

# Supervised Person Brochure

Part 2B of Form ADV

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Alexander Edward Brown



**BROWN**  
FINANCIAL ADVISORS

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WORKING FOR CLIENTS, NOT COMPANIES

**964 State Route 28**

**Milford, OH 45150**

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This brochure provides information about Alexander E. Brown and supplements the Brown Financial Advisors, LLC's brochure. Being registered as a registered investment adviser does not imply a certain level of skill or training. You should have received a copy of that brochure. Please contact Alex Brown if you did not receive Brown Financial Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Alexander E. Brown(CRD #7027765) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

March 26, 2021

# Brochure Supplement (Part 2B of Form ADV)

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## Additional Investment Advisor Representative – Alexander Edward Brown

- Year of Birth: 1995
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### Item 2 Educational Background and Business Experience

#### Educational Background:

- FINRA Series 65 - Investment Advisor Representative
- University of Cincinnati; Bachelor of Business Administration – Finance; 2017

#### Business Experience:

- Brown Financial Advisors, LLC; Associate Advisor;  
From 10/2018-Present
  - Brown Insurance & Tax Advisors, LLC; Client Services;  
From 01/2010-Present
  - Brown Financial Advisors, LLC; Client Services;  
From 01/2016-10/2018
  - Full-time Student; 08/2008 – 12/2017
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### Item 3 Disciplinary Information

None to report.

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### Item 4 Other Business Activities

Alex Brown has a financial industry affiliated business in Client Services with Brown Insurance & Tax Advisors, LLC. Mr. Brown will receive compensation for his role in Client Services with Brown Insurance & Tax Advisors, LLC.

These practices represent conflicts of interest because it gives an incentive to recommend products based on the compensation received. However, this conflict is mitigated by the fact that Mr. Brown has a fiduciary responsibility by disclosures, procedures, and the firm's Fiduciary obligation to place the best interest of the client first and the clients are not required to purchase any products. Clients have the option to purchase these products or services through another insurance agent or tax preparer of their choosing.

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### Item 5 Additional Compensation

Alex Brown receives compensation with his role in Client Services with Brown Insurance & Tax Advisors, LLC, but does not receive any performance-based fees.

Mr. Brown may receive certain benefits from Gradient Investments, LLC (and/or its affiliated companies) based on achieving certain production thresholds. These thresholds are not based on the sale of any specific product or specific product type. These incentives include marketing assistance, access to technology, office support, and business trainings and trips. While some of these benefit the client, such as technology and training, some do not. This creates a conflict of interest because it gives an incentive to the representative to meet this threshold. This conflict is mitigated by disclosures, procedures and the firm's fiduciary obligation to place the best interest of the Client first. Clients are not required to use Gradient Investments, LLC or any of its affiliated companies.

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**Item 6 Supervision**

Alex Brown is supervised by Greg Brown, Chief Compliance Officer. He reviews Alex's work through client account reviews, quarterly personal transaction reports, as well as frequent face-to-face meetings, phone interactions, electronic communication. Mr. Greg Brown can be contacted at 513-575-9654 or by email at [gbrown@brownfinancialadvisors.com](mailto:gbrown@brownfinancialadvisors.com).