



MAIA WEALTH

Maia Wealth, LLC

Form ADV Part 2A – Disclosure Brochure

Effective: February 3, 2021

This Form ADV Part 2A (“Disclosure Brochure”) provides information about the qualifications and business practices of Maia Wealth, LLC (“Maia Wealth” or the “Advisor”). If you have any questions about the content of this Disclosure Brochure, please contact the Advisor at (720) 644-8803.

Maia Wealth is a registered investment advisor with the U.S. Securities and Exchange Commission (“SEC”). The information in this Disclosure Brochure has not been approved or verified by the SEC or by any state securities authority. Registration of an investment advisor does not imply any specific level of skill or training. This Disclosure Brochure provides information about Maia Wealth to assist you in determining whether to retain the Advisor.

Additional information about Maia Wealth and its Advisory Persons is available on the SEC’s website at www.adviserinfo.sec.gov by searching with the Advisor’s firm name or CRD# 292817.

Maia Wealth, LLC
1401 17th Street, Suite 850
Denver, CO 80202
Phone: (720) 644-8803 | Fax: (720) 368-5294
www.maiawealth.com

Item 2 – Material Changes

Form ADV 2 is divided into two parts: *Part 2A (the "Disclosure Brochure")* and *Part 2B (the "Brochure Supplement")*. The Disclosure Brochure provides information about a variety of topics relating to an Advisor's business practices and conflicts of interest. The Brochure Supplement provides information about the Advisory Persons of Maia Wealth. For convenience, the Advisor has combined these documents into a single disclosure document.

Maia Wealth believes that communication and transparency are the foundation of its relationship with clients and will continually strive to provide you with complete and accurate information at all times. Maia Wealth encourages all current and prospective clients to read this Disclosure Brochure and discuss any questions you may have with the Advisor.

Material Changes

The Advisor has amended this Disclosure Brochure with the following changes:

- The Advisor is in the process of transitioning from registration with the State of Colorado to registration with the U.S. Securities and Exchange Commission, due to the level of assets under management. Please see Item 4.

Future Changes

From time to time, the Advisor may amend this Disclosure Brochure to reflect changes in business practices, changes in regulations or routine annual updates as required by the securities regulators. This complete Disclosure Brochure or a Summary of Material Changes shall be provided you annually and if a material change occurs.

At any time, you may view the current Disclosure Brochure on-line at the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with the Advisor's firm name or CRD# 292817. You may also request a copy of this Disclosure Brochure at any time by contacting the Advisor at (720) 644-8803.

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Item 4 – Advisory Services

A. Firm Information

Maia Wealth, LLC (“Maia Wealth” or the “Advisor”) is a registered investment with the SEC located in the State of Colorado. Maia Wealth is organized as a Limited Liability Company (LLC) under the laws of Colorado. Maia Wealth became a registered entity in December 2017 and became licensed as a registered investment advisor in April 2018. The Advisor is owned by Marek Financial LLC, Dimond Ventures LLC and CTV Holdings LLC and operated by Scott Marek, Desmond Dimond, and Chandler te Velde. This Disclosure Brochure provides information regarding the qualifications, business practices, and the advisory services provided by Maia Wealth.

B. Advisory Services Offered

Maia Wealth offers investment advisory services to individuals, high net worth individuals, trusts, estates, businesses, or corporations (each referred to as a “Client”).

The Advisor serves as a fiduciary to Clients, as defined under the applicable laws and regulations. As a fiduciary, the Advisor upholds a duty of loyalty, fairness and good faith towards each Client and seeks to mitigate potential conflicts of interest. Maia Wealth’s fiduciary commitment is further described in the Advisor’s Code of Ethics. For more information regarding the Code of Ethics, please see Item 11 – Code of Ethics, Participation or Interest in Client Transactions and Personal Trading.

Investment Management Services

Maia Wealth provides customized investment advisory solutions for its Clients. This is achieved through continuous personal Client contact and interaction while providing discretionary and non-discretionary investment management and related advisory services. Where the Advisor manages Client account[s] on a non-discretionary basis, Maia Wealth will contact the Client and obtain approval prior to executing trades.

Maia Wealth works closely with each Client to identify their investment goals and objectives as well as risk tolerance and financial situation in order to create a portfolio strategy. Maia Wealth will then construct a portfolio, consisting of low-cost, diversified mutual funds and/or exchange-traded funds (“ETFs”) to achieve the Client’s investment goals. The Advisor may also utilize individual stocks or bonds to meet the needs of its Clients. The Advisor may retain certain legacy investments based on portfolio fit and/or tax considerations.

Maia Wealth’s investment approach is primarily long-term focused, but the Advisor may buy, sell or re-allocate positions that have been held for less than one year to meet the objectives of the Client or due to market conditions. Maia Wealth will construct, implement and monitor the portfolio to ensure it meets the goals, objectives, circumstances, and risk tolerance agreed to by the Client. Each Client will have the opportunity to place reasonable restrictions on the types of investments to be held in their respective portfolio, subject to acceptance by the Advisor.

Maia Wealth evaluates and selects investments for inclusion in Client portfolios only after applying its internal due diligence process. Maia Wealth may recommend, on occasion, redistributing investment allocations to diversify the portfolio. Maia Wealth may recommend specific positions to increase sector or asset class weightings. The Advisor may recommend employing cash positions as a possible hedge against market movement. Maia Wealth may recommend selling positions for reasons that include, but are not limited to, harvesting capital gains or losses, business or sector risk exposure to a specific security or class of securities, overvaluation or overweighting of the position[s] in the portfolio, change in risk tolerance of the Client, generating cash to meet Client needs, or any risk deemed unacceptable for the Client’s risk tolerance.

At no time will Maia Wealth accept or maintain custody of a Client’s funds or securities, except for the limited authority as outlined in Item 15 – Custody. All Client assets will be managed within their designated account[s] at the Custodian, pursuant to the Client investment advisory agreement, please see Item 12 – Brokerage Practices.

Financial Planning Services

Maia Wealth will typically provide a variety of financial planning and consulting services to Clients, pursuant to a written financial planning agreement. Services are offered in several areas of a Client's financial situation, depending on their goals and objectives.

Generally, such financial planning services involve preparing a formal financial plan or rendering a specific financial consultation based on the Client's financial goals and objectives. This planning or consulting may encompass one or more areas of need, including but not limited to, investment planning, retirement planning, personal savings, education savings, insurance needs and other areas of a Client's financial situation.

A financial plan developed for, or financial consultation rendered to the Client will usually include general recommendations for a course of activity or specific actions to be taken by the Client. For example, recommendations may be made that the Client start or revise their investment programs, commence or alter retirement savings, establish education savings and/or charitable giving programs.

Maia Wealth may also refer Clients to an accountant, attorney or other specialists, as appropriate for their unique situation. For certain financial planning engagements, the Advisor will provide a written summary of the Client's financial situation, observations, and recommendations. For consulting or ad-hoc engagements, the Advisor may not provide a written summary. Plans or consultations are typically completed within six months of contract date, assuming all information and documents requested are provided promptly.

Financial planning and consulting recommendations poses a conflict between the interests of the Advisor and the interests of the Client. For example, the Advisor has an incentive to recommend that Clients engage the Advisor for investment management services or to increase the level of investment assets with the Advisor as it would increase the amount of advisory fees paid to the Advisor. Clients have the right to choose whether to implement any recommendations made by the Advisor or maintain an ongoing relationship with the Advisor. If the Client elects to act on any of the recommendations made by the Advisor, the Client is under no obligation to implement the transaction through the Advisor.

