

# HUBBELL CONSULTING, LLC

## **Form ADV, Part 2B Brochure Supplement**

### **Brian C. Hubbell**

Investment Consultant  
15720 Brixham Hill, Ave, STE 300  
Charlotte, North Carolina 28277

704-887-4930 (office)

[bhubbell@hubbell-llc.com](mailto:bhubbell@hubbell-llc.com)

March, 2021

This brochure supplement provides information about BRIAN C. HUBBELL that supplements the Hubbell Consulting, LLC Firm Brochure. You should have received a copy of that brochure. Please contact Brian C. Hubbell, Principal, if you did not receive Hubbell Consulting, LLC's Firm Brochure or if you have any questions about the contents of this supplement. Brian C. Hubbell can be reached at 704-887-4930 or via e-mail at [bhubbell@hubbell-llc.com](mailto:bhubbell@hubbell-llc.com), respectively.

Additional information about Brian C. Hubbell is also available on the SEC's website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Part 2B of Form ADV: *Brochure Supplement***

**Item 1 Cover Page**

**This brochure supplement provides information about BRIAN C. HUBBELL that supplements the Hubbell Consulting, LLC Firm Brochure. You should have received a copy of that brochure. Please contact Brian C. Hubbell, Principal, if you did not receive Hubbell Consulting, LLC's Firm Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Brian C. Hubbell is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Supervised Person**

Brian C. Hubbell, Principal  
Hubbell Consulting, LLC  
15720 Brixham Hill Ave, Suite 300  
Charlotte, North Carolina 28277

704-887-4930 (Office Telephone)  
[bhubbell@hubbell-llc.com](mailto:bhubbell@hubbell-llc.com) (E-mail address)

**Firm Contact Information**

Hubbell Consulting, LLC  
15720 Brixham Hill Ave, Suite 300  
Charlotte, North Carolina 28277

704-887-4930 (Office Telephone)  
[www.hubbell-llc.com](http://www.hubbell-llc.com) (Firm Website)

**Date of This Brochure Supplement**

March, 2021.

**Item 2 Educational Background and Business Experience**

**Brian C. Hubbell**

1. Name: Brian C. Hubbell
2. Year of Birth: 1958
3. Education: Bachelor of Science Degree, University of Iowa (May, 1980)
4. Examination: Passed FINRA Series 65 Law Examination
5. Business/Employment Background:
  - Hubbell Consulting, LLC – Founder, January 2006 – Present
  - Findley Davies, Inc. – Principal, March 1985 – December 2005
  - Mutual Of New York – Pension Consultant, June 1980 – March 1985

**Item 3 Disciplinary Information**

**Brian C. Hubbell – NO Disciplinary Action Imposed**

The following are four primary categories in which legal or disciplinary events may be imposed on a “supervised person” of the firm and, if applicable, must be disclosed to clients or prospective clients. As indicated in each of the four categories, BRIAN C. HUBBELL has NOT encountered any legal or disciplinary action nor has any “management person” of the Firm.

1. Criminal or Civil Action in a Domestic, Foreign, or Military Court - Applicable to the Investment-Related Business. **NONE.**
2. Administrative Proceeding Before the SEC, any Federal Regulatory Agency, any State Regulatory Agency, or any Foreign Financial Regulatory Authority – Applicable to the Investment –Related Business. **NONE.**
3. A Self – Regulatory Organization (SRO) Proceeding - Applicable to the Investment –Related Business. **NONE.**
4. Any other hearing or formal adjudication in which a professional attainment, designation, or license of the *supervised person* was revoked or suspended because of a violation of rules relating to professional conduct. **NONE.**

**Item 4 Other Business Activities**

***Brian C. Hubbell has NO other financial activities, affiliations, or conflicts of interest*** including, but not limited to, the following list.

1. NO affiliation or pending registration with any broker-dealer;
2. NO affiliation of pending registration with a futures commission merchant, commodity pool operator, commodity trading advisor, or an associated person of the foregoing entities;
3. NO affiliation or material relationship or arrangement with any of the following persons or organizations (1) Broker – dealer or securities dealer of any kind, (2) Investment company or any “pooled” investment vehicles, (3) Other investment adviser or financial planner, (4) Futures commission merchant, commodity pool operator or trading advisor, (5) Banking or thrift institution, (6) Accountant or accounting firm, (7) Lawyer or law firm, (8) Insurance company or agency, (9) Real estate broker or dealer, or (10) Sponsor or syndicator of limited partnerships.; and
4. Does NOT recommend or select other investment advisors for clients and receive compensation from an investment advisor.

***Brian C. Hubbell DOES have an affiliation with Hubbell Consulting, LLC as an employee and owner.*** Hubbell Consulting, LLC provides non-investment “pension consulting” services that may be provided to clients for whom Hubbell Consulting, LLC also provides investment advisory services. There is no requirement that Hubbell Consulting, LLCs non-investment pension consulting services be engaged by any client to retain our investment advisory services. Similarly, there is no requirement that Hubbell Consulting, LLCs investment advisory services be engaged by any client to retain our non-investment pension consulting services.

**Item 5 Additional Compensation**

**Brian C. Hubbell does NOT receive any form of “additional compensation”** such as sales awards, prizes or any other form of gratuity.

**Item 6 Supervision**

All “supervised persons” of Hubbell Consulting, LLC are subject to supervision by Brian C. Hubbell, Founder. As supervision applies to Brian C. Hubbell, we independently review every investment recommendation and corresponding “draft” of client deliverables and recommendations. We then mutually discuss all recommendations and deliverables, modify as necessary, and the final deliverable/recommendation is prepared and transmitted to the client.

**Dana Christine James – Supervisor for Brian C. Hubbell**

Hubbell Consulting, LLC  
15720 Brixham Hill Ave, STE 300  
Charlotte, North Carolina 28277

704-887-4930 (Office)  
[djames@hubbell-llc.com](mailto:djames@hubbell-llc.com) (E-mail)

**Item 7 Requirements for State-Registered Advisers**

As denoted in each of the categories below, BRIAN C. HUBBELL has NOT been involved in any one of the events listed below:

1. An award or otherwise being *found* liable in an arbitration claim alleging damages in excess of \$2,500, *involving* any of the following: **NONE**
  - (a) an investment or an *investment-related* business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices
2. An award or otherwise being *found* liable in a civil, *self-regulatory organization*, or administrative *proceeding* *involving* any of the following: **NONE**
  - (a) an investment or an *investment-related* business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.
3. If the *supervised person* has been the subject of a bankruptcy petition, disclose that fact, the date the petition was first brought, and the current status. **NONE**