

*This brochure supplement provides information about John Thomas Preston that supplements the Watts Capital Partners LLC brochure. You should have received a copy of that brochure. Please contact John Thomas Preston, Chairman if you did not receive Watts Capital Partners LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about John Thomas Preston is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Watts Capital Partners LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**John Thomas Preston**  
Personal CRD Number: 104338  
Investment Adviser Representative

Watts Capital Partners LLC  
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New York, New York 10036  
(212) 735-8921  
[twatts@wattscapital.com](mailto:twatts@wattscapital.com)

UPDATED: 03/29/2021

## **Item 2: Educational Background and Business Experience**

**Name:** John Thomas Preston

**Born:** 1948

### **Education Background and Professional Designations:**

#### **Education:**

BA Hobart and William Smith College - 1971

#### **Business Background:**

2018 - Present Principal, Senior Wealth Manager, Watts Capital Partners, LLC

2007 - 2018 Sr. VP Beech Hill Advisors

2004 - 2007 MD & Principal Analytic Asset Management

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

N/A

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, profit distributions and dividends, John Thomas Preston does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Watts Capital Partners LLC.

## Item 6: Supervision

As principal owner and a representative of Watts Capital Partners LLC, John Thomas Preston supervises all duties and activities. John Thomas Preston's contact information is on the cover page of this disclosure document.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by New York securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. John Thomas Preston has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. John Thomas Preston has **NOT** been the subject of a bankruptcy petition at any time.