Prior to engaging Maia Wealth to provide financial planning services, each Client is required to enter into an agreement with the Advisor that define the terms, conditions, authority and responsibilities of the Advisor and the Client.

Retirement Plan Advisory Services

Maia Wealth provides retirement plan advisory services on behalf of the retirement plans (each a "Plan") and the company (the "Plan Sponsor"). The Advisor's retirement plan advisory services are designed to assist the Plan Sponsor in meeting its fiduciary obligations to the Plan and its Plan Participants. Each engagement is customized to the needs of the Plan and Plan Sponsor. Services generally include:

- Vendor Analysis
- Plan Participant Enrollment and Education Tracking
- Investment Policy Statement ("IPS") Design and Monitoring
- Investment Management
- Performance Reporting
- Ongoing Investment Recommendation and Assistance
- ERISA 404(c) Assistance
- Benchmarking Services

These services are provided by Maia Wealth serving in the capacity as a fiduciary under the Employee Retirement Income Security Act of 1974, as amended ("ERISA"). In accordance with ERISA Section 408(b)(2), the Plan Sponsor is provided with a written description of Maia Wealth's fiduciary status, the specific services to be rendered and all direct and indirect compensation the Advisor reasonably expects under the engagement.

Use of Independent Managers - Maia Wealth may recommend that a Client utilize one or more unaffiliated investment managers or investment platforms (collectively "Independent Managers") for all or a portfolio of a

Client's investment portfolio. In such instances, the Client will then enter into an advisory agreement with the Independent Manager[s] that defines the terms in which the Independent Manager[s] will provide investment management and related services. Maia Wealth may also assist in the development of the initial policy recommendations and managing the ongoing Client relationship. Maia Wealth will perform initial and ongoing oversight and due diligence over the selected Independent Manager[s] to ensure the Independent Managers' strategies and target allocations remain aligned with its clients' investment objectives and overall best interests. The Client, prior to entering into an agreement with unaffiliated money manager[s] or investment advisor[s], will be provided with the advisor's Form ADV 2A (or a brochure that makes the appropriate disclosures).

Betterment Institutional Platform - Maia Wealth may recommend that certain Clients implement their investment portfolios through Betterment Institutional, a division of Betterment LLC (herein "Betterment Institutional" or the "Investment Platform"). Betterment Institutional is what is often termed a "robo-advisor", an online wealth management service that provides automated, algorithm-based portfolio management advice. Robo-advisors use technology to deliver similar services as traditional advisors, but generally only offer portfolio management and do not get involved in a Client's personal situation, such as taxes and retirement or estate planning. Maia Wealth chose to affiliate with Betterment Institutional due to the Investment Platform's customized portfolio allocations, automated rebalancing, and competitive fees. Maia Wealth utilizes Betterment Institutional as a complement to its comprehensive financial planning services to provide cost effective investing coupled with personalized financial planning.

To establish accounts with Betterment Institutional, the Client will also enter into one or more agreements with Betterment that provides the authority for discretionary investment management by the Investment Platform. Maia Wealth remains the Client's primary advisor and relationship contact and will select or construct a portfolio of ETFs and/or cash equivalents from the universe of investments included on the Investment Platform.

Maia Wealth will have the discretionary authority to instruct Betterment Institutional with respect to portfolio construction, asset allocation and other investment decisions, subject to the limitations described herein. Betterment Institutional will implement the portfolio and be responsible for the discretionary trading of the ETFs in the Client's portfolio, including the purchase and sale of investments and the automatic rebalancing back to targets.

Betterment Institutional utilizes between ten to twelve different ETF's, representing various asset classes for the construction of investment portfolios. As discussed above, Maia Wealth will work with each Client to construct a portfolio to meet the needs of the Client. The Client has limited ability to put restrictions on its accounts. The account[s] cannot contain investments that are not included in the Betterment Institutional universe of ETFs and cash equivalents.

Betterment Institutional, under its discretionary authority, will automatically adjust and rebalance the Client's accounts daily based on the drift tolerance established for the positions in the investment portfolio. The Advisor's investment philosophy is long-term, but the Advisor may make such tactical overrides to take advantage of market pricing anomalies or strong market sectors. The Advisor does not actively trade in the Client's account[s] and is also limited to a enter one allocation change per account per trading day through Betterment Institutional, the Client should be aware of these potential disadvantages.

For its services, Betterment Institutional will charge an asset-based fee that is in addition to the Advisor's fee. Betterment Institutional's fee includes the securities transaction fees for all trades. The Advisor will only receive its investment advisory fees as detailed in Item 5.A. below and does not share in any fees earned by Betterment Institutional.

The Client, prior to entering into an agreement with the Investment Platform, will be provided with the Investment Platform's Form ADV Part 2A (or a brochure that makes the appropriate disclosures).

C. Client Account Management

Prior to engaging Maia Wealth to provide investment advisory services, each Client is required to enter into an agreement with the Advisor that defines the terms, conditions, authority and responsibilities of the Advisor and the Client. These services may include:

- Establishing an Investment Strategy – Maia Wealth, in connection with the Client, will develop a strategy that seeks to achieve the Client's goals and objectives.
- Asset Allocation – Maia Wealth will develop a strategic asset allocation that is targeted to meet the investment objectives, time horizon, financial situation and tolerance for risk for each Client.
- Portfolio Construction – Maia Wealth will develop a portfolio for the Client that is intended to meet the stated goals and objectives of the Client.
- Investment Management and Supervision – Maia Wealth will provide investment management and ongoing oversight of the Client's investment portfolio.

D. Wrap Fee Programs

Maia Wealth does not manage or place Client assets into a wrap fee program. Investment management services are provided directly by Maia Wealth.

E. Assets Under Management

As of January 6, 2021, Maia Wealth currently manages \$108,205,760 on a discretionary basis and \$1,341,405 on a nondiscretionary basis totaling \$109,547,165 in assets under management. Clients may request more current information at any time by contacting the Advisor.

Item 5 – Fees and Compensation

The following paragraphs detail the fee structure and compensation methodology for services provided by the Advisor. Each Client engaging the Advisor for services described herein shall be required to enter into a written agreement with the Advisor.

A. Fees for Advisory Services

Investment Management Services

Investment advisory fees are paid monthly, at the end of each month, pursuant to the terms of the investment advisory agreement. Investment advisory fees are based on the market value of assets under management on the last day of the calendar month. Investment advisory fees are based on the following schedule:

Assets Under Management (\$)	Annual Rate (%)
Up to \$500,000	1.20%
\$500,001 to \$2,000,000	1.00%
\$2,000,001 to \$10,000,000	0.80%
\$10,000,001 to \$50,000,000	0.40%
\$50,000,001 to \$100,000,000	0.30%
Over \$100,000,000	0.20%

*This schedule is tiered based on the aggregate assets of Client account[s].
Clients investing over \$500,000 will pay a blended fee rate on those assets.
For example a client investing \$750,000 would pay 1.20% on the first \$500,000 and 1.00% on the next \$250,000.*

The investment advisory fee in the first month of service is prorated from the inception date of the account[s] to the end of the first month. Fees may be negotiable at the sole discretion of the Advisor. Unless otherwise instructed, the Client's fees will take into consideration the aggregate assets under management with the Advisor. All securities held in accounts managed by Maia Wealth will be independently valued by the Custodian. Maia Wealth will not have the authority or responsibility to value portfolio securities.

