

**Brochure**

**Form ADV Part 2A**

**Item 1 - Cover Page**



**CRD# 105829**

3630 Peachtree Road, NE  
Suite 940  
Atlanta, Georgia 30326

(404) 231-3414

[www.oakbridgepartners.com](http://www.oakbridgepartners.com)

**January 11, 2021**

This Brochure provides information about the qualifications and business practices of Lesesne Capital Management, Inc. dba Oakbridge Partners, Ltd. If you have any questions about the contents of this Brochure, please contact us at (404) 231-3414 or [bdowns@oakbridgepartners.com](mailto:bdowns@oakbridgepartners.com). The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state authority.

Oakbridge Partners, Ltd. is an investment advisory firm registered with the appropriate regulatory authority. Registration does not imply a certain level of skill or training. Additional information about Oakbridge Partners, Ltd. also is available on the SEC's website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

**Item 2 - Material Changes**

This Brochure is prepared in the revised format required beginning in 2011. Registered Investment Advisers are required to use this format to inform clients of the nature of advisory services provided, types of clients served, fees charged, potential conflicts of interest and other information. The Brochure requirements include providing a Summary of Material Changes (the "Summary") reflecting any material changes to our policies, practices, or conflicts of interest made since our last required "annual update" filing. In the event of any material changes, such Summary is provided to all clients within 120 days of our fiscal year-end. Our last annual update was filed on February 6, 2020. Of course the complete Brochure is available to you at any time upon request.

### Item 3 - Table of Contents

### Page

Item 1 - Cover Page .....	1
Item 2 - Material Changes.....	1
Item 3 - Table of Contents .....	2
Item 4 - Advisory Business .....	3
Item 5 - Fees and Compensation .....	5
Item 6 - Performance-Based Fees and Side-By-Side Management .....	6
Item 7 - Types of Clients .....	6
Item 8 - Methods of Analysis, Investment Strategies and Risk of Loss .....	6
Item 9 - Disciplinary Information .....	8
Item 10 - Other Financial Industry Activities and Affiliations .....	8
Item 11 - Code of Ethics, Participation or Interest in Client Transactions and Personal Trading ....	8
Item 12 - Brokerage Practices .....	9
Item 13 - Review of Accounts .....	11
Item 14 - Client Referrals and Other Compensation .....	12
Item 15 - Custody.....	13
Item 16 - Investment Discretion.....	13
Item 17 - Voting Client Securities .....	13
Item 18 - Financial Information.....	14
Brochure Supplements.....	Exhibit A

#### ***Item 4 - Advisory Business***

##### **General Information**

Lesesne Capital Management, Inc., formed in 1974, provides investment management and wealth management services to our clients under the name **Oakbridge Partners, Ltd (“Oakbridge”)**.

William J. Cohen, Warren W. Wick, II, Jennifer I. Storey, Eric B. Toole and C. Brandon Downs are shareholders of Oakbridge. Please see ***Brochure Supplement, Exhibit A***, for more information on these owners and other individuals who formulate investment advice and have direct contact with clients, or have discretionary authority over client accounts.

As of December 31, 2019, Oakbridge managed \$868,003,696 of client assets on a discretionary basis and \$17,582,988 on a non-discretionary basis.

#### **SERVICES OFFERED**

At the outset of each prospective client relationship, before developing an investment strategy, we make a detailed assessment of your current financial circumstances. We spend time with you, discussing your investment experience, available resources, income needs and tolerance for investment risk, and broadly identifying your objectives and goals. This background information assists us in developing a recommended investment plan that is designed to fit your specific needs.

Based on the information initially gathered from you, we generally develop for presentation:

- a Financial Review which provides a current financial snapshot for you, together with financial analysis and projections (the “Financial Review”). These may include short-term (5 years or less), intermediate term (5 - 10 years) and/or long term (10 years or more) projections; and
- a Portfolio Strategy or an Investment Policy Statement which sets forth a recommended portfolio allocation for you, together with appropriate risk/return expectations (the “Investment Plan”).

The Financial Review is a reflection of your current financial picture and a look to your future goals and expectations. The Investment Plan outlines the types of investments we would make on your behalf, if engaged by you, in an effort to meet those goals and expectations.

Once an Investment Plan is implemented on your behalf, the Investment Plan will be monitored and updated from time to time as determined to be necessary or advisable based on our experience, changes in market conditions, changes in your financial position and/or other circumstances. Modifications to the initial Investment Plan, while a topic for ongoing communications with you, will not necessarily be reflected in a written document.

With respect to any account for which we meet the definition of a fiduciary under Department of Labor rules, we acknowledge that both Oakbridge and our Related Persons are acting as fiduciaries. Additional disclosure may be found elsewhere in this Brochure or in the written agreement between Oakbridge and the Client.

### Portfolio Management

We will manage your investment portfolio on a discretionary basis. As a discretionary investment adviser, we will have the authority to supervise and direct your portfolio without prior consultation with you. The only non-discretionary assets supervised by Oakbridge are those few accounts managed by sub-advisers selected by you. Notwithstanding the foregoing, you may impose certain written restrictions on us in the management of your investment portfolio, such as prohibiting the inclusion of certain types of investments or prohibiting the sale of certain investments held in the account at the commencement of the relationship. You should note, however, that restrictions imposed by you may adversely affect the composition and performance of your investment portfolio.

Your investment portfolio is treated individually, with consideration given to each trade for your account. For these and other reasons, performance of your investment portfolio within the same investment objectives, goals and/or risk tolerance may differ, and you should not expect that the composition or performance of your investment portfolio would necessarily be consistent with similar clients of ours.

### Investment Strategies in Portfolio Management

We believe, and academic studies show, that over 90% of your investment return will be predicated upon your asset allocation, as opposed to individual stock selection and the timing of purchase and sales. The allocation of your assets among equities, fixed income and alternative investments is made pursuant to two basic methodologies: strategic and tactical.

The **strategic asset allocation** process involves determining your investment objectives and the time horizon over which these objectives are targeted to be reached. Taking into account these factors and the historical return patterns for different asset classes, we allocate assets among selected asset classes to attain your goals over time. The **tactical asset allocation** process also takes into account historical market data but in a different manner. It involves the periodic movement among asset classes to change the risk characteristics of a portfolio based on current economic and market conditions.

We utilize several computer models and our financial planning services to determine the appropriate initial asset allocation for you. Tactical allocations, including increasing or decreasing equity exposure, are made periodically as economic and market conditions warrant.

