



ASPIREON

Kevin McClain Harris

CRD 3129566

Form ADV Part 2B | Brochure Supplement

January 2021

1900 NW Loop 410, Suite 250

San Antonio, TX 78213

210.424.8710

www.aspireonwealth.com

This brochure supplement provides information about Kevin McClain Harris that supplements the Aspireon Wealth Advisors brochure. You should have received a copy of that brochure. Please contact Kevin McClain Harris, if you did *not* receive the Aspireon Wealth Advisors' brochure or if you have any questions about the contents of this supplement.

Additional information about Kevin McClain Harris is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2. EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

Kevin McClain Harris was born in 1975. Mr. Harris graduated from the University of San Antonio at San Antonio in 1998, with a Bachelors of Business Administration degree in Accounting. Mr. Harris has been an investment adviser representative of the Aspireon Wealth Advisors since January of 2017 and is President, Chief Compliance Officer and Chief Investment Officer. From April of 2016 to December of 2016, Mr. Harris was a managing partner at RedHawk Opportunity Partners, LLC, a registered investment advisor which provided advisory solutions to ultra-high net worth clients. From January of 2015 to August of 2015, Mr. Harris was a managing partner at Titleist Asset Management providing consultative solutions. From August 2013 until December 2014, Mr. Harris managed RedHawk Opportunity Partners, LLC, as a real estate venture which included the unfunded entity RedHawk Real Estate Opportunities, LP. From January of 2010 to September of 2012, Mr. Harris was co-founder and Chief Investment Officer for Covenant Multi-Family Offices, LLC. From December 2003 to January 2010, Mr. Harris served as Senior Vice President and the Chief Investment Officer for Frost Bank's Wealth Management Services Group.

Mr. Harris has been a CFA® Charter Holder since 2003. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 150,000 CFA charterholders working in 165 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

ITEM 3. DISCIPLINARY INFORMATION

None.

ITEM 4. OTHER BUSINESS ACTIVITIES

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.
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ITEM 5. ADDITIONAL COMPENSATION

None.

ITEM 6. SUPERVISION

As the President, Chief Compliance Officer, and Chief Investment Officer for the firm, Mr. Harris supervises the activities of the firm and its personnel. Mr. Harris' contact information is on the cover page of this disclosure document. Mr. Harris adheres to required regulations regarding the activities of an investment adviser representative and follows the firm's policies and procedures as stated in the firm's manual, including the Code of Ethics, as well as, applicable regulatory requirements.



ASPIREON

Cynthia Ann Michael

CRD 2447290

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This Brochure Supplement provides information about Cynthia Ann Michael that supplements the Aspireon Wealth Advisors Brochure; you should have received a copy of that Brochure. Please contact Kevin Harris, Chief Compliance Officer, if you did *not* receive the Aspireon Wealth Advisors Brochure or if you have any questions about the contents of this supplement.

Additional information about Cynthia Ann Michael is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2. EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

Cynthia Ann Michael was born in 1970. Ms. Michael graduated from The Ohio State University in 1993, with a Bachelor of Science in Business Administration, majoring in Finance. Ms. Michael has been an investment adviser representative of the Aspireon Wealth Advisors since October of 2015 and is the Managing Director and Private Wealth Strategist responsible for business development and management of client relationships. From January 2011 to October of 2015, Ms. Michael was a financial advisor with Merrill Lynch. From June 1998 to January of 2011, Ms. Michael was a financial advisor with Wells Fargo Investments.

Mrs. Michael has held the designation of Certified Private Wealth Advisor® (CPWA®) since November 2019. The CPWA designation signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for the professional designation, which is centered on management topics and strategies for high-net-worth clients. Prerequisites for the CPWA designation are: a Bachelor's degree from an accredited college or university or one of the following designations or licenses: CIMA®, CIMC®, CFA®, CFP®, ChFC® or CPA license; acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements; five years of professional client-centered experience in financial services or a related industry; and two letters of reference from an IMCA member, professional supervisor, or currently licensed professional in financial services or a related industry. CPWA designees must complete a six-month pre-class educational component; a five-day classroom education program through The University of Chicago Booth School of Business; and pass a comprehensive examination. CPWA designees are required to adhere to IMCA's Code of Professional Responsibility and Rules and Guidelines for Use of the Marks. CPWA designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through Investment Management Consultants Association (IMCA®).

ITEM 3. DISCIPLINARY INFORMATION

None.

ITEM 4. OTHER BUSINESS ACTIVITIES

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
 - B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.
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ITEM 5. ADDITIONAL COMPENSATION

None.

ITEM 6. SUPERVISION

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser's Act ("Act"). The Registrant's Chief Compliance Officer, Kevin Harris, is primarily responsible for the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Kevin Harris at (210)424-8710.



ASPIREON

Brandi Carberry- Vitier

CRD 6912453

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This Brochure Supplement provides information about Brandi Carberry- Vitier that supplements the Aspireon Wealth Advisors Brochure; you should have received a copy of that Brochure. Please contact Kevin Harris, Chief Compliance Officer, if you did *not* receive the Aspireon Wealth Advisors Brochure or if you have any questions about the contents of this supplement.

Additional information about Brandi Carberry- Vitier is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2. EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

Brandi Carberry- Vitier was born in 1972. She has her Bachelors Degree in Spanish Sociology from The University of Texas, San Antonio. She received her Master's Degree in Public Policy from the University of Texas, San Antonio. In June of 2008, she joined The Aspireon. She is an Executive Vice President and Market President for The Bank of San Antonio. Prior to 2008, she was employed by Wells Fargo Bank.

ITEM 3. DISCIPLINARY INFORMATION

None.

ITEM 4. OTHER BUSINESS ACTIVITIES

None.

ITEM 5. ADDITIONAL COMPENSATION

Brandi is employed by Aspireon and serves as an Executive Vice President in a non-investment related role, acting as a relationship manager for The Private Bank providing bank services to customers. This role is with an affiliated company to The Aspireon Wealth Advisors.

ITEM 6. SUPERVISION

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