The Advisor's fee is exclusive of, and in addition to any applicable securities transaction and custody fees, and other related costs and expenses described in Item 5.C below, which may be incurred by the Client. However, the Advisor shall not receive any portion of these commissions, fees, and costs.

Financial Planning Services

Maia Wealth offers financial planning services for a fixed engagement fee ranging from \$1,500 to \$25,000. Fees may be negotiable based on the nature and complexity of the services to be provided and the overall relationship with the Advisor. An estimate for total costs will be provided to the Client prior to engaging for these services.

Retirement Plan Advisory Services

Fees for retirement plan advisory services are paid quarterly at the end of each calendar quarter, pursuant to the terms of the retirement plan advisory agreement. Fees are charged at an annual rate of 0.40% based on the market value of assets under management at the end of the calendar quarter. Fees may be negotiable depending on the size and complexity of the Plan.

Use of Independent Managers

As noted in Item 4, the Advisor will implement all or a portion of a Client's investment portfolio utilizing one or more Independent Managers. To eliminate any conflict of interest, the Advisor does not earn any compensation from an Independent Manager. The Advisor will only earn its investment advisory fee as described above. Independent Managers typically do not offer any fee discounts but may have a breakpoint schedule which will reduce the fee with an increased level of assets placed under management with an Independent Manager. The terms of such fee arrangements are included in the Independent Manager's disclosure brochure and applicable contract[s] with the Independent Manager. The total blended fee, including the Advisor's fee and the Independent Manager's fee, will not exceed 2.00% annually.

Betterment Institutional Platform

Investment management fees are based on the market value of assets under management arrears at an annual rate of 1.20%, pursuant to the terms of the investment management agreement. Investment advisory fees are based on the market value of assets under management at the end of the prior calendar month. As noted above, the investment management fee includes the securities transaction fees for implementing and rebalancing the Client's account[s].

B. Fee Billing

Investment Management Services

Investment advisory fees are calculated by the Advisor or its delegate and deducted from the Client's account[s] by the Custodian. The Custodian will then forward the investment advisory fee to the Advisor or deposit the fee into an account designated by the Advisor. The Advisor shall send an invoice to the Custodian indicating the amount of the fees to be deducted from the Client's account[s] at the end of the respective month. The amount due is calculated by applying the monthly rate (annual rate divided by 12) to the total assets under management with Maia Wealth at the end of each month. Clients will be provided with a statement, at least monthly, from the Custodian reflecting deduction of the investment advisory fee. It is the responsibility of the Client to verify the accuracy of these fees as listed on the Custodian's brokerage statement as the Custodian does not assume this responsibility. Clients provide written authorization permitting advisory fees to be deducted by Maia Wealth directly from their account[s] held by the Custodian as part of the investment advisory agreement and separate account forms provided by the Custodian.

Financial Planning Services

Financial planning fees are invoiced by the Advisor and are due upon execution of the financial planning agreement[s]. Plans are completed within six months of contract date, assuming all information and documents requested are provided promptly. The Client may pay the Advisor either via check, a third-party credit card/ACH vendor or to be deducted directly from a Client's Account[s].

Retirement Plan Advisory Services

Fees may be directly invoiced to the Plan Sponsor or deducted from the assets of the Plan, depending on the terms of the retirement plan advisory agreement.

Use of Independent Managers and Betterment Institutional Platform

For Client accounts implemented through an Independent Manager, the Client's overall fees may include Maia Wealth's investment advisory fee (as noted above) plus investment management fees and/or platform fees charged by the Independent Manager[s], as applicable. In certain instances, the Independent Manager or the Advisor may assume responsibility for calculating the Client's fees and deduct all fees from the Client's account[s].

C. Other Fees and Expenses

Clients may incur certain fees or charges imposed by third parties, other than Maia Wealth, in connection with investments made on behalf of the Client's account[s]. The Client is responsible for all custodial and securities execution fees charged by the Custodian, if applicable. The Advisor's recommended custodian does not charge securities transaction fees for ETFs and equity trades in Client accounts, provided that the account meets the terms and conditions of the Custodian's brokerage requirements. However, the Custodian typically charges for mutual funds and other types of investments. The fees charged by Maia Wealth are separate and distinct from these custodial and execution fees.

In addition, all fees paid to Maia Wealth for investment advisory services are separate and distinct from the expenses charged by mutual funds and ETFs to their shareholders, if applicable. These fees and expenses are described in each fund's prospectus. These fees and expenses relate to management fees for the funds, other fund expenses, account administration (e.g., custody, brokerage and account reporting), and a possible distribution fee. A Client may be able to invest in these products directly, without the services of Maia Wealth, but would not receive the services provided by Maia Wealth which are designed, among other things, to assist the Client in determining which products or services are most appropriate for each Client's financial situation and objectives. Accordingly, the Client should review both the fees charged by the fund[s] and the fees charged by Maia Wealth to fully understand the total fees to be paid. Please refer to Item 12 – Brokerage Practices for additional information.

D. Advance Payment of Fees and Termination

Investment Management Services

Maia Wealth is compensated for its services at the end of the month after investment advisory services are rendered. Either party may terminate the investment advisory agreement, at any time, by providing advance written notice to the other party. The Client may also terminate the investment advisory agreement within five (5) business days of signing the Advisor's agreement without fee or penalty to the Client. After the five-day period, the Client will incur charges for bona fide advisory services rendered to the point of termination and such fees will be due and payable by the Client. The Client's investment advisory agreement with the Advisor may not be assigned without the Client's prior consent.

Financial Planning Services

Maia Wealth is compensated for its services at the time the financial planning engagement is executed. Either party may terminate the financial planning agreement, at any time, by providing advance written notice to the other party. The Client may also terminate the financial planning agreement within five (5) business days of signing the Advisor's agreement without fee or penalty to the Client. After the five-day period, the Client will incur charges for bona fide advisory services rendered to the point of termination and such fees will be due and payable by the Client. Upon termination, the Client shall be billed for the percentage of the engagement scope completed by the Advisor. The Advisor will refund any unearned, prepaid planning fees from the effective date of termination. The Client's financial planning agreement with the Advisor may not be assigned without the Client's prior consent.

Retirement Plan Advisory Services

Maia Wealth is compensated for its services at the end of the quarter after advisory services are rendered. Either party may terminate the retirement plan advisory agreement, at any time, by providing advance written notice to the other party. The Client shall be responsible for advisory fees up to and including the effective date of termination. The Client's retirement plan advisory services agreement with the Advisor is non-transferable without the Client's written consent.

Use of Independent Managers and Betterment Institutional Platform

In the event that a Client should wish to terminate their relationship with the Independent Manager, the terms for the termination will be set forth in the respective agreements between the Client and that Independent Manager. Maia Wealth will assist the Client with the termination and transition as appropriate.

E. Compensation for Sales of Securities

Maia Wealth does buy or sell securities to earn commissions and does not receive any compensation for securities transactions in any Client account, other than the investment advisory fees noted above.

Maia Wealth is also a licensed insurance agency, doing business as Maia Risk Management & Insurance Solutions. Certain Advisory Persons are also licensed as independent insurance professionals. As an independent insurance professional, an Advisory Person will earn commission-based compensation for selling insurance products, including insurance products they sell to Clients. Insurance commissions earned by an Advisory Person are separate and in addition to Maia Wealth's advisory fees. This practice presents a conflict of interest because a person providing investment advice on behalf of the Advisor who is also an insurance agent has an incentive to recommend insurance products to Clients for the purpose of generating commissions rather than solely based on Client needs. However, Clients are under no obligation, contractually or otherwise, to purchase insurance products through an Advisory Person.

