

Part 2B of Form ADV: Brochure Supplement

D.M. BRENNER, INC.
FINANCIAL PLANNING

It's not always about money

David M. Brenner, ChFC[®], CLU[®]

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This brochure supplement provides information about David M. Brenner that supplements the D. M. Brenner, Inc. brochure. You should have received a copy of that brochure. Please contact David M. Brenner at (858) 345-1001 if you did not receive D. M. Brenner, Inc.'s ADV brochure or if you have any questions about the contents of this supplement.

Additional information about David M. Brenner is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 1 COVER PAGE

Please refer to the previous page.

ITEM 2 EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

EDUCATION

- Muhlenberg College; BA, Business; 1985

BUSINESS EXPERIENCE

- D.M. Brenner, Inc. (and its predecessors) - Owner, President, CCO; from Jan., 1985 to Present
 - David M. Brenner – 1985-1993
 - David Brenner & Associates (RIA) 1993-2004
 - Navigator Advisory Services, Inc. (RIA) 2004-2010
 - D.M. Brenner & Associates (RIA) - 2010-2012
 - D.M. Brenner, Inc.(RIA) - 2013-present
- Broker/Dealer and Agency affiliations
 - Fortune Financial Services, Inc.; Registered Representative; from 05/2013 to 10/2020
 - SCF Securities, Inc.; Registered Representative; from 08/2011 to 05/2013
 - Linsco Private Ledger; Registered Representative; from 05/1999 to 08/2011
 - John Hancock; Agent/Registered Representative; from 1991 to 04/1999
 - Penn Mutual; Agent/Registered Representative; from 1985 to 1991

DESIGNATIONS

David M. Brenner has earned the following designation(s) and is in good standing with the granting authority:

ChFC®; The American College; 1988

The ChFC® program is administered by the American College, Bryn Mawr, Pennsylvania. The designation has the same core curriculum as the CFP designation, plus two or three additional elective courses that focus on various areas of personal financial planning, including income tax, insurance, investment and estate planning. Candidates are required to have a minimum of three (3) years' experience in a financial industry position.

CLU®; The American College; 1995

The CLU® professional designee must successfully complete all 12 program courses; have at least three years of full-time business experience or alternative educational requirements; maintain continuing education requirements and ongoing ethics standards; as well as agree to comply with The American College Code of Ethics and Procedures.

ITEM 3 DISCIPLINARY INFORMATION

David M. Brenner has no reportable disciplinary history.

ITEM 4 OTHER BUSINESS ACTIVITIES

INVESTMENT-RELATED ACTIVITIES

David M. Brenner is also engaged in the following investment-related activities:

Insurance Agent or Broker

David M. Brenner is a licensed insurance agent (CA Insurance license # 0801900) through numerous insurance companies. In such a capacity, he may offer insurance products and receive normal and customary commissions as a result of such a purchase. This presents a conflict of interest to the extent that he recommends the purchase of an insurance product which results in a commission being paid to him as an insurance agent.

David M. Brenner receives commissions on the sale of securities, insurance or other investment products for services related to the implementation of financial planning recommendations.

In every situation, the client's needs are considered first, and recommendations are made without regard to additional compensation.

NON- INVESTMENT-RELATED ACTIVITIES

David M. Brenner is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

ITEM 5 ADDITIONAL COMPENSATION

David M. Brenner does not receive any economic benefit from a non-advisory client for the provision of advisory services.

ITEM 6 SUPERVISION

David M. Brenner is responsible for all supervision and formulation of client recommendations. David M. Brenner reviews all employee personal securities transactions on an annual basis, leads investment committee meetings, oversees all material investment policy changes, and conducts periodic testing to ensure that client objectives and mandates are being met.

ITEM 7 REQUIREMENTS FOR STATE-REGISTERED ADVISERS

1. David M. Brenner has not been found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
2. David M. Brenner has not been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
3. David M. Brenner was subject to a Chapter 7 bankruptcy petition in 1993.