



WEITZEL
FINANCIAL
SERVICES, INC.

Registered Investment Advisor
CRD # 27346

Weitzel Financial Services, Inc.
2200 John F. Kennedy Road
Suite 103
Dubuque, IA 52002

Tel: (563) 583-6020

Fax: (563) 583-6053

www.weitzelfinancial.com

Raymond A. Quint

Investment Advisor Representative
CRD #1809185

Form ADV Part 2B
Brochure Supplement
March 30, 2021

This brochure provides information about Raymond A. Quint that supplements Weitzel Financial Services, Inc. Form ADV Part 2A firm brochure. You should have received a copy of that brochure. Please contact Bradley Weitzel at (563) 583-6020 if you did not receive the full brochure or if you have any questions about the contents of this supplement. Additional information about Raymond A. Quint is available on the Securities and Exchange Commission's (SEC) website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Regulatory guidance requires the firm to disclose relevant post-secondary education and professional training for each associate of the firm, as well as their business experience for at least the most recent five years.

Associated Person

Investment Advisor Representative

Raymond Allen Quint

Year of Birth: 1952 / CRD Number: 1809185

Educational Background and Business Experience

Educational Background

Associates Degree—Business & Marketing, NICC; Calmar, IA

Chartered Life Underwriter

LUTCF: Life Underwriter Training Council Fellow

Business Experience

Weitzel Financial Services, Inc. (06/17/2013-Present)

Dubuque, IA

Registered Representative (06/17/2013-Present)

Investment Advisor Representative (06/2018-Present)

Transamerica Financial Advisors, Inc. (01/02/1998 – 06/17/2013)

Dubuque, IA

Registered Representative

Aegon USA Securities, Inc. (02/18/1988 – 01/02/1998)

Dubuque, IA

Registered Representative

Item 3 – Disciplinary Information

Registered investment advisors are required to disclose certain material facts regarding any legal or disciplinary events that would be material to the evaluation of each officer or a supervised person providing investment advice. Raymond Quint has not been the subject of any such event.

Item 4 – Other Business Activities

Investment advisor representatives are required to disclose outside business activities that account for a significant portion of their time or income, or that may present a conflict of interest with their advisory activities.

Raymond Quint is not registered, nor has an application pending to register, as an associated person of a futures commissions merchant, commodity pool operator, or commodity trading advisor. Neither Mr. Quint nor our advisory firm has a material relationship with the issuer of a security.

Raymond Quint is a licensed insurance agent and is able to sell annuities, life, health, disability income and long-term care coverage to interested parties through various unaffiliated insurance companies via our firm. He receives commissions and renewals from the issuer on a client's purchase of an insurance contract. This activity

involves approximately 25% of his time during traditional business hours each month. He is a registered representative of the firm's FINRA member broker/dealer. This activity involves 50% or more of his time each month. He may therefore perform in the role as registered representative, insurance agent, or as representative of our investment advisor, and he will disclose in advance of a transaction or advisory agreement the capacity in which he is serving a client, to include the conflict of interest the role or service to be provided may incur. He may receive commissions, overrides or other compensation from the sale of an insurance contract through various unaffiliated carriers, as well as commissions, bonuses, advisory fees or other compensation from the sale of securities through our broker/dealer; including distribution or service ("trail") fees from the sale of mutual funds. The potential for the receipt of commissions and other compensation gives an associate an incentive to offer a recommendation based on the compensation received rather than on the client's needs. Mr. Quint and our firm take their responsibilities seriously and intend to only make recommendations believed appropriate for the client.

Item 5 – Additional Compensation

Neither our advisory firm nor Raymond Quint is compensated for advisory services involving performance-based fees. Firm policy does not allow associated persons to accept or receive additional economic benefit, such as sales awards or other prizes, for providing advisory services to firm clients.

Item 6 – Supervision

Firm policies and procedures have been designed to ensure appropriate recordkeeping and supervision, and all associates are required to adhere to our firm's Code of Ethics and procedural guidelines. Bradley Weitzel, as Chief Compliance Officer, will monitor firm activities and the advice provided by performing the following ongoing reviews:

- Account opening documentation when the relationship is established
- Review of account transactions
- Assessments of the client's financial situation, objectives, and investment needs
- A review of client correspondence on an as needed basis
- Periodic internal firm review

Questions relative to the firm, its services or this Form ADV Part 2 may be made to the attention of Bradley Weitzel at (563) 583-6020. Additional information about the firm, other advisory firms, or an associated investment advisor representative is available on the Internet at www.adviserinfo.sec.gov. A search of this site for firms may be accomplished by firm name or a unique firm identifier, known as an IARD or CRD number. The IARD number for Weitzel Financial Services, Inc. is 27346. The business and disciplinary history, if any, of an investment advisory firm and its representatives may also be obtained by calling your state securities commission.

Item 7 – Requirements for State-Registered Advisors

There have been neither awards nor sanctions or other matter where Raymond Quint or Weitzel Financial Services, Inc. has been found liable in an arbitration, self-regulatory or administrative proceeding. Neither Raymond Quint nor Weitzel Financial Services, Inc. has been the subject of a bankruptcy petition.