Item 6 – Performance-Based Fees and Side-By-Side Management

Maia Wealth does not charge performance-based fees for its investment advisory services and therefore does not engage in side-by-side management. The fees charged by Maia Wealth are as described in Item 5 above and are not based upon the capital appreciation of the funds or securities held by any Client.

Maia Wealth does not manage any proprietary investment funds or limited partnerships (for example, a mutual fund or a hedge fund) and has no financial incentive to recommend any particular investment options to its Clients.

Item 7 – Types of Clients

Maia Wealth offers investment advisory services to individuals, high net worth individuals, trusts, estates, corporations or businesses in State of Colorado and other states. The amount of each type of Client is available on Maia Wealth's Form ADV Part 1A. These amounts may change over time and are updated at least annually by the Advisor. Maia Wealth generally does not impose a minimum account size for establishing a relationship.

Item 8 – Methods of Analysis, Investment Strategies and Risk of Loss

A. Methods of Analysis

Maia Wealth primarily employs fundamental, technical and cyclical analysis methods in developing investment strategies for its Clients. Technical, and cyclical methods of analysis may also be utilized when deemed appropriate to achieve a Client's investment objective. Research and analysis from Maia Wealth is derived from numerous sources, including financial media companies, third-party research materials, Internet sources, and review of company activities, including annual reports, prospectuses, press releases and research prepared by others.

Fundamental analysis utilizes economic and business indicators as investment selection criteria. These criteria are generally ratios and trends that may indicate the overall strength and financial viability of the entity being analyzed. Assets are deemed suitable if they meet certain criteria to indicate that they are a strong investment with a value discounted by the market. While this type of analysis helps the Advisor in evaluating a potential investment, it does not guarantee that the investment will increase in value. Assets meeting the investment criteria utilized in the fundamental analysis may lose value and may have negative investment performance. The Advisor monitors these economic indicators to determine if adjustments to strategic allocations are appropriate. More details on the Advisor's review process are included below in Item 13 – Review of Accounts.

Maia Wealth, LLC

1401 17th Street, Suite 850, Denver, CO 80202

Phone: (720) 644-8803 | Fax: (720) 368-5294

www.maiawealth.com

Technical analysis involves the analysis of past market data rather than specific company data in determining the recommendations made to clients. Technical analysis may involve the use of charts to identify market patterns and trends, which may be based on investor sentiment rather than the fundamentals of the company. The primary risk in using technical analysis is that spotting historical trends may not help to predict such trends in the future. Even if the trend will eventually reoccur, there is no guarantee that Maia Wealth will be able to accurately predict such a reoccurrence.

Cyclical analysis is similar to technical analysis in that it involves the analysis of market conditions at a macro (entire market/economy) or micro (company specific) level, rather than the overall fundamental analysis of the health of the particular company that Maia Wealth is recommending. The risks with cyclical analysis are similar to those of technical analysis.

As noted above, Maia Wealth generally employs a long-term investment strategy for its Clients, as consistent with their financial goals. Maia Wealth will typically hold all or a portion of a security for more than a year, but may hold for shorter periods for the purpose of rebalancing a portfolio or meeting the cash needs of Clients. At times, Maia Wealth may also buy and sell positions that are more short-term in nature, depending on the goals of the Client and/or the fundamentals of the security, sector or asset class. Clients should be aware that buying and selling of positions that are more short-term in nature will result in additional securities transactions costs, which will reduce the amount of returns on the investments.

B. Risk of Loss

Investing in securities involves certain investment risks. Securities may fluctuate in value or lose value. Clients should be prepared to bear the potential risk of loss. Maia Wealth will assist Clients in determining an appropriate strategy based on their tolerance for risk and other factors noted above. However, there is no guarantee that a Client will meet their investment goals.

While the methods of analysis help the Advisor in evaluating a potential investment, it does not guarantee that the investment will increase in value. Assets meeting the investment criteria utilized in these methods of analysis may lose value and may have negative investment performance. The Advisor monitors these economic indicators to determine if adjustments to strategic allocations are appropriate. More details on the Advisor's review process are included below in Item 13 – Review of Accounts.

Each Client engagement will entail a review of the Client's investment goals, financial situation, time horizon, tolerance for risk and other factors to develop an appropriate strategy for managing a Client's account. Client participation in this process, including full and accurate disclosure of requested information, is essential for the analysis of a Client's account[s]. The Advisor shall rely on the financial and other information provided by the Client or their designees without the duty or obligation to validate the accuracy and completeness of the provided information. It is the responsibility of the Client to inform the Advisor of any changes in financial condition, goals or other factors that may affect this analysis.

The risks associated with a particular strategy are provided to each Client in advance of investing Client accounts. The Advisor will work with each Client to determine their tolerance for risk as part of the portfolio construction process.

Following are some of the risks associated with the Advisor's investment approach:

Market Risks

The value of a Client's holdings may fluctuate in response to events specific to companies or markets, as well as economic, political, or social events in the U.S. and abroad. This risk is linked to the performance of the overall financial markets.

Value Investing Risk

Value investing attempts to identify companies selling at a discount to their intrinsic value. Value investing is subject to the risk that a company's intrinsic value may never be fully realized by the market or that a company judged by the Advisor to be undervalued may actually be appropriately priced.

ETF Risks

The performance of ETFs is subject to market risk, including the possible loss of principal. The price of the ETFs will fluctuate with the price of the underlying securities that make up the funds. In addition, ETFs have a trading risk based on the loss of cost efficiency if the ETFs are traded actively and a liquidity risk if the ETFs have a large bid-ask spread and low trading volume. The price of an ETF fluctuates based upon the market movements and may dissociate from the index being tracked by the ETF or the price of the underlying investments. An ETF purchased or sold at one point in the day may have a different price than the same ETF purchased or sold a short time later.

Mutual Fund Risks

The performance of mutual funds is subject to market risk, including the possible loss of principal. The price of the mutual funds will fluctuate with the value of the underlying securities that make up the funds. The price of a mutual fund is typically set daily therefore a mutual fund purchased at one point in the day will typically have the same price as a mutual fund purchased later that same day.

Individual Stocks

The performance of individual stocks is subject to market risk, including the possible loss of principal. The price of the individual stock will fluctuate through the trading day and vary from day to day. This means that a price that an individual stock is bought or sold at vary even if the transactions are processed during the same trading day, especially when trading is heavy.

Leveraged ETF Risks

Leveraged ETFs seek to deliver multiples of the performance of the index or benchmark they track. These ETFs attempt to deliver some multiple of an index's daily returns (positive or negative). Please consider the implications to both the upside and the downside of multipliers. While it may seem that a 2x multiplier is a benefit in an up-market cycle it is important to remember that the same multiplier applies when the ETF moves against the market. This could potentially result in significant losses, and highlights the additional risk associated with Leveraged ETFs.

Past performance is not a guarantee of future returns. Investing in securities and other investments involve a risk of loss that each Client should understand and be willing to bear. Clients are reminded to discuss these risks with the Advisor.

Item 9 – Disciplinary Information

There are no legal, regulatory or disciplinary events involving Maia Wealth or any of its Supervised Persons. Maia Wealth values the trust you place in the Advisor. The Advisor encourages you to perform the requisite due diligence on any advisor or service provider you engage. The backgrounds of the Advisor are available on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with the firm name or CRD# 292817.

