



FORM ADV PART 2B BROCHURE SUPPLEMENT

December 9, 2020

Mondeum Wealth Advisors, LLC

Alan R. Schwartz

Mondeum Wealth Advisors, LLC is a registered investment adviser. Registration with the United States Securities and Exchange Commission or any state securities authority does not imply a certain level of skill or training.

This brochure supplement provides information about Alan R. Schwartz that supplements the Mondeum Wealth Advisors, LLC brochure. You should have received a copy of that brochure. Contact us at 833-781-6336 if you did not receive Mondeum Wealth Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Alan R. Schwartz (CRD # 6771315) is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2 EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Alan R. Schwartz

Year of Birth: 1961

Formal Education After High School:

- New York University Stern School of Business, Master of Business Administration, 1994
- Boston University, Bachelor of Business Administration, 1983

Business Background:

- Mondeum Wealth Advisors, LLC, Managing Director, 2/2020–Present
- Mondeum Capital, LLC, Managing Director, 6/2020–Present
- Mondeum Securities US, LLC, Managing Director, 3/2020–06/2020
- Alfel Consulting, Principal, 10/2014–Present
- KapitalWise, Chief Commercial Officer, 2/2019–2/2020
- Atreyu Group, Managing Director, 4/2017–4/2018
- TNS, Inc., President – Financial Services Division, 7/1998–9/2014

ITEM 3 DISCIPLINARY INFORMATION

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Schwartz has no required disclosures under this item.

ITEM 4 OTHER BUSINESS ACTIVITIES

Mr. Schwartz is a Managing Director and a Registered Representative at the firm's affiliated broker dealer Mondeum Capital, LLC. In this capacity, Mr. Schwartz may receive commissions for any securities or products offered by Mondeum Capital, LLC. This presents a conflict of interest because Mr. Schwartz may have an incentive to recommend brokerage products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase brokerage products through any person affiliated with our firm.

Clients are not obligated to implement any recommendation provided by Mr. Schwartz. Neither Mondeum Wealth Advisors, LLC (the Advisor Firm) nor Mr. Schwartz will earn ongoing investment advisory fees in connection with any products or services implemented in Mr. Schwartz's separate capacity as a registered representative.

ITEM 5 ADDITIONAL COMPENSATION

Refer to the *Other Business Activities* section above for disclosures on Mr. Schwartz's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Mondeum Wealth Advisors, LLC's firm brochure for additional disclosures on this topic.

ITEM 6 SUPERVISION

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Mondeum Wealth Advisors, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: John A. Mendez, Chief Executive Officer

Supervisor phone number: 305-921-9256

ITEM 7 REQUIREMENTS FOR STATE REGISTERED ADVISERS

Mr. Schwartz does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

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Where possible begins.