Fixed income investments may be used as a strategic investment, as an instrument to fulfill liquidity or income needs in a portfolio, or to add a component of capital preservation.

We use both active and passive investment strategies. For active strategies we use our investment experience and a number of research services and computer generated screens to identify successful investment managers and funds available in today's market place. We look for investment managers and funds that meet selected criteria for quality, expense ratios, manager tenure, asset size, investment discipline and consistent results over various time periods.

We utilize investment research, investment related services and tools from a number of sources, including: (a) asset simulation and/or performance evaluation software; (b) research on mutual funds, ETFs and separate account managers; and (c) economic commentary from a number non-affiliated third parties including, without limitation: Ned Davis Research, Charles Schwab & Co, Dow Jones & Co., Morningstar, Ibbotson, Littman/Gregory, JPMorgan, Dimensional Fund Advisors, Van Eck/Tillman and Steele Systems.

### Financial Planning

The wealth management services we offer include financial planning. These services generally are included in conjunction with ongoing portfolio management; however, in certain circumstances, financial planning may be provided on a stand-alone basis.

Depending on your particular situation, financial planning and other wealth management services may include some or all of the following:

- Gathering factual information concerning your personal and financial situation;
- Assisting you in establishing financial goals and objectives;
- Analyzing your present situation and anticipated future activities in light of your financial goals and objectives;
- Identifying problems foreseen in the accomplishment of these financial goals and objectives and offering alternative solutions to the problems;
- Making recommendations to help achieve retirement plan goals and objectives;
- Designing an investment portfolio to help meet your goals and objectives;
- Providing tax and estate planning;
- Assessing risk and reviewing basic health, life and disability insurance needs; or
- Reviewing goals and objectives and measuring progress toward these goals.

If financial planning advice is provided on a fee basis, you may choose to engage us to manage the investment portfolio on an ongoing basis; however, you are under no obligation to act upon any of the recommendations made by us under a financial planning engagement and/or engage the services of any recommended investment professional.

### ***Item 5 - Fees and Compensation***

#### General Fee Information

Fees paid to us are exclusive of all custodial and transaction costs paid to your custodian, brokers or other third party consultants. Please see ***Item 12 - Brokerage Practices*** for additional information. Fees paid to us are also separate and distinct from the internal fees and expenses charged by mutual funds, ETFs (exchange traded funds) or other investment pools to their shareholders (generally including a management fee and fund expenses, as described in each fund's prospectus or offering materials). You should review all fees charged by funds, brokers, Oakbridge and others to fully understand the total amount of direct and indirect fees incurred by you for investment and financial-related services.

#### Financial Planning Fees

Financial planning may be provided as a stand-alone service. Fees for a separate financial plan are negotiated at the time of the engagement for such services, and are normally based on an hourly fee.

#### Portfolio Management Fees

The current<sup>1</sup> annual fee schedule, based on a percentage of assets under management, is as follows:

- 1.00% of the first \$2,000,000
- 0.80% of the next \$3,000,000

---

<sup>1</sup> Certain legacy accounts may be subject to a different fee schedule, as outlined in applicable written agreements with such clients.

- 0.50% of the next \$5,000,000
- Negotiable on portfolios valued over \$10,000,000

The minimum portfolio value is generally set at \$500,000. In limited cases smaller accounts may be accepted. We may, at our discretion, make exceptions to the foregoing or negotiate special fee arrangements where we deem it appropriate under the circumstances.

Portfolio management fees are generally payable quarterly in advance. If management begins after the start of a quarter, fees will be prorated accordingly. Fees are also prorated for deposits and withdrawals during the quarter. With your authorization and unless other arrangements are made, fees are normally debited directly from your account(s).

Either you or Oakbridge may terminate your Investment Management Agreement at any time, subject to any written notice requirements in the agreement. In the event of termination, any paid but unearned fees will be promptly refunded to you based on the number of days that the account was managed, and any fees due to us from you will be invoiced or deducted from your account prior to termination.

#### ***Item 6 - Performance-Based Fees and Side-By-Side Management***

We do not have any performance-based fee arrangements. "Side-by-Side Management" refers to a situation in which the same firm manages accounts that are billed based on a percentage of assets under management and at the same time manages other accounts for which fees are assessed on a performance fee basis. Because we have no performance-based fee accounts, we have no side-by-side management.

#### ***Item 7 - Types of Clients***

We serve individuals, pension and profit-sharing plans, trusts, estates, corporations, endowments and charitable organizations. With some exceptions, the minimum portfolio value eligible for conventional investment advisory services is \$500,000, and the annual minimum fee charged is \$5,000. Under certain circumstances and in our sole discretion, we may negotiate such minimums.

#### ***Item 8 - Methods of Analysis, Investment Strategies and Risk of Loss***

##### **Methods of Analysis**

In implementing your Investment Plan, we generally utilize no-load equity, fixed income and alternative mutual funds and exchange traded funds ("ETFs"). From time to time, we may also incorporate individual stocks, closed-end funds, master limited partnerships ("MLPs") and/or individual bonds in client accounts.

Mutual funds and ETFs are generally evaluated and selected based on a variety of factors, including, without limitation, past performance, fee structure, portfolio manager tenure, fund sponsor, overall ratings for safety and returns, and other factors.

In selecting individual stocks for an account, we generally rely on traditional fundamental analysis including, without limitation, the following factors:

- Financial strength ratios;
- Price-to-earnings ratios;

- Dividend yields; and
- Growth rate-to-price earnings ratios.

We may incorporate other methods of analysis, such as Charting Analysis and Technical Analysis:

**Charting Analysis** involves gathering and processing price and volume information for a particular security. Our charting analysis may include, without limitation:

- mathematical analysis;
- graphing charts; and
- estimations of future price movements based on perceived patterns and trends.

**Technical Analysis** involves studying past price patterns and trends in the financial markets to predict the direction of both the overall market and specific asset classes and securities.

Fixed income investments may be used as a strategic investment, as an instrument to fulfill liquidity or income needs in a portfolio, or to add a component of capital preservation. We will generally evaluate and select individual bonds or bond funds based on a number of factors including, without limitation, rating, yield and duration.

#### Investment Strategies

The investment strategies employed by us are detailed in **Item 4 - Advisory Business** of this Brochure.

#### Risk of Loss

While we seek to reduce risk of loss by diversifying your investment portfolio across various asset classes consistent with your Investment Plan, all investment portfolios are subject to risks. Accordingly, there can be no assurance that your investment portfolio will be able to fully meet your investment objectives and goals, or that investments will not lose money.