Item 10 – Other Financial Industry Activities and Affiliations

A-B. Financial Registration and Affiliations

Neither Maia Wealth nor its Advisory Persons have any registrations or affiliations with a broker-dealer, futures commission merchant, commodity pool operator, or commodity-trading advisor.

C. Material Relationships

Insurance Agency Affiliations

As mentioned in Item 5.D, Scott Marek, Desmond Dimond, Chandler te Velde and, Christopher Barker are also licensed insurance professionals. Implementations of insurance recommendations are separate and apart from an Advisory Person's role with the Advisor. As an insurance professional, an Advisory Person will receive customary commissions and other related revenues from the various insurance companies whose products are sold. An Advisory Person is not required to offer the products of any particular insurance company. Commissions

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generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by an Advisory Person or the Advisor.

D. Selection of Other Advisors

As noted in Item 4, the Advisor may implement all or a portion of a Client's investment portfolio with one or more Independent Managers. The Advisor does not receive any compensation nor does this present a material conflict of interest. The Advisor will only earn its investment advisory fee as described in Item 5.A.

Marek Financial LLC

Scott Marek is the owner of Marek Financial LLC ("Marek Financial"). Marek Financial is a holding company for the ownership of Maia Wealth. Marek Financial is not affiliated in any other business activities.

Dimond Ventures LLC

Desmond Dimond is the owner of Dimond Ventures LLC ("Dimond Ventures"). Dimond Ventures is a holding company for the ownership of Maia Wealth. Dimond Ventures is not affiliated in any other business activities.

CTV Holdings LLC

Chandler te Velde is the owner of CTV Holdings LLC ("CTV Holdings"). CTV Holdings is a holding company for the ownership of Maia Wealth. CTV Holdings is not affiliated in any other business activities.

Bud & Thorne LLC

Andrew Horton, Benjamin Horton and Sandra Horton are the owners of Bud & Thorne LLC ("Bud & Thorne"). Bud & Thorne is a holding company for the ownership of Maia Wealth. Bud & Thorne is not affiliated in any other business activities.

Item 11 – Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

A. Code of Ethics

Maia Wealth has implemented a Code of Ethics (the "Code") that defines the Advisor's fiduciary commitment to each Client. This Code applies to all persons associated with Maia Wealth ("Supervised Persons"). The Code was developed to provide general ethical guidelines and specific instructions regarding the Advisor's duties to Clients. Maia Wealth and its Supervised Persons owe a duty of loyalty, fairness and good faith towards each Client. It is the obligation of Maia Wealth's Supervised Persons to adhere not only to the specific provisions of the Code, but also to the general principles that guide the Code. The Code covers a range of topics that address employee ethics and conflicts of interest. To request a copy of the Code, please contact the Advisor at (720) 644-8803.

B. Personal Trading with Material Interest

Maia Wealth allows Supervised Persons to purchase or sell the same securities that may be recommended to and purchased on behalf of Clients. Maia Wealth does not act as principal in any transactions. In addition, the Advisor does not act as the general partner of a fund or advise an investment company. Maia Wealth does not have a material interest in any securities traded in Client accounts.

C. Personal Trading in Same Securities as Clients

Maia Wealth allows Supervised Persons to purchase or sell the same securities that may be recommended to and purchased on behalf of Clients. Owning the same securities that are recommended (purchase or sell) to Clients presents a conflict of interest that, as fiduciaries, must be disclosed to Clients and mitigated through policies and procedures. As noted above, the Advisor has adopted the Code to address insider trading (material non-public information controls); gifts and entertainment; outside business activities and personal securities reporting. When trading for personal accounts, Supervised Persons have a conflict of interest if trading in the same securities. The fiduciary duty to act in the best interest of its Clients can be violated if personal trades are made with more advantageous terms than Client trades, or by trading based on material non-public information. This risk is mitigated by Maia Wealth requiring reporting of personal securities trades by its Supervised Persons

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for review by the Chief Compliance Officer ("CCO") or delegate. The Advisor has also adopted written policies and procedures to detect the misuse of material, non-public information.

D. Personal Trading at Same Time as Client

While Maia Wealth allows Supervised Persons to purchase or sell the same securities that may be recommended to and purchased on behalf of Clients, such trades are typically aggregated with Client orders or traded afterward. **At no time will Maia Wealth, or any Supervised Person of Maia Wealth, transact in any security to the detriment of any Client.**

Item 12 – Brokerage Practices

A. Recommendation of Custodian[s]

Maia Wealth does not have discretionary authority to select the broker-dealer/custodian for custody and execution services. The Client will engage the broker-dealer/custodian (herein the "Custodian") to safeguard Client assets and authorize Maia Wealth to direct trades to the Custodian as agreed upon in the investment advisory agreement. Further, Maia Wealth does not have the discretionary authority to negotiate commissions on behalf of our Clients on a trade-by-trade basis.

Where Maia Wealth does not exercise discretion over the selection of the Custodian, it may recommend the Custodian[s] to Clients for custody and execution services. Clients are not obligated to use the Custodian recommended by the Advisor and will not incur any extra fee or cost associated with using a custodian not recommended by Maia Wealth. However, the Advisor may be limited in the services it can provide if the recommended Custodian is not engaged. Maia Wealth may recommend the Custodian based on criteria such as, but not limited to, reasonableness of commissions charged to the Client, services made available to the Client, its reputation and/or the location of the Custodian's offices. Maia Wealth will generally recommend that Clients establish their accounts with TD Ameritrade Institutional, a division of TD Ameritrade Inc., member FINRA/SIPC ("TD Ameritrade"). TD Ameritrade will serve as the Client's "qualified custodian." Maia Wealth maintains an institutional relationship with TD Ameritrade, whereby the Advisor receives economic benefits from TD Ameritrade. Please see Item 14 below.

The Advisor participates in TD Ameritrade's institutional customer program and the Advisor may recommend TD Ameritrade to Clients for custody and brokerage services. There is no direct link between the Advisor's participation in the program and the investment advice it gives to its Clients, although the Advisor receives economic benefits through its participation in the program that are typically not available to TD Ameritrade retail investors. These benefits include the following products and services (provided without cost or at a discount): receipt of duplicate Client statements and confirmations; research related products and tools; consulting services; access to a trading desk serving the Advisor participants; access to block trading (which provides the ability to aggregate securities transactions for execution and then allocate the appropriate shares to Client accounts); the ability to have advisory fees deducted directly from Client accounts; access to an electronic communications network for Client order entry and account information; access to mutual funds with no transaction fees and to certain institutional money managers; and discounts on compliance, marketing, research, technology, and practice management products or services provided to the Advisor by third party vendors. TD Ameritrade may also have paid for business consulting and professional services received by the Advisor's related persons. Some of the products and services made available by TD Ameritrade through the program may benefit the Advisor but may not benefit its Client accounts. These products or services may assist the Advisor in managing and administering Client accounts, including accounts not maintained at TD Ameritrade. Other services made available by TD Ameritrade are intended to help the Advisor manage and further develop its business enterprise. The benefits received by the Advisor or its personnel through participation in the program do not depend on the amount of brokerage transactions directed to TD Ameritrade. As part of its fiduciary duties to clients, the Advisor endeavors at all times to put the interests of its clients first. Clients should be aware, however, that the receipt of economic benefits by the Advisor or its related persons in and of itself creates a potential conflict of interest and may indirectly influence the Advisor's choice of TD Ameritrade for custody and brokerage services. This conflict is mitigated through the disclosure of the benefits, including the services from TD Ameritrade that benefit the Client directly.

