



Financial & Tax Architects, Inc.
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Form ADV Part 2B
Alan B. Schmidt

This brochure supplement provides information about Alan B. Schmidt that supplements Financial & Tax Architects, Inc.'s brochure. You should have received a copy of that brochure. Please contact Steve Frontczak, Chief Legal Officer, if you did not receive Financial & Tax Architects, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Alan B. Schmidt (CRD #6432350) is available on the SEC's website at www.adviserinfo.sec.gov.

March 31, 2021

Brochure Supplement (Part 2B of Form ADV)

Alan B. Schmidt

Investment Advisor Representative

- Year of birth: 1986

Item 2 Educational Background and Business Experience

Educational Background:

- N/A

Business Experience:

- Financial and Tax Architects, Inc.; Investment Advisor Representative; 04/2020 – Present
- The Financial Fire Marshall; Owner; 11/2017 – Present
- ECA Marketing, NFP; Marketing Executive; 07/2019 – Present
- The Diamond Group; Owner 06/2016 – 11/2017
- United Retirement Consulting; Consulting Services; 05/2006 – 06/2016

Item 3 Disciplinary Information

- Criminal or Civil Action: None to report
- Administrative Proceeding: None to report.
- Self-Regulatory Proceeding: None to report.

Item 4 Other Business Activities

Alan B. Schmidt is the President of Legacy Estate Group LLC, an Arizona-based insurance agency. Mr. Schmidt spends approximately 70% of his time is spent in his insurance practice. In that capacity, he will provide advice on various insurance products. Mr. Schmidt only sells insurance products in states where he is properly licensed.

This practice represents a conflict of interest. There is a financial incentive for Mr. Schmidt to recommend products that pay him a commission or other compensation. The conflict mitigation steps include disclosures, the Code of Ethics, and Mr. Schmidt's fiduciary obligation to place the best interest of the client first. There is no obligation to purchase any commission based or other compensated products. Clients have the option to purchase any recommended products through the insurance agent of their choosing.

Item 5 Additional Compensation

Mr. Schmidt does not receive any performance-based fees. Mr. Schmidt receives additional compensation in his capacity as an insurance agent.

Item 6 Supervision

Steve Frontczak is the Chief Compliance Officer of FTA. Mr. Frontczak reviews the advisory activities of Mr. Schmidt. He can be reached by phone at (314) 858-1122 or by email at steve@fta-ria.com.