Below is a description of several of the principal risks that your investment portfolio faces.

**Management Risks.** While we manage your investment portfolio based on our experience, research and proprietary methods, the value of your investment portfolio will change daily based on the performance of the underlying securities in which they are invested. Accordingly, your investment portfolio is subject to the risk that we allocate your assets to individual securities and/or asset classes that are adversely affected by unanticipated market movements, and the risk that our specific investment choices could underperform their relevant indexes. We recommend or select various types of investments, generally described below, depending on the identified investment objectives of each client.

**Risks of Investments in Mutual Funds, ETFs and Other Investment Pools.** As described above, we will generally invest your portfolios in mutual funds, ETFs and other investment pools (“pooled investment funds”). Investments in pooled investment funds are generally less risky than investing in individual securities because of their diversified portfolios; however, these investments are still subject to risks associated with the markets in which they invest. In addition, pooled investment funds’ success will be related to the skills of their particular managers and their performance in managing their funds. Pooled investment funds are also subject to risks due to regulatory

restrictions applicable to registered investment companies under the Investment Company Act of 1940.

*Equity Market Risks.* We may invest portions of your assets directly into equity investments, primarily through pooled investment funds that invest in the stock market. As noted above, while pooled investment funds have diversified portfolios that may make them less risky than investments in individual securities, funds that invest in stocks and other equity securities are nevertheless subject to the risks of the stock market. These risks include, without limitation, the risks that stock values will decline due to daily fluctuations in the markets, and that stock values will decline over longer periods (e.g., bear markets) due to general market declines in the stock prices for all companies, regardless of any individual security's prospects.

*Fixed Income Risks.* We may invest portions of your assets directly into fixed income instruments, such as bonds and notes, or may invest in pooled investment funds that invest in bonds and notes. While investing in fixed income investments either directly or through pooled investment funds are generally less volatile than funds investing in the stock market, they nevertheless are subject to risks. These risks include, without limitation, interest rate risks (risks that changes in interest rates will devalue the investments), credit risks (risks of default by borrowers), or maturity risk (risks that bonds or notes will change value from the time of issuance to maturity).

*Foreign Securities Risks.* We may invest portions of your assets into pooled investment funds that invest internationally. While foreign investments are important to the diversification of your investment portfolio, they carry risks that may be different from U.S. investments. For example, foreign investments may not be subject to uniform audit, financial reporting or disclosure standards, practices or requirements comparable to those found in the U.S. Foreign investments are also subject to foreign withholding taxes and the risk of adverse changes in investment or exchange control regulations. Finally, foreign investments may involve currency risk, which is the risk that the value of the foreign security will decrease due to changes in the relative value of the U.S. dollar and the security's underlying foreign currency.

### ***Item 9 - Disciplinary Information***

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Oakbridge or the integrity of our management. Oakbridge has no disciplinary events to report.

### ***Item 10 - Other Financial Industry Activities and Affiliations***

We are affiliated with another Registered Investment Adviser, Antares Wealth Management, LLC. The firms share office space and personnel, and Oakbridge is an owner of Antares Wealth.

The other owner of Antares Wealth Management, LLC, Seven Bridges Road, LLC, shares ownership with an accounting firm call Antares Group, Inc. Oakbridge does not have any common ownership with Antares Group, Inc.

### ***Item 11 - Code of Ethics, Participation or Interest in Client Transactions and Personal Trading***

#### **Code of Ethics and Personal Trading**

We have adopted a Code of Ethics ("the Code"), the full text of which is available to you upon request. Our Code has several goals. First, the Code is designed to assist us in complying with applicable laws and regulations governing our investment advisory business. Under the



Investment Advisers Act of 1940, we owe fiduciary duties to our clients. Pursuant to these fiduciary duties, the Code requires persons associated with us (managers, officers and employees) to act with honesty, good faith and fair dealing in working with clients. In addition, the Code prohibits such associated persons from trading or otherwise acting on insider information.

Next, the Code sets forth guidelines for professional standards for our associated persons. Under the Code's Professional Standards, we expect our associated persons to put the interests of our clients first, ahead of personal interests. In this regard, our associated persons are not to take inappropriate advantage of their positions in relation to our clients.

Third, the Code sets forth policies and procedures to monitor and review the personal trading activities of associated persons. From time to time, our associated persons may invest in the same securities recommended to clients. Under our Code, we have adopted procedures designed to reduce or eliminate conflicts of interest that this could potentially cause. The Code's personal trading policies include procedures for limitations on personal securities transactions of associated persons, reporting and review of such trading and pre-clearance of some types of personal trading activities. These policies are designed to discourage and prohibit personal trading that would disadvantage clients. The Code also provides for disciplinary action as appropriate for violations.

#### Participation or Interest in Client Transactions

Because your account will be invested almost exclusively in open-end mutual funds and ETFs, there is little opportunity for a conflict of interest between personal trades by Oakbridge associated persons and trades in your account, even when such accounts invest in the same securities. However, in the event of other identified potential trading conflicts of interest, our goal is to place client interests first.

Consistent with the foregoing, we maintain policies regarding participation in initial public offerings ("IPOs") and private placements to comply with applicable laws and avoid conflicts with client transactions. If our associated person wishes to participate in an IPO or invest in a private placement, he or she must submit a pre-clearance request and obtain the approval of the Chief Compliance Officer.

Finally, if associated persons trade with client accounts (i.e., in a bundled or aggregated trade), and the trade is not filled in its entirety, the associated person's shares will be removed from the block, and the balance of shares will be allocated among client accounts in accordance with our written policy.

### ***Item 12 - Brokerage Practices***

#### Best Execution and Benefits of Brokerage Selection

When given discretion to select the brokerage firm that will execute orders in your account, we seek "best execution" for client trades, which is a combination of a number of factors, including, without limitation, quality of execution, services provided and commission rates. Therefore, we may use or recommend the use of brokers who do not charge the lowest available commission in the recognition of research and securities transaction services, or quality of execution. Research services received with transactions may include proprietary or third party research (or any combination), and may be used in servicing any or all of our clients. Therefore, research services received may not be used for the account for which the particular transaction was effected.

We recommend that you establish a brokerage account with Charles Schwab & Co., Inc. ("Schwab"), a FINRA registered broker-dealer, member SIPC, as the qualified custodian to maintain custody of your assets. We may also effect trades for your accounts at Schwab, or may in some instances, consistent with our duty of best execution and specific agreement with you, elect to execute trades elsewhere. Although we may recommend that you establish accounts at Schwab, it is ultimately your decision to custody assets with Schwab. We are independently owned and operated and are not affiliated with Schwab.