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The Advisor participates in the TD Ameritrade Institutional program. TD Ameritrade Institutional is a division of TD Ameritrade, Inc. ("TD Ameritrade"), member FINRA/SIPC. TD Ameritrade is an independent and unaffiliated SEC-registered broker-dealer. TD Ameritrade offers to independent investment Advisors services, which include custody of securities, trade execution, clearance and settlement of transactions. Advisor receives some benefits from TD Ameritrade through its participation in the program. Please see the disclosure under Item 14 below.

Following are additional details regarding the brokerage practices of the Advisor:

1. Soft Dollars - Soft dollars are revenue programs offered by broker-dealers/custodians whereby an advisor enters into an agreement to place security trades with the broker-dealer/custodian in exchange for research and other services. **Maia Wealth does not participate in soft dollar programs sponsored or offered by any broker-dealer. However, as disclosed in this item, the Advisor receives certain economic benefits from the Custodian. Please see Item 14 below.**

2. Brokerage Referrals - Maia Wealth does not receive any compensation from any third party in connection with the recommendation for establishing an account.

3. Directed Brokerage – All Clients are serviced on a "directed brokerage basis", where Maia Wealth will place trades within the established account[s] at the Custodian designated by the Client. Further, all Client accounts are traded within their respective account[s]. The Advisor will not engage in any principal transactions (i.e., trade of any security from or to the Advisor's own account) or cross transactions with other Client accounts (i.e., purchase of a security into one Client account from another Client's account[s]). Maia Wealth will not be obligated to select competitive bids on securities transactions and does not have an obligation to seek the lowest available transaction costs. These costs are determined by the Custodian.

B. Aggregating and Allocating Trades

The primary objective in placing orders for the purchase and sale of securities for Client accounts is to obtain the most favorable net results taking into account such factors as 1) price, 2) size of the order, 3) difficulty of execution, 4) confidentiality and 5) skill required of the Custodian. Maia Wealth will execute its transactions through the Custodian as authorized by the Client.

Maia Wealth may aggregate orders in a block trade or trades when securities are purchased or sold through the Custodian for multiple (discretionary) accounts in the same trading day. If a block trade cannot be executed in full at the same price or time, the securities actually purchased or sold by the close of each business day must be allocated in a manner that is consistent with the initial pre-allocation or other written statement. This must be done in a way that does not consistently advantage or disadvantage any particular Clients' accounts.

Item 13 – Review of Accounts

A. Frequency of Reviews

Securities in Client accounts are monitored on a regular and continuous basis by Scott C. Marek, Chief Compliance Officer of Maia Wealth. Formal reviews are generally conducted at least annually or more frequently depending on the needs of the Client. Formal reviews are also conducted when a Client's financial situation changes.

B. Causes for Reviews

In addition to the investment monitoring noted in Item 13.A., each Client account shall be reviewed at least annually. Reviews may be conducted more frequently at the Client's request. Accounts may be reviewed as a result of major changes in economic conditions, known changes in the Client's financial situation, and/or large deposits or withdrawals in the Client's account[s]. The Client is encouraged to notify Maia Wealth if changes occur in the Client's personal financial situation that might adversely affect the Client's investment plan. Additional reviews may be triggered by material market, economic or political events.

C. Review Reports

The Client will receive brokerage statements no less frequently than quarterly from the Custodian. These brokerage statements are sent directly from the Custodian to the Client. The Client may also establish electronic access to the Custodian's website so that the Client may view these reports and their account activity. Client brokerage statements will include all positions, transactions and fees relating to the Client's account[s]. The Advisor may also provide Clients with periodic reports regarding their holdings, allocations, and performance.

Item 14 – Client Referrals and Other Compensation

A. Compensation Received by Maia Wealth

Participation in Institutional Advisor Platform

As disclosed under Item 12, above, the Advisor participates in TD Ameritrade's institutional customer program and the Advisor may recommend TD Ameritrade to Clients for custody and brokerage services. There is no direct link between the Advisor's participation in the program and the investment advice it gives to its Clients, although the Advisor receives economic benefits through its participation in the program that are typically not available to TD Ameritrade retail investors. These benefits include the following products and services (provided without cost or at a discount): receipt of duplicate Client statements and confirmations; research related products and tools; consulting services; access to a trading desk serving the Advisor participants; access to block trading (which provides the ability to aggregate securities transactions for execution and then allocate the appropriate shares to Client accounts); the ability to have advisory fees deducted directly from Client accounts; access to an electronic communications network for Client order entry and account information; access to mutual funds with no transaction fees and to certain institutional money managers; and discounts on compliance, marketing, research, technology, and practice management products or services provided to the Advisor by third party vendors. TD Ameritrade may also have paid for business consulting and professional services received by the Advisor's related persons. Some of the products and services made available by TD Ameritrade through the program may benefit the Advisor but may not benefit its Client accounts. These products or services may assist the Advisor in managing and administering Client accounts, including accounts not maintained at TD Ameritrade. Other services made available by TD Ameritrade are intended to help the Advisor manage and further develop its business enterprise. The benefits received by the Advisor or its personnel through participation in the program do not depend on the amount of brokerage transactions directed to TD Ameritrade. As part of its fiduciary duties to clients, the Advisor endeavors at all times to put the interests of its clients first. Clients should be aware, however, that the receipt of economic benefits by the Advisor or its related persons in and of itself creates a conflict of interest and may indirectly influence the Advisor's choice of TD Ameritrade for custody and brokerage services.

B. Client Referrals from Solicitors

Maia Wealth may engage and compensate an unaffiliated third-party for Client referrals in accordance with the requirements of Rule 206(4)-3 of the Investment Advisers Act of 1940. Clients will not pay a higher fee to Maia Wealth as a result of such payments to a solicitor. The Advisor shall enter into an agreement with the solicitor, which requires that full disclosure of the compensation and other conflicts is provided to the prospective client prior to or at the time of entering into the advisory agreement.

Item 15 – Custody

Maia Wealth does not accept or maintain custody of any Client accounts, except for the authorized deduction of the Advisor's fees. All Clients must place their assets with a "qualified custodian". Clients are required to engage the Custodian to retain their funds and securities and direct Maia Wealth to utilize that Custodian for the Client's security transactions. Clients should review statements provided by the Custodian and compare to any reports provided by Maia Wealth to ensure accuracy, as the Custodian does not perform this review. For more information about custodians and brokerage practices, see Item 12 – Brokerage Practices.

Item 16 – Investment Discretion

Maia Wealth generally has discretion over the selection and amount of securities to be bought or sold in Client accounts without obtaining prior consent or approval from the Client, unless the Advisor is managing a qualified participant level account for the Client. However, these purchases or sales are subject to specified investment objectives, guidelines, or limitations previously set forth by the Client and agreed to by Maia Wealth. Discretionary authority will only be authorized upon full disclosure to the Client. The granting of such authority will be evidenced by the Client's execution of an investment advisory agreement containing all applicable limitations to such authority. All discretionary trades made by Maia Wealth will be in accordance with each Client's investment objectives and goals.

Where the Advisor manages Client account[s] on a non-discretionary basis, Maia Wealth will contact the Client and obtain approval prior to executing trades.

Item 17 – Voting Client Securities

Maia Wealth does not accept proxy-voting responsibility for any Client. Clients will receive proxy statements directly from the Custodian. The Advisor will assist in answering questions relating to proxies, however, the Client retains the sole responsibility for proxy decisions and voting.

