

# Brochure Supplement

Vicki L. Wiley

DOB: 1971



## WesBanco Securities, Inc.

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<http://www.wesbanco.com/WealthManagement/Brokerage/Index.aspx>

### **PURPOSE OF THE BROCHURE SUPPLEMENT:**

This *BROCHURE SUPPLEMENT* provides information about Vicki L. Wiley that supplements the WesBanco Securities, Inc. *FIRM BROCHURE* document. You should have received a copy of the *FIRM BROCHURE*. Please contact Vicki L. Wiley if you did not receive WesBanco Securities, Inc. *FIRM BROCHURE* or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Vicki L. Wiley is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (Vicki L. Wiley's CRD # is 5215860).

### **NOTE:**

While WesBanco Securities, Inc. may refer to itself as a "registered investment advisor" or "registered", clients should be aware that registration itself does not imply any level or skill or training.

### **Educational & Business Experience**

#### Education

- West Liberty State College, Bachelor of Science, Business Administration-Accounting 1993

#### Business Background

- WesBanco Securities Inc.- (2013-Present) Registered Representative, Investment Advisor Representative
- The Huntington Investment Company- (2008-2013) Financial Advisor
- Citizens National Investment Services – (2006-2008) Investment Representative
- Great Homes Funding – (2005-2006) Mortgage Loan Officer
- Liberty Savings Bank – (2002-2005) Mortgage Loan Officer

### **Disciplinary Information**

Vicki L. Wiley has not had any legal or disciplinary events in the past. Clients and prospective clients can view the CRD records (registration records) for Ms. Wiley through the SEC's Investment Adviser Public Disclosure (IAPD) website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) or FINRA's BrokerCheck database online at [www.finra.org/brokercheck](http://www.finra.org/brokercheck). The CRD number for Ms. Wiley is # 5215860.

### **Other Business Activities**

In addition to being an Investment Advisor Representative (hereafter "IA Rep") of WesBanco Securities, Inc. (Hereafter "WSI Advisor"), Ms. Wiley is licensed as an insurance agent.

Ms. Wiley may make recommendations on insurance products and may sell those recommended insurance products to advisory clients. When such recommendations or sales are made, a conflict of interest exists as Ms. Wiley may earn insurance commissions for the sale of those products, which may create an incentive to recommend such products. WSI Advisor requires that Ms. Wiley disclose this conflict of interest when such recommendations are made. Also, WSI Advisor requires Ms. Wiley to disclose that advisory clients may purchase recommended insurance products from other insurance agents not affiliated with WSI Advisor.

### **Additional Compensation**

Aside from the sales commissions paid by insurance companies to Ms. Wiley (see the "Other Business Activities" section above), Ms. Wiley does not receive any additional compensation from non-clients for providing advisory services. All advisory compensation is paid by clients directly.

### **Supervision**

All activities of Ms. Wiley as an IA Rep are reviewed by the Principals of the firm. Financial plan recommendations are reviewed by the Principal(s) before the plan is completed. For portfolio management recommendations, the Principal(s) review all recommended transactions at the end of each day. The Principal(s) review account documentation and activity periodically in addition to the quarterly calculation of advisory fees. Advisory clients may contact WSI Principals directly:

Rose L. Wilson

304 905 7215

### **Requirements for State-Registered Advisors**

WSI Advisor would be required to disclose additional information for Ms. Wiley if either had ever been the subject of a bankruptcy petition or even been found liable in either: (a) an arbitration; or (b) a civil, self-regulatory organization, or administrative proceeding. As none of these apply, WSI Advisor has no information to disclose in this regard. WSI is SEC registered, therefore, this does not apply.