

Andrew D. Houghton

Delta Investment Management, LLC

708 Montgomery Street
San Francisco, CA 94111
(415) 249-6337

Prepared: March 10, 2021

This Brochure Supplement provides information about Andrew D. Houghton that supplements the Delta Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact Nicholas G. Atkeson, Managing Member at (415) 249-6337 if you did not receive Delta Investment Management, LLC's Brochure or if you have any questions about the content of this supplement.

Additional information about Andrew D. Houghton is available on the SEC's website at www.adviserinfo.sec.gov. Mr. Houghton's CRD number is 2825725.

ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Andrew D. Houghton was born in 1963. He received a BA in Economics from Boston University in 1985.

Employment Background

Employment Dates: 4/2009 - Present
Firm Name: Delta Investment Management, LLC
Type of Business: Investment Advisor
Job Title & Duties: Chief Investment Strategist, oversight of investment process

Employment Dates: 5/2016 – 7/2018
Firm Name: US Capital Wealth Management, LLC
Type of Business: Investment Advisor
Job Title & Duties: Principal, oversight of investment process

Employment Dates: 4/2006 – 3/2009
Firm Name: Delta Force Capital, LLC
Type of Business: Hedge Fund
Job Title & Duties: Partner, portfolio manager

Employment Background (continued)

Employment Dates: 3/2005 – 3/2006
Firm Name: ThinkEquity LLC
Type of Business: Brokerage/Investment Bank
Job Title & Duties: Managing Director/Institutional Sales (Research)

Employment Dates: 3/2003 – 3/2005
Firm Name: Susquehanna International Group, LLC
Type of Business: Brokerage/Trading/Options & Equity
Job Title & Duties: Institutional Sales (Research)

Employment Dates: 11/1996 – 3/2003
Firm Name: Banc of America Securities, LLC
Type of Business: Brokerage/Investment Bank
Job Title & Duties: Principal/Institutional Sales (Research)

ITEM 3: DISCIPLINARY INFORMATION

Registered investment advisors are required to disclose any material facts regarding any legal or disciplinary actions that would be material to your evaluation of each investment advisor representative providing investment advice to you. There is no information of this type to report.

ITEM 4: OTHER BUSINESS ACTIVITIES

Mr. Houghton is not involved in any other business activities.

ITEM 5: ADDITIONAL COMPENSATION

Mr. Houghton does not receive any economic benefit from any non-client for providing advisory services.

ITEM 6: SUPERVISION

Nicholas G. Atkeson, Managing Member, is responsible for the supervision of Mr. Houghton. His telephone number is (415) 249-6337.