Item 18 – Financial Information

Neither Maia Wealth, nor its management, have any adverse financial situations that would reasonably impair the ability of Maia Wealth to meet all obligations to its Clients. Neither Maia Wealth, nor any of its Advisory Persons, have been subject to a bankruptcy or financial compromise. Maia Wealth is not required to deliver a balance sheet along with this Disclosure Brochure as the Advisor does not collect fees of \$1,200 or more for services to be performed six months or more in advance.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

For

**Scott C. Marek, CFP®
Partner & Chief Compliance Officer
Of
Maia Wealth, LLC**

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Scott C. Marek (CRD# 6054867) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-9687.

Additional information about Scott Marek is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6054867.

Item 2 – Educational Background and Business Experience

Scott C. Marek, born in 1991, is dedicated to advising Clients of Maia Wealth as a Partner & Chief Compliance Officer. Scott Marek earned a Bachelors of Art in Business from the University of Colorado in 2013. Additional information regarding Scott Marek's employment history is included below.

Employment History:

Partner & Chief Compliance Officer, Maia Wealth, LLC	12/2017 to Present
Agent, MassMutual Life Insurance Company	02/2015 to 02/2018
Registered Representative, MML Investors Services LLC	02/2015 to 02/2018
Registered Representative, Northwestern Mutual Investment Services LLC	01/2013 to 01/2015
Agent, Northwestern Mutual Life Insurance Company	05/2012 to 01/2015

CERTIFIED FINANCIAL PLANNER™ (“CFP®”)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP® (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP® Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- **Experience** – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- **Ethics** – Agree to be bound by CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education** – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- **Ethics** – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP® Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Scott Marek. Scott Marek has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Scott Marek.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Scott Marek.***

However, we do encourage you to independently view the background of Scott Marek on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6054867.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Scott Marek is also a licensed insurance professional. As an insurance professional, Scott Marek will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are not obligated to implement any recommendations made by Scott Marek or the Advisor.

Marek Financial LLC

Scott Marek is the owner of Marek Financial LLC (“Marek Financial”). Marek Financial is a holding company for the ownership of Maia Wealth. Marek Financial is not affiliated in any other business activities.

Item 5 – Additional Compensation

Scott Marek has additional business activities where compensation is received that are detailed in Item 4 above. Mr. Marek does not receive any compensation for performing advisory services other than what is disclosed in Item 4 above.

Item 6 – Supervision

Scott Marek serves as a Partner and is also the Chief Compliance Officer of Maia Wealth. Mr. Marek is supervised by the other partners of the Advisor. Desmond J. Dimond and Chandler te Velde can be reached at (720) 644-9687.

Maia Wealth has implemented a Code of Ethics an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

**Desmond J. Dimond
Partner
Of
Maia Wealth, LLC**

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Desmond J. Dimond (CRD# 6283128) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-9687.

Additional information about Desmond Dimond is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6283128.

Item 2 – Educational Background and Business Experience

Desmond J. Dimond, born in 1992, is dedicated to advising Clients of Maia Wealth as a Partner of the Advisor. Desmond Dimond earned a Bachelors of Arts in Economics from Wheaton College in 2013. Additional information regarding Desmond Dimond's employment history is included below.

Employment History:

Partner, Maia Wealth, LLC	12/2017 to Present
Registered Representative, MML Investors Services, LLC	03/2015 to 03/2018
Agent, Massmutual Life Insurance Company	01/2015 to 03/2018
Registered Representative, Northwestern Mutual Investment Services, LLC	12/2013 to 01/2015
Agent, Northwestern Mutual Life Insurance Company	05/2014 to 01/2015
Assistant, Kevin Kaveney Wealth Management	12/2013 to 05/2014
Program Manager, Hope and Home	08/2013 to 12/2013
Student, Wheaton College	08/2010 to 08/2013

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Desmond Dimond. Desmond Dimond has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Desmond Dimond.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Desmond Dimond.***

However, we do encourage you to independently view the background of Desmond Dimond on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6283128.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Desmond Dimond is also a licensed insurance professional. As an insurance professional, Desmond Dimond will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Desmond Dimond is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are not obligated to implement any recommendations made by Desmond Dimond or the Advisor.

Dimond Ventures LLC

Desmond Dimond is the owner of Dimond Ventures LLC ("Dimond Ventures"). Dimond Ventures is a holding company for the ownership of Maia Wealth. Dimond Ventures is not affiliated in any other business activities.

Item 5 – Additional Compensation

Desmond Dimond has additional business activities where compensation is received that are detailed in Item 4 above. Mr. Dimond does not receive any compensation for performing advisory services other than what is disclosed in Item 4 above.

Item 6 – Supervision

Desmond Dimond serves as a Partner of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-9687.

Maia Wealth, LLC

1401 17th Street, Suite 850, Denver, CO 80202
Phone: (720) 644-8803 | Fax: (720) 368-5294
www.maiawealth.com

Maia Wealth has implemented a Code of Ethics an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

**Chandler te Velde
Director of Operations
Of
Maia Wealth, LLC**

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Chandler te Velde (CRD# 6718461) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Chandler te Velde is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6718461.

Item 2 – Educational Background and Business Experience

Chandler te Velde, born in 1995, is dedicated to advising Clients of Maia Wealth as the Director of Operations. Chandler te Velde also earned a BSBA in Finance from the University of Denver in 2017. Additional information regarding Chandler te Velde's employment history is included below.

Employment History:

Director of Operations, Maia Wealth, LLC	12/2017 to Present
Financial Services, MML Investors Services, LLC d/b/a Colorado Wealth Group	09/2016 to 01/2017
Junior Associate, Oppenheimer & Co.	06/2013 to 09/2013

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Chandler te Velde. Chandler te Velde has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Chandler te Velde.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Chandler te Velde.***

However, we do encourage you to independently view the background of Chandler te Velde on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6718461.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Chandler te Velde is also a licensed insurance professional. As an insurance professional, Chandler te Velde will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Chandler te Velde is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are not obligated to implement any recommendations made by Chandler te Velde or the Advisor.

CTV Holdings LLC

Chandler te Velde is the owner of CTV Holdings LLC ("CTV Holdings"). CTV Holdings is a holding company for the ownership of Maia Wealth. CTV Holdings is not affiliated in any other business activities.

Item 5 – Additional Compensation

Chandler te Velde has additional business activities where compensation is received that are detailed in Item 4 above. Ms. te Velde does not receive any compensation for performing advisory services other than what is disclosed in Item 4 above.

Item 6 – Supervision

Chandler te Velde serves as the Director of Operations of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics and internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced

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or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

**David L. Owens
Investment Advisor Representative
Of
Maia Wealth, LLC**

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of David L. Owens (CRD# 6447116) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Mr. Owens is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6447116.

Item 2 – Educational Background and Business Experience

David L. Owens, born in 1974, is dedicated to advising Clients of Maia Wealth as an Investment Advisor Representative. Mr. Owens earned a Medical Assistant from Concorde Career College in 1996. Additional information regarding Mr. Owens's employment history is included below.

Employment History:

Investment Advisor Representative, Maia Wealth, LLC	01/2019 to Present
Registered Representative, MML Investor Services LLC	05/2015 to 01/2019
Agent, MassMutual Life Insurance Company	04/2015 to 01/2019
Owner, ACF Colorado	02/2014 to 10/2017
Investment Advisor, First American	02/2015 to 04/2015
Partner, Ironclad Wealth Strategies	09/2012 to 02/2014
Owner, Synergy Systems	02/2010 to 09/2012
Owner, Mile High Medical Staffing	07/2007 to 06/2009

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Owens. Mr. Owens has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Owens.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Owens.***

However, we do encourage you to independently view the background of Mr. Owens on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6447116.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mr. Owens is also a licensed insurance professional. As an insurance professional, Mr. Owens will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Owens is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Owens or the Advisor.