Schwab Advisor Services provides us with access to its institutional trading, custody, reporting and related services, which are typically not available to Schwab retail investors. Schwab also make available various support services. Some of those services help us manage or administer our clients' accounts while others help us manage and grow our business. These services generally are available to independent investment advisors on an unsolicited basis, at no charge to them. These services are not soft dollar arrangements, but are part of the institutional platform offered by Schwab. Schwab's brokerage services include the execution of securities transactions, custody, research, and access to mutual funds and other investments that are otherwise generally available only to institutional investors or would require a significantly higher minimum initial investment.

For our client accounts maintained in its custody, Schwab generally does not charge separately for custody services but is compensated by account holders through commissions or other fees on trades that it executes or that settle into your Schwab account. Certain trades may not incur Schwab commissions or transaction fees. Schwab is also compensated by earning interest on the uninvested cash in your account. Schwab Advisor Services also makes available to us other products and services that benefit us but may not directly benefit our clients' accounts. Many of these products and services may be used to service all or a substantial number of our accounts, including accounts not maintained at Schwab.

Schwab's products and services that assist us in managing and administering clients' accounts include software and other technology that (i) provide access to client account data (such as trade confirmations and account statements); (ii) facilitate trade execution and allocate aggregated trade orders for multiple client accounts; (iii) provide pricing and other market data; (iv) facilitate payment of our fees from our clients' accounts; and (v) assist with back-office functions, recordkeeping and client reporting.

Schwab Advisor Services also offers other services intended to help us manage and further develop our business enterprise. These services may include: (i) technology, compliance, legal and business consulting; (ii) publications and conferences on practice management and business succession; and (iii) access to employee benefits providers, human capital consultants and insurance providers. Schwab may make available, arrange and/or pay third-party vendors for the types of services rendered to us. Schwab Advisor Services may discount or waive fees it would otherwise charge for some of these services or pay all or a part of the fees of a third-party providing these services to us. Schwab Advisor Services may also provide other benefits such as educational events or occasional business entertainment of our personnel. In evaluating whether to recommend that you custody your assets at Schwab, we may take into account the availability of some of the foregoing products and services and other arrangements as part of the total mix of factors we consider and not solely on the nature, cost or quality of custody and brokerage services provided by Schwab, which may create a potential conflict of interest.

### Aggregated Trades

We may enter trades as a block where possible and when advantageous to clients whose accounts have a need to buy or sell shares of the same security. This method permits the trading of aggregate blocks of securities composed of assets from multiple client accounts. It allows us to execute trades in a timely, equitable manner, and may reduce overall costs to clients.

We will only aggregate transactions when we believe that aggregation is consistent with our duty to seek best execution (which includes the duty to seek best price) for our clients, and is consistent with the terms of our Investment Advisory Agreement with each client for which trades are being aggregated. No advisory client will be favored over any other client; each client that participates in an aggregated order will participate at the average share price for all Oakbridge's transactions in a given security included in the block. Transaction fees for participating accounts will be assessed at the custodian's rate applicable to each account; therefore, transaction costs may vary among accounts. Accounts may be excluded from a block due to tax considerations, client direction or other factors making the account's participation ineligible or impractical.

We will prepare, before entering an aggregated order, a written statement ("Allocation Statement") specifying the participating client accounts and how it intends to allocate the order among those clients. If the aggregated order is filled in its entirety, it will be allocated among clients in accordance with the Allocation Statement. If the order is partially filled, it will generally be allocated pro-rata, based on the Allocation Statement, or randomly in certain circumstances. Notwithstanding the foregoing, the order may be allocated on a basis different from than specified in the Allocation Statement if all client accounts receive fair and equitable treatment, and the reason for different allocation is explained in writing and is approved by an appropriate individual/officer of Oakbridge. Our books and records will separately reflect, for each client account included in a block trade, the securities held by and bought and sold for that account. Funds and securities of clients whose orders are aggregated will be deposited with the account custodian, and neither the clients' cash nor their securities will be held collectively any longer than is necessary to settle the transaction on a delivery versus payment basis; cash or securities held collectively for clients will be delivered out to the account custodian as soon as practicable following the settlement, and we will receive no additional compensation or remuneration of any kind as a result of the proposed aggregation.

### Directed Brokerage

Oakbridge does not generally allow directed brokerage accounts.

### ***Item 13 - Review of Accounts***

Managed portfolios are reviewed at least quarterly, but may be reviewed more often at any time such review is deemed necessary or advisable by us. These factors generally include but are not limited to, the following: change in your general circumstances (marriage, divorce, retirement); or economic, political or market conditions. All portfolios are reviewed by one or more of the following Oakbridge representatives: Warren W. Wick, II, Oakbridge's President, William J. Cohen, a Principal, Jennifer I. Storey, a Principal, Portfolio Manager and Financial Planner, Eric B. Toole, a Principal, Portfolio Manager and Financial Planner, and C. Brandon Downs, a Principal, Chief Compliance Officer and Portfolio Manager.

For those clients to whom we provide separate financial planning services, reviews are conducted on an as needed or agreed upon basis. Such reviews are conducted by Warren W. Wick, II, CPA,

CLU, CFP®, William J. Cohen, J.D., Jennifer I. Storey, CFP®, Eric B. Toole, CFP® and/or C. Brandon Downs, CFP®.

Account custodians are responsible for providing monthly or quarterly account statements which reflect the positions (and current pricing) in each account as well as transactions in each account, including fees paid from an account. Account custodians also provide prompt confirmation of all trading activity, and year-end tax statements, such as 1099 forms. In addition, we provide a written quarterly report for each managed portfolio. This report includes a summary of portfolio holdings and portfolio performance results compared to applicable benchmarks. Additional reports are available at your request.

#### ***Item 14 - Client Referrals and Other Compensation***

From time to time, we may enter into arrangements with third parties (“Solicitors”) to identify and refer potential clients to us. Consistent with legal requirements under the Investment Advisers Act of 1940, as amended, we enter into written agreements with Solicitors under which, among other things, Solicitors are required to disclose their compensation arrangements to prospective clients before they enter into an agreement with Oakbridge.

We receive client referrals from Schwab through Oakbridge’s participation in Schwab Advisor Network™ (“the Service”). The Service is designed to help investors find an independent investment advisor. Schwab is a broker-dealer independent of and unaffiliated with Oakbridge. Schwab does not supervise us and has no responsibility for our management of clients’ portfolios or our other advice or services. We pay Schwab fees to receive client referrals through the Service. Our participation in the Service may raise potential conflicts of interest described below.

