

**Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
February 5, 2021**

John Agnew



**The Wealth Collective, LLC
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Los Angeles, CA 90012
thewealthcollective.capital**

**Firm Contact:
John Agnew
Chief Compliance Officer**

This brochure supplement provides information about Mr. Agnew that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Agnew if you did not receive The Wealth Collective, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Agnew is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #6383962.

Item 2: Educational Background & Business Experience

John Mortimer Agnew

Year of Birth: 1969

Educational Background:

- 1991: University of California, Los Angeles; Bachelor of Arts in Economics and Business

Business Background:

- 09/2020 – Present The Wealth Collective, LLC; Managing Member & Chief Compliance Officer
- 03/2017 – 09/2020 Morgan Stanley; Investment Advisor Representative & Registered Representative
- 12/2014 – 03/2017 Merrill Lynch; Investment Advisor Representative & Registered Representative
- 12/2014 – 03/2017 Bank of America; Dually Registered Employee

Exams, Licenses & Other Professional Designations:

- 2020: Chartered Life Underwriter (CLU®)
- 2019: Retirement Income Certified Professional (RICP®)
- 2017: CERTIFIED FINANCIAL PLANNER™, CFP®
- 2016: Certified Divorce Financial Analyst (CDFA®)
- 2015: CA Resident Insurance Producer (Life; Accident and Health; Variable Contracts); License No. 0K10537
- 2015: Series 66 Exam
- 2014: Series 7 Exam

CERTIFIED FINANCIAL PLANNER™, CFP®

The CFP® certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam and agreeing to be bound by the CFP® board's *Standard of Professional Conduct*. As a prerequisite, the individual must have a bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the *Standards of Professional Conduct*.

Certified Divorce Financial Analyst (CDFA®)

The CDFA® designation is made available through the Institute of Divorce Financial Analysts. Individuals with a minimum of 3 years of professional experience in finance or divorce are eligible to enroll in the CDFA® course. This includes experience as a financial professional, accountant, or matrimonial lawyer. Candidates should also have working knowledge of financial calculators. After completing the CDFA® course, the designation is valid for 1 year, after which designees must pay an

annual re-instatement fee. To retain the CDFA® designation, designees must also obtain 15 divorce-related hours of continuing education every 2 years.

Chartered Life Underwriter (CLU®)

The CLU® is offered by The American College. The CLU® designation is obtained by completing 8 core, 3 elective courses and a final exam for each course. As a prerequisite the IAR must have 3 years of full time business experience within the 5 years preceding the awarding of the designation. All candidates must meet ethics standards and agree to comply with The American College Code of Ethics and Procedures. This designation requires 30 hours of continuing education every 2 years.

Retirement Income Certified Professional (RICP®)

The RICP® is offered by The American College and is designed for advanced financial professionals who either have one of the other top financial services credentials or who specifically focus their practices on retirement income planning. To receive the RICP® designation, one must have 3 years of full time professional business experience, successfully complete all courses in the selected program, meet ethics standards, and agree to comply with The American College Code of Ethics and Procedures. The designation also requires 15 hours of continuing education every 2 years.

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Mr. Agnew.

Item 4: Other Business Activities

Mr. Agnew is a licensed insurance agent/broker. He may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Agnew, as a fiduciary, will act in the client's best interest.

Item 5: Additional Compensation

Mr. Agnew does not receive any other economic benefit for providing advisory services in addition to advisory fees.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Item 6: Supervision

Mr. Agnew is the sole owner and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

Item 7: Requirements for State-Registered Advisers

Mr. Agnew has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.