item 2 – Educational Background and Business Experience

David S Steinfeld,

Year of Birth,1970,

Attended University of Kentucky, Graduated 1994, Bachelor of Arts - Economics

Financial Advisor - Wiley Brothers, 1994,

Financial Advisor - Banc One Securities Corp., 1996-1997,

Financial Advisor - JC Bradford & Co., 1997-1999,

Financial Advisor - Suntrust Securities, 1999-2000,

Financial Advisor - Morgan Keegan & Company, Inc., 2000-2004,

Financial Advisor - Raymond James Financial Services, 2004-2015,

Financial Advisor – LPL Financial, 2015 - Present

Financial Advisor - Caldwell Advisors, LLC, 2020-Present

Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4 – Other Business Activities

Mr. Steinfeld, is associated with Caldwell Advisors, LLC, a Registered Investment Advisory Firm.

Item 5 – Additional Compensation

There is no additional compensation.

Item 6 – Supervision

David S. Steinfeld, is supervised by Billy Ray Caldwell, Jr, President of Caldwell Advisors LLC, (615)370-8988. David S. Steinfeld, in accordance with LPL Financial guidelines, is inspected on a wide range of compliance issues at least annually.

Item 7 – Requirements for State-Registered Advisers

David S. Steinfeld is an Investment Advisor Representative of Caldwell Advisors, LLC, a Registered Investment Advisor. There are no actions to disclose under this item.