We pay Schwab a Participation Fee on all referred clients’ accounts that are maintained in custody at Schwab and a Non-Schwab Custody Fee on all accounts that are maintained at, or transferred to, another custodian. The Participation Fee paid by us is a percentage of the value of the assets in the client’s account, subject to a minimum Participation Fee. We pay Schwab the Participation Fee for so long as the referred client’s account remains in custody at Schwab. The Participation Fee is billed to us quarterly and may be increased, decreased or waived by Schwab from time to time. The Participation Fee is paid by us and not by the client. We have agreed not to charge clients referred through the Service fees or costs greater than the fees or costs we charge clients with similar portfolios who were not referred through the Service.

We generally pay Schwab a Non-Schwab Custody Fee if custody of a referred client’s account is not maintained by, or assets in the account are transferred from Schwab. This Fee does not apply if the client was solely responsible for the decision not to maintain custody at Schwab. The Non-Schwab Custody Fee is a one-time payment equal to a percentage of the assets placed with a custodian other than Schwab. The Non-Schwab Custody Fee is higher than the Participation Fees Advisor generally would pay in a single year. Thus, we will have an incentive to recommend that client accounts be held in custody at Schwab.

The Participation and Non-Schwab Custody Fees will be based on assets in accounts of our clients who were referred by Schwab and those referred clients’ family members living in the same household. Thus, we will have incentives to encourage household members of clients referred through the Service to maintain custody of their accounts and execute transactions at Schwab and to instruct Schwab to debit our fees directly from the accounts.

For accounts of our clients maintained in custody at Schwab, Schwab will not charge the client separately for custody but will receive compensation from our clients in the form of commissions or other transaction-related compensation on securities trades executed through Schwab. Schwab also will receive a fee (generally lower than the applicable commission on trades it executes) for clearance and settlement of trades executed through broker-dealers other than Schwab. Schwab's fees for trades executed at other broker-dealers are in addition to the other broker-dealer's fees. Thus, we may have an incentive to cause trades to be executed through Schwab rather than another broker-dealer. We nevertheless, acknowledge our duty to seek best execution of trades for client accounts. Trades for client accounts held in custody at Schwab may be executed through a different broker-dealer than trades for our other clients. Thus, trades for accounts custodied at Schwab may be executed at different times and different prices than trades for other accounts that are executed at other broker-dealers.

#### ***Item 15 - Custody***

Schwab is the custodian of nearly all client accounts at Oakbridge. From time to time however, clients may select an alternate broker to hold accounts in custody. In any case, it is the custodian's responsibility to provide you with confirmations of trading activity, tax forms and at least quarterly account statements. You are advised to review this information carefully, and to notify us of any questions or concerns. You are also asked to promptly notify us if the custodian fails to provide statements on each account held.

From time to time and in accordance with our agreement with clients, we will provide additional reports. The account balances reflected on these reports should be compared to the balances shown on the brokerage statements to ensure accuracy. There may at times be small differences due to the timing of dividend reporting and pending trades.

#### ***Item 16 - Investment Discretion***

As described in ***Item 4 - Advisory Business***, we will accept clients on either a discretionary or non-discretionary basis. For *discretionary accounts*, a Limited Power of Attorney ("LPOA") is executed by you, giving us the authority to carry out various activities in your account, generally including the following: trade execution; the ability to request checks on your behalf; and, the withdrawal of advisory fees directly from your account. We then direct investment of your portfolio using our discretionary authority. You may limit the terms of the LPOA to the extent consistent with your investment advisory agreement with us and the requirements of your custodian.

For *non-discretionary* accounts, you also generally execute an LPOA, which allows us to carry out trade recommendations and approved actions in the portfolio. However, in accordance with the investment advisory agreement between Oakbridge and you, we do not implement trading recommendations or other actions in the account unless and until you have approved the recommendation or action. As with discretionary accounts, you may limit the terms of the LPOA, subject to our agreement with you and the requirements of your custodian.

#### ***Item 17 - Voting Client Securities***

As a policy and in accordance with our client agreement, we do not vote proxies related to securities held in client accounts. The custodian of the account will normally provide proxy materials directly to you. You may contact us with questions relating to proxy procedures and proposals; however, we generally do not research particular proxy proposals.

***Item 18 - Financial Information***

We do not require nor solicit prepayment of more than \$1,200 in fees per client, six months or more in advance, and therefore have no disclosure required for this item.

# **Exhibit A**

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**Warren W. Wick, II, CPA, CLU, CFP®**

**CRD# 600232**

**Oakbridge Partners, Ltd.**

3630 Peachtree Road, NE  
Suite 940  
Atlanta, Georgia 30326

(404) 231-3414

[www.oakbridgepartners.com](http://www.oakbridgepartners.com)

January 11, 2021

This Brochure Supplement provides information about Warren Wick, and supplements the Brochure of Lesesne Capital Management, Inc. *dba* Oakbridge Partners, Ltd ("Oakbridge"). You should have received a copy of that Brochure. Please contact us at (404) 231-3414 if you did not receive our Brochure, or if you have any questions about the contents of this Supplement.

Additional information about Warren is available on the SEC's website at  
[www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

---

***Item 2 - Educational Background and Business Experience***

Warren W. Wick, II (year of birth 1947) is President of Oakbridge. Warren earned a BBA degree in Accounting from Marshall University and a MBA in Finance from Georgia State University. He holds professional designations as a Certified Public Accountant\* (CPA), a Chartered Life Underwriter\*\* (CLU), and a CERTIFIED FINANCIAL PLANNER™ practitioner\*\*\*.

A former CPA for a global accounting firm, Warren directed the financial planning operations of two regional brokerage firms prior to forming his own wealth management firm in 1988. His firm merged with Lesesne Capital Management, Inc. in 1996. Lesesne Capital Management, Inc. began conducting business as Oakbridge Partners, Ltd on July 1, 2012. Effective September 2019, he also became a Principal at our affiliate, Antares Wealth Management, LLC.

Warren has been honored as a Who's Who in Banking and Financial Services by the Atlanta Business Chronicle and is a long-standing member of the National Association of Personal Financial Advisors (NAPFA). He served as treasurer and finance committee chairman of Northwest Presbyterian Church for many years. Warren is an avid jogger and golfer and a longtime member of Dunwoody Country Club.



