

Item 1

**Uhlmann Investment Management, L.L.C.**  
**303 West Madison**  
**Suite 1700**  
**Chicago, IL 60606**  
**312-264-4400**

**BROCHURE SUPPLEMENT  
FOR  
GEORGE ROHRS JR.  
Registered Investment Advisor  
Securities and Exchange Commission  
Form ADV Part 2B  
Dated March 10, 2021**

This brochure supplement provides information about George Rohrs Jr. that supplements the Uhlmann Investment Management, L.L.C. brochure. You should have received a copy of that brochure. Please contact James Baer or Alan Konn, at the number above if you did not receive Uhlmann Investment Management, L.L.C.'s brochure or if you have any questions about the contents of this supplement. Registration does not imply a certain level of skill or training. Additional information about George Rohrs Jr. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).



## **George Rohrs Jr.**

Item 2

YOB: 1944

Education: Manhattan College, BS Marketing

Business Background:

- |  |                   |
|--|-------------------|
| • Uhlmann Investment Management, L.L.C, RIA                  | 06/2017 - Present |
| • Uhlmann Price Securities, LLC, Senior Investment Executive | 01/2003 - Present |

Item 3

Disciplinary Information – Mr. Rohrs has no disciplinary information to report.

Item 4

Outside Business Activities – Mr. Rohrs has been the sole principal and president of Rohrs & Co, L.L.C., a commodity pool operator and commodity trading advisor, since 10/01/1999. He is a FINRA registered representative with Uhlmann Price Securities, L.L.C.

Item 5

Additional Compensation – Mr. Rohrs has no additional compensation to report.

Item 6

James Baer and Alan Konn, designated principals of UIM supervise Mr. Armour by holding regular compliance meetings, reviewing client reports, emails and daily trading as well as the employee's personal securities transactions and portfolio reports. Mr. Baer can be reached at 312-264-4343; Mr. Konn at 312-264-4340.

Item 7

Mr. Rohrs has never been involved in/with, or been accused nor found liable for any of the following:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.

Mr. Rohrs has not been the subject of a bankruptcy petition.