

This brochure supplement provides information about Frank Waung that supplements the Watts Capital Partners LLC brochure. You should have received a copy of that brochure. Please contact Frank Waung if you did not receive Watts Capital Partners LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Frank Waung is also available on the SEC's website at www.adviserinfo.sec.gov.

Watts Capital Partners LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Frank Waung

Personal CRD Number: 2514198

Investment Adviser Representative

Watts Capital Partners LLC
1460 Broadway
New York, New York 10036
(908) 397-8529
fwaung@wattscapital.com

UPDATED: 03/29/2021

Item 2: Educational Background and Business Experience

Name: Frank Waung
Born: 1965

Education Background and Professional Designations:

Education:

BA University of California, Santa Cruz 1988
MBA The Wharton School 1994

Business Background:

2019 – Present	Wealth Manager, Watts Capital Partners, LLC
2017 – 2019	CFO, Catapult Worldwide
2012 – 2017	CFO, Chinasoftware International
2009 – 2012	CFO, China Pharma Holdings
2008 – 2009	MD, Hickey Freihofner Capital
2007 – 2008	Special Situation Trader/Analyst, DCM Capital
2000 – 2007	Director, Cowen and Company
1995 -1998	Quantitative Analyst/ Index Arb Trader, Credit Suisse First Boston
1990 – 1992	Programmer, Hughes Aircraft Company

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

N/A

Item 5: Additional Compensation

Other than salary, annual bonuses, regular bonuses, profit distributions and dividends, Frank Waung does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Watts Capital Partners LLC.

Item 6: Supervision

N/A

Item 7: Requirements For State Registered Advisers

This disclosure is required by New York securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Frank Waung has **NOT** been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

B. Frank Waung has **NOT** been the subject of a bankruptcy petition at any time.