\* A CPA is a Certified Public Accountant. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license to practice public accounting. While the exam is the same regardless of where it is taken, every state/jurisdiction has its own set of education and experience requirements that individuals must meet. However, most states require at least a bachelor's degree and a concentration in accounting, and at least one year public accounting experience under the supervision of or verification by a CPA. Once the designation is attained, the CPA is required to meet continuing education requirements.

\*\* The Chartered Life Underwriter ("CLU") is a professional designation for individuals who wish to specialize in life insurance and estate planning. Individuals must complete five core courses and three elective courses, and successfully pass all eight two-hour, 100-question examinations in order to receive the designation.

\*\*\* The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational, examination, experience and ethics requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit for the CFP® Certification Examination. A comprehensive examination tests the candidate's ability to apply financial planning knowledge to client situations. Qualifying work experience is also required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process. CFP® professionals must complete 30 hours of continuing education accepted by CFP Board every two years.

### ***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Warren has no such disciplinary information to report.

### ***Item 4 - Other Business Activities***

Warren is not engaged in any other business activities.

### ***Item 5 - Additional Compensation***

Warren has no other income or compensation to disclose.

### ***Item 6 - Supervision***

Warren is the President of Oakbridge. Brandon Downs is a Principal and also serves as Chief Compliance Officer. Jennifer Storey is a Principal and also serves as Compliance Administrator. Eric Toole and Bill Cohen are Principals. All five are Portfolio Managers and serve on the Investment Committee. Overall investment strategy is formulated by the Investment Committee, and portfolio activity based on these decisions will be carried out by these individuals, as assisted by other staff members of the firm.

Brandon Downs, as Chief Compliance Officer, and Jennifer Storey, as Compliance Administrator, are responsible for providing compliance oversight to the staff. Brandon and Jennifer also participate as team members in the investment and trading processes. Each may be contacted at (404) 231-3414.

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**William J. Cohen, Esq., JD, LLM**

**CRD# 4740829**

**Oakbridge Partners, Ltd.**

3630 Peachtree Road, NE  
Suite 940  
Atlanta, Georgia 30326

(404) 231-3414

[www.oakbridgepartners.com](http://www.oakbridgepartners.com)

January 11, 2021

This Brochure Supplement provides information about Bill Cohen, and supplements the Brochure of Lesesne Capital Management, Inc. **dba** Oakbridge Partners, Ltd (“Oakbridge”). You should have received a copy of that Brochure. Please contact us at (404) 231-3414 if you did not receive our Brochure, or if you have any questions about the contents of this Supplement.

Additional information about Bill is available on the SEC’s website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

---

***Item 2 - Educational Background and Business Experience***

William J. Cohen, Esq. (year of birth 1953) is a Principal of Oakbridge. Bill earned a Bachelor of Science degree from Florida State University, a Juris Doctorate (JD) with honors from Florida State University School of Law, and a Master of Law and Taxation (LLM) from the College of William and Mary’s Marshall-Wythe School of Law.

Bill is an attorney with over thirty-five years of experience in the areas of taxation, estate planning, corporate finance, and executive compensation. Prior to joining Oakbridge, he was Chief Operating Officer and a director of Magner Network, LLC (“Magner”). Magner developed web-based software used by financial institutions to deliver complicated tax-driven programs to high-net worth clientele, primarily in the areas of executive compensation and charitable giving. He also served as Financial Operations Officer (“FINOP”) of Magner’s affiliated Broker-Dealer. Effective September 2019, he also became a Principal of our affiliate, Antares Wealth Management, LLC.

Before entering financial services, Bill headed the transactional practice group of the Atlanta office of the national law firm, Robins, Kaplan, Miller & Ciresi. He was member of the firm’s Executive Board, Long Range Planning Committee and National Business Department Steering Committee.

Bill served on the Board of Visitors of his law school for five years. He is a member of the Capital City Country Club and Brunswick Country Club.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Bill has no such disciplinary information to report.

***Item 4 - Other Business Activities***

Bill is not engaged in any other business activities.

***Item 5 - Additional Compensation***

Bill has no other income or compensation to disclose.

***Item 6 - Supervision***

Warren Wick is the President of Oakbridge. Brandon Downs is a Principal and also serves as Chief Compliance Officer. Jennifer Storey is a Principal and also serves as Compliance Administrator. Eric Toole and Bill Cohen are Principals. All five are Portfolio Managers and serve on the Investment Committee. Overall investment strategy is formulated by the Investment Committee, and portfolio activity based on these decisions will be carried out by these individuals, as assisted by other staff members of the firm.

Brandon Downs, as Chief Compliance Officer, and Jennifer Storey, as Compliance Administrator, are responsible for providing compliance oversight to the staff. Brandon and Jennifer also participate as team members in the investment and trading processes. Each may be contacted at (404) 231-3414.

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**Jennifer I. Storey, CFP®**

**CRD# 3026941**

**Oakbridge Partners, Ltd.**

3630 Peachtree Road, NE  
Suite 940  
Atlanta, Georgia 30326

(404) 231-3414

[www.oakbridgepartners.com](http://www.oakbridgepartners.com)

January 11, 2021

This Brochure Supplement provides information about Jennifer Storey, and supplements the Brochure of Lesesne Capital Management, Inc. *dba* Oakbridge Partners, Ltd ("Oakbridge"). You should have received a copy of that Brochure. Please contact us at (404) 231-3414 if you did not receive our Brochure, or if you have any questions about the contents of this Supplement.

Additional information about Jennifer is available on the SEC's website at  
[www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

---

***Item 2 - Educational Background and Business Experience***

Jennifer I. Storey (year of birth 1973) is a Principal, Portfolio Manager and Financial Planner with Oakbridge and is a member of our Investment Committee. Jennifer earned a BSBA degree in Finance from the University of Central Florida and a Certificate of Financial Planning from Florida State University. Jennifer earned the CERTIFIED FINANCIAL PLANNER™ certification\* in 2004.

Jennifer began her career in the financial services industry in 1998 when she joined Morgan Stanley as a financial advisor. She later joined Oakbridge in 2000. Effective September 2019, she also became a Principal, Portfolio Manager and Financial Planner at our affiliate, Antares Wealth Management, LLC.

Jennifer grew up in Palm Beach, Florida and enjoys spending time with her family and friends as well as volunteering for many organizations in Atlanta and Palm Beach.

\* The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational,

examination, experience and ethics requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit for the CFP® Certification Examination. A comprehensive examination tests the candidate's ability to apply financial planning knowledge to client situations. Qualifying work experience is also required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process. CFP® professionals must complete 30 hours of continuing education accepted by CFP Board every two years.

