



Financial & Tax Architects, Inc.
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Form ADV Part 2B
Lindahl L Lucas

This brochure supplement provides information about Lindahl L. Lucas that supplements Financial & Tax Architects, Inc.'s brochure. You should have received a copy of that brochure. Please contact Steve Frontczak, Chief Legal Officer, if you did not receive Financial & Tax Architects, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Lindahl L. Lucas (CRD #4815132) is available on the SEC's website at www.adviserinfo.sec.gov.

March 31, 2021

Brochure Supplement (Part 2B of Form ADV)

Lindahl L. Lucas

Investment Advisor Representative

- Year of birth: 1964

Item 2 Educational Background and Business Experience

Educational Background:

- California Lutheran University, BA-Business

Business Experience:

- Financial and Tax Architects, Inc.; Investment Advisor Representative; 10/2017 – Present
- Davinci Wealth Management; President; 10/2017 – Present
- Lucas Insurance Services, Inc.; President; 05/1989 – Present
- Woodbury Financial Services, Registered Representative; 04/2006 – 04/2008
- Farmers Insurance Company; Agent; 06/1985 – 04/1989

Item 3 Disciplinary Information

- Criminal or Civil Action: Mr. Lucas was convicted of a misdemeanor for a failure to provide evidence to a state agency, the California Department of Insurance. Mr. Lucas paid a \$500 fine as restitution in 2016. In May of 2018, the misdemeanor was expunged from Mr. Lucas's record.
- Administrative Proceeding: Mr. Lucas's California insurance license was restricted for a period of five (5) years beginning in 2016 as the result of an administrative action.
- Self-Regulatory Proceeding: None to report.

Item 4 Other Business Activities

Lindahl Lucas is the President of Lucas Insurance Services, Inc., a California-based insurance agency and Davinci Wealth Management. Davinci provides financial planning and consulting services, including advisory services through Financial & Tax Architects, Inc. Mr. Lucas spends approximately 70% of his time is spent in his insurance practice. In that capacity, he will provide advice on various insurance products. Mr. Lucas only sells insurance products in states where he is licensed.

This practice represents a conflict of interest. There is a financial incentive for Mr. Lucas to recommend products that pay him a commission or other compensation. The conflict mitigation steps include disclosures, the Code of Ethics, and Mr. Lucas's fiduciary obligation to place the best interest of the client first. There is no obligation to purchase any commission based or other compensated products. Clients have the option to purchase any recommended products through the insurance agent of their choosing.

Item 5 Additional Compensation

Mr. Lucas does not receive any performance-based fees. Mr. Lucas receives additional compensation in his capacity as an insurance agent.

Item 6 Supervision

Steve Frontczak is the Chief Compliance Officer of FTA. Mr. Frontczak reviews the advisory activities of Mr. Lucas. He can be reached by phone at (314) 858-1122 or by email at steve@fta-ria.com.