

Item 1

Uhlmann Investment Management, L.L.C.
303 West Madison
Suite 1700
Chicago, IL 60606
312-264-4400

BROCHURE SUPPLEMENT
FOR
LARRY DOODY Registered Investment
Advisor Securities and Exchange
Commission Form ADV Part 2B
Dated March 10, 2021

This brochure supplement provides information about Larry Doody that supplements the Uhlmann Investment Management, L.L.C. brochure. You should have received a copy of that brochure. Please contact James Baer or Alan Konn, at the number above if you did not receive Uhlmann Investment Management, L.L.C.'s brochure or if you have any questions about the contents of this supplement. Registration does not imply a certain level of skill or training. Additional information about Larry Doody is available on the SEC's website at www.adviserinfo.sec.gov.

Larry Doody

Item 2

YOB: 1963

Education: Arizona State University, BS Finance

Business Background:

- | | |
|--|-------------------|
| • Uhlmann Investment Management, LLC, RIA | 9/2013 - Present |
| • Uhlmann Price Securities, LLC, Senior Vice President | 9/2013 - Present |
| • Kingsbury Capital, LLC, Financial Consultant | 11/2010 - 09/2013 |
| • Renovate America, Managing Director | 01/2010 - 08/2014 |

Item 3

Disciplinary Information – Mr. Doody has no disciplinary information to report.

Item 4

Outside Business Activities – Mr. Doody is a FINRA registered representative with Uhlmann Price Securities. Larry is a licensed insurance producer with One Resource Group, Roanoke, IN, insurance sales, not investment related, 10 hours per month, commission based. He also holds a real estate license with Madrake Real Estate, Schaumburg, IL.

Item 5

Additional Compensation – Mr. Doody has no additional compensation to report.

Item 6

James Baer and Alan Konn, designated principals of UIM supervise Mr. Doody by holding regular compliance meetings, reviewing client reports, emails and daily trading as well as the employee's personal securities transactions and portfolio reports. Mr. Baer can be reached at 312-264-4343; Mr. Konn at 312-264-4340.

Item 7

Mr. Doody has never been involved in/with, or been accused nor found liable for any of the following:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - (a) an investment or an investment-related business or activity;
 - (b) fraud, false statement(s), or omissions;
 - (c) theft, embezzlement, or other wrongful taking of property;
 - (d) bribery, forgery, counterfeiting, or extortion; or
 - (e) dishonest, unfair, or unethical practices.

Mr. Doody has not been the subject of a bankruptcy petition.