### ***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Jennifer has no such disciplinary information to report.

### ***Item 4 - Other Business Activities***

Jennifer is not engaged in any other business activities.

### ***Item 5 - Additional Compensation***

Jennifer has no other income or compensation to disclose.

### ***Item 6 - Supervision***

Jennifer is a Principal and Compliance Administrator. Brandon Downs is a Principal and also serves as Chief Compliance Officer, and Warren Wick is the President of Oakbridge. Eric Toole and Bill Cohen are Principals. All five are Portfolio Managers and serve on the Investment Committee. Overall investment strategy is formulated by the Investment Committee, and portfolio activity based on these decisions will be carried out by these individuals, as assisted by other staff members of the firm.

Brandon Downs, as Chief Compliance Officer, and Jennifer Storey, as Compliance Administrator, are responsible for providing compliance oversight to the staff. Brandon and Jennifer also participate as team members in the investment and trading processes. Each may be contacted at (404) 231-3414.

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**Eric B. Toole, CFP®**

**CRD# 4557002**

**Oakbridge Partners, Ltd.**

3630 Peachtree Road, NE  
Suite 940  
Atlanta, Georgia 30326

(404) 231-3414

[www.oakbridgepartners.com](http://www.oakbridgepartners.com)

January 11, 2021

This Brochure Supplement provides information about Eric Toole, and supplements the Brochure of Lesesne Capital Management, Inc. **dba** Oakbridge Partners, Ltd (“Oakbridge”). You should have received a copy of that Brochure. Please contact us at (404) 231-3414 if you did not receive our Brochure, or if you have any questions about the contents of this Supplement.

Additional information about Eric is available on the SEC’s website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

---

***Item 2 - Educational Background and Business Experience***

Eric B. Toole (year of birth 1975) is a Principal, Portfolio Manager and Financial Planner of Oakbridge. Eric earned a Bachelor of Business Administration in Finance from the University of Georgia in 1997 and his MBA in Personal Financial Planning from Georgia State University in 2003. In addition, Eric earned the CERTIFIED FINANCIAL PLANNER™ certification\* in 2004.

Eric began his career in the financial services industry in 1997 with SunTrust Bank; first as a Private Client Advisor, then in Private Wealth Management until 2008. He left SunTrust Bank to join Resource Planning Group where he served as a Principal until co-founding Harbor Wealth Advisors, a dba of Advisory Service Network, LLC, in 2011. Eric remained with Harbor Wealth Advisors until joining Oakbridge in 2015. Effective September 2019, he also became a Principal, Portfolio Manager and Financial Planner at our affiliate, Antares Wealth Management, LLC.

\* The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational, examination, experience and ethics requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit

for the CFP® Certification Examination. A comprehensive examination tests the candidate's ability to apply financial planning knowledge to client situations. Qualifying work experience is also required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process. CFP® professionals must complete 30 hours of continuing education accepted by CFP Board every two years.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Eric has no such disciplinary information to report.

***Item 4 - Other Business Activities***

Eric is not engaged in any other business activities.

***Item 5 - Additional Compensation***

Eric has no other income or compensation to disclose.

***Item 6 - Supervision***

Warren Wick is the President of Oakbridge. Brandon Downs is a Principal and also serves as Chief Compliance Officer. Jennifer Storey is a Principal and also serves as Compliance Administrator. Eric Toole and Bill Cohen are Principals. All five are Portfolio Managers and serve on the Investment Committee. Overall investment strategy is formulated by the Investment Committee, and portfolio activity based on these decisions will be carried out by these individuals, as assisted by other staff members of the firm.

Brandon Downs, as Chief Compliance Officer, and Jennifer Storey, as Compliance Administrator, are responsible for providing compliance oversight to the staff. Brandon and Jennifer also participate as team members in the investment and trading processes. Each may be contacted at (404) 231-3414.

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**C. Brandon Downs, CFP®**

**CRD# 5188953**

**Oakbridge Partners, Ltd.**

3630 Peachtree Road, NE  
Suite 940  
Atlanta, Georgia 30326

(404) 231-3414

[www.oakbridgepartners.com](http://www.oakbridgepartners.com)

January 11, 2021

This Brochure Supplement provides information about Brandon Downs, and supplements the Brochure of Lesesne Capital Management, Inc. *dba* Oakbridge Partners, Ltd (“Oakbridge”). You should have received a copy of that Brochure. Please contact us at (404) 231-3414 if you did not receive our Brochure, or if you have any questions about the contents of this Supplement.

Additional information about Brandon is available on the SEC’s website at  
[www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

---

***Item 2 - Educational Background and Business Experience***

C. Brandon Downs (year of birth 1981) is a Principal, Portfolio Manager and Financial Planner of Oakbridge and also serves as the firm’s Chief Compliance Officer. He earned a Bachelor of Science in Business Administration from the University of Richmond in 2003. In addition, he earned the CERTIFIED FINANCIAL PLANNER™ certification\* after completing the Executive Program for Financial Planning from the University of Georgia in 2008.

Brandon began his career in the financial services industry in 2003 with Jackson State Bank & Trust as a Credit Analyst until 2005 before joining SunTrust Bank in Private Wealth Management from 2006 to 2008. He left SunTrust Bank to join Resource Planning Group where he served as a Service Advisor before co-founding Harbor Wealth Advisors, a dba of Advisory Service Network, LLC, in 2011. Brandon remained with Harbor Wealth Advisors until joining Oakbridge in 2015. Effective September 2019, he also became a Principal, Portfolio Manager, Financial Planner and the Chief Compliance Officer at our affiliate, Antares Wealth Management, LLC.



\* The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational, examination, experience and ethics requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit for the CFP® Certification Examination. A comprehensive examination tests the candidate's ability to apply financial planning knowledge to client situations. Qualifying work experience is also required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process. CFP® professionals must complete 30 hours of continuing education accepted by CFP Board every two years.

### ***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Brandon has no such disciplinary information to report.

### ***Item 4 - Other Business Activities***

Brandon is not engaged in any other business activities.

### ***Item 5 - Additional Compensation***

Brandon has no other income or compensation to disclose.

### ***Item 6 - Supervision***

Warren Wick is the President of Oakbridge. Brandon is a Principal and also serves as Chief Compliance Officer. Jennifer Storey is a Principal and also serves as Compliance Administrator. Eric Toole and Bill Cohen are Principals. All five are Portfolio Managers and serve on the Investment Committee. Overall investment strategy is formulated by the Investment Committee, and portfolio activity based on these decisions will be carried out by these individuals, as assisted by other staff members of the firm.

Brandon Downs, as Chief Compliance Officer, and Jennifer Storey, as Compliance Administrator, are responsible for providing compliance oversight to the staff. Brandon and Jennifer also participate as team members in the investment and trading processes. Each may be contacted at (404) 231-3414.

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**Robert G. McNair**

**CRD# 5978270**

21 White Oak Bluff  
Savannah, Georgia 31405

**of**

**Oakbridge Partners, Ltd.**

3630 Peachtree Road, NE  
Suite 940  
Atlanta, Georgia 30326

(404) 231-3414

[www.oakbridgepartners.com](http://www.oakbridgepartners.com)

January 11, 2021

This Brochure Supplement provides information about Robert McNair, and supplements the Brochure of Lesesne Capital Management, Inc. *dba* Oakbridge Partners, Ltd ("Oakbridge"). You should have received a copy of that Brochure. Please contact us at (404) 231-3414 if you did not receive our Brochure, or if you have any questions about the contents of this Supplement.

Additional information about Robert is available on the SEC's website at  
[www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

---

***Item 2 - Educational Background and Business Experience***

Robert G. McNair (year of birth 1960) joined Oakbridge in 2016 as a Director. Robert earned a Bachelor of Science degree in Economics and Business Administration from Rhodes College in 1982, and in 1993 he completed the graduate banking program at the Graduate School of Banking of the South at Louisiana State University.

Robert spent over 30 years in the banking industry with SunTrust Bank, which he joined in 1982, during which time he held multiple positions, including Executive Vice President, Regional Commercial Line of Business Manager, and Senior Vice President, Corporate & Investment Banking, Middle Market Client Manager.

Born and raised in Atlanta, Robert and his family moved to Savannah in 2003. He will help grow our presence in the coastal region of Georgia and South Carolina. Robert and his wife, Nancy, have two sons who will be graduating from Georgia Tech in the near future. Robert currently serves on the Board of Trustees for the Georgia Council on Economic Education.

***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Robert has no such disciplinary information to report.

***Item 4 - Other Business Activities***

Robert is not engaged in any other business activities.

***Item 5 - Additional Compensation***

Robert has no other income or compensation to disclose.

***Item 6 - Supervision***

Warren Wick is the President of Oakbridge. Brandon Downs is a Principal and also serves as Chief Compliance Officer. Jennifer Storey is a Principal and also serves as Compliance Administrator. Eric Toole and Bill Cohen are Principals. All five are Portfolio Managers and serve on the Investment Committee. Overall investment strategy is formulated by the Investment Committee, and portfolio activity based on these decisions will be carried out by these individuals, as assisted by other staff members of the firm.

Brandon Downs, as Chief Compliance Officer, and Jennifer Storey, as Compliance Administrator, are responsible for providing compliance oversight to the staff. Brandon and Jennifer also participate as team members in the investment and trading processes. Each may be contacted at (404) 231-3414.

**Brochure Supplement**

**Form ADV Part 2B**

**Item 1 - Cover Page**

**Sean P. Lennox, CFP®**

**CRD# 6445053**

**of**

**Oakbridge Partners, Ltd.**

3630 Peachtree Road, NE

Suite 940

Atlanta, Georgia 30326

(404) 231-3414

[www.oakbridgepartners.com](http://www.oakbridgepartners.com)

January 11, 2021

This Brochure Supplement provides information about Sean Lennox, and supplements the Brochure of Lesesne Capital Management, Inc. **dba** Oakbridge Partners, Ltd (“Oakbridge”). You should have received a copy of that Brochure. Please contact us at (404) 231-3414 if you did not receive our Brochure, or if you have any questions about the contents of this Supplement.

Additional information about Sean is available on the SEC’s website at [www.AdviserInfo.sec.gov](http://www.AdviserInfo.sec.gov).

---

***Item 2 - Educational Background and Business Experience***

Sean P. Lennox (year of birth 1984) joined Oakbridge in 2019 as an Associate. Sean earned a Bachelor of Science in Psychology from Davidson College in 2008 and his MBA in Entrepreneurship from Queens University of Charlotte in 2012. In addition, he has earned the CERTIFIED FINANCIAL PLANNER™ certification\* as well as the Chartered Financial Consultant® (“ChFC®”) designation\*\*.

Sean began working in the financial industry in 2015 when he joined the Northwestern Mutual Goodwin Wright Agency in Atlanta, where he most recently served in the role of investment operations manager. Effective September 2019, he also became an Associate at our affiliate, Antares Wealth Management, LLC. A native of Peachtree Corners, Georgia, Sean played baseball for Norcross High school and Davidson College, and currently pitches for an adult league team in Atlanta.

\* The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational, examination, experience and ethics requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit

for the CFP® Certification Examination. A comprehensive examination tests the candidate's ability to apply financial planning knowledge to client situations. Qualifying work experience is also required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process. CFP® professionals must complete 30 hours of continuing education accepted by the CFP Board every two years.

\*\*The ChFC® is a financial planning designation for the insurance industry awarded by the American College of Bryn Mawr. ChFC® candidates must meet experience requirements and pass exams covering finance and investing. They must have at least three years of experience in the financial industry, and have studied and passed an examination on the fundamentals of financial planning, including income tax, insurance, investment and estate planning.

### ***Item 3 - Disciplinary Information***

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however, Sean has no such disciplinary information to report.

### ***Item 4 - Other Business Activities***

Sean is the owner of a small athletic game operations contractor in Decatur, Georgia.

### ***Item 5 - Additional Compensation***

Other than as stated above, Sean is not engaged in any other investment-related business or occupation, and does not earn compensation for the sale of any other products or services.

### ***Item 6 - Supervision***

Warren Wick is the President of Oakbridge. Brandon Downs is a Principal and also serves as Chief Compliance Officer. Jennifer Storey is a Principal and also serves as Compliance Administrator. Eric Toole and Bill Cohen are Principals. All five are Portfolio Managers and serve on the Investment Committee. Overall investment strategy is formulated by the Investment Committee, and portfolio activity based on these decisions will be carried out by these individuals, as assisted by other staff members of the firm.

Brandon Downs, as Chief Compliance Officer, and Jennifer Storey, as Compliance Administrator, are responsible for providing compliance oversight to the staff. Brandon and Jennifer also participate as team members in the investment and trading processes. Each may be contacted at (404) 231-3414.