Item 5 – Additional Compensation

Mr. Owens has additional business activities where compensation is received that are detailed in Item 4 above. Mr. Owens does not receive any compensation for performing advisory services other than what is disclosed in Item 4 above.

Item 6 – Supervision

Mr. Owens serves as an Investment Advisor Representative of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised

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Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

**Mark B. Candler
Wealth Management Advisor
Of
Maia Wealth, LLC**

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Mark B. Candler (CRD# 4748115) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Mr. Candler is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 4748115.

Item 2 – Educational Background and Business Experience

Mark B. Candler, born in 1967, is dedicated to advising Clients of Maia Wealth as a Wealth Management Advisor. Mr. Candler earned a Bachelor of Arts History from University of Colorado in 1991. Mr. Candler also earned a Masters of International Business Studies from University of South Carolina in 1995. Additional information regarding Mr. Candler's employment history is included below.

Employment History:

Wealth Management Advisor, Maia Wealth, LLC	02/2019 to Present
Registered Representative, MML Investors Services LLC	09/2014 to 02/2019
Agent, MassMutual Life Insurance Company	08/2014 to 02/2019
Financial Advisor, Northwestern Mutual Investment Services, LLC	06/2012 to 09/2014
Registered Representative, Northwestern Mutual Investment Services, LLC	02/2004 to 09/2014
Financial Representative, Northwestern Mutual Life Insurance Company	05/2003 to 09/2014

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Candler. Mr. Candler has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Candler.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Candler.***

However, we do encourage you to independently view the background of Mr. Candler on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 4748115.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mr. Candler is also a licensed insurance professional. As an insurance professional, Mr. Candler will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Candler is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Candler or the Advisor.

Item 5 – Additional Compensation

Mr. Candler has additional business activities where compensation is received that are detailed in Item 4 above. Mr. Candler does not receive any compensation for performing advisory services other than what is disclosed in Item 4 above.

Item 6 – Supervision

Mr. Candler serves as a Wealth Management Advisor of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced

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or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

For

**Christopher J. Barker, CFP®
Financial Advisor
Of
Maia Wealth, LLC**

Effective: February 3, 2021

This Form ADV 2B ("Brochure Supplement") provides information about the background and qualifications of Christopher J. Barker, CFP® (CRD# 6171686) in addition to the information contained in the Maia Wealth, LLC ("Maia Wealth" or the "Advisor", CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Mr. Barker is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6171686.

Item 2 – Educational Background and Business Experience

Christopher J. Barker CFP®, born in 1983, is dedicated to advising Clients of Maia Wealth as a Financial Advisor. Mr. Barker earned the Certified Financial Planning Designation from Metro State in 2017. Mr. Barker also earned an MBA - Finance from the University of Colorado Boulder in 2014. Mr. Barker also earned a B.A.S. Psychology from the University of Minnesota Duluth. Additional information regarding Mr. Barker's employment history is included below.

Employment History:

Financial Advisor, Maia Wealth, LLC	02/2019 to Present
Registered Representative, MML Investors Services, LLC	07/2015 to 02/2019
Agent, MassMutual Life Insurance Company	07/2015 to 02/2019
Financial Advisor, Northwestern Mutual Investment Services, LLC	04/2015 to 07/2015
Agent, Northwestern Mutual Life Insurance Company	03/2013 to 07/2015
Registered Representative, Northwestern Mutual Investment Services, LLC	03/2013 to 07/2015
Sales Representative, Lifetouch National School Studios	01/2007 to 03/2013

CERTIFIED FINANCIAL PLANNER™ (“CFP®”)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP® (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- *Education* – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP® Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- *Examination* – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- *Experience* – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- *Ethics* – Agree to be bound by CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- *Continuing Education* – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- *Ethics* – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP®

Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Barker. Mr. Barker has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Barker.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Barker.***

However, we do encourage you to independently view the background of Mr. Barker on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6171686.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mr. Barker is also a licensed insurance professional. As an insurance professional, Mr. Barker will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Barker is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Barker or the Advisor.

Item 5 – Additional Compensation

Mr. Barker has additional business activities where compensation is received that are detailed in Item 4 above. Mr. Barker does not receive any compensation for performing advisory services other than what is disclosed in Item 4 above.

Item 6 – Supervision

Mr. Barker serves as a Financial Advisor of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics, and internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

**Dylan A. Cleary
Wealth Management Associate
Of
Maia Wealth, LLC**

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Dylan A. Cleary (CRD# 7078683) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Mr. Cleary is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7078683.

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Item 2 – Educational Background and Business Experience

Dylan A. Cleary, born in 1996, is dedicated to advising Clients of Maia Wealth as a Wealth Management Associate. Mr. Cleary will earn a Bachelor of Science in Finance from University of Denver in June of 2019. Additional information regarding Mr. Cleary's employment history is included below.

Employment History:

Wealth Management Associate, Maia Wealth, LLC	09/2018 to Present
Student	08/2001 to Present

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Cleary. Mr. Cleary has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Cleary.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Cleary.***

However, we do encourage you to independently view the background of Mr. Cleary on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7078683.

Item 4 – Other Business Activities

Mr. Cleary is dedicated to the investment advisory activities of Maia Wealth's Clients. Mr. Cleary does not have any other business activities.

Item 5 – Additional Compensation

Mr. Cleary is dedicated to the investment advisory activities of Maia Wealth's Clients. Mr. Cleary does not receive any additional forms of compensation.

Item 6 – Supervision

Mr. Cleary serves as a Wealth Management Associate of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

**Darcy D. Borella
Wealth Management Advisor
Of
Maia Wealth, LLC**

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Darcy D. Borella (CRD# 6785791) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Ms. Borella is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 6785791.

Item 2 – Educational Background and Business Experience

Darcy D. Borella, born in 1982, is dedicated to advising Clients of Maia Wealth as a Wealth Management Advisor. Ms. Borella earned her degree in Speech Language Pathology from University of North Texas in 2007. Ms. Borella also earned a degree in Communication Sciences and Disorders from University of Texas in 2005. Additional information regarding Ms. Borella's employment history is included below.

Employment History:

Wealth Management Advisor, Maia Wealth, LLC	01/2020 to Present
Wealth Advisor, Trilogy Financial Services	08/2017 to 01/2020
Director of Clinical Operations, Rehab Solutions	09/2016 to 03/2017
Regional Vice President, Encore Rehabilitation Services	02/2015 to 08/2016
Speech Pathologist, Hallmark Rehabilitation	05/2007 to 07/2015

Certified Financial Planner™ ("CFP®")

The Certified Financial Planner™, CFP®, and federally registered CFP® (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner™ Board of Standards, Inc. ("CFP® Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- *Education* – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP® Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- *Examination* – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real-world circumstances;
- *Experience* – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- *Ethics* – Agree to be bound by CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- *Continuing Education* – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- *Ethics* – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP® Board's enforcement process, which could result in suspension or permanent revocation of their CFP®.

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Borella. Ms. Borella has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Borella.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Ms. Borella.***

However, we do encourage you to independently view the background of Ms. Borella on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 6785791.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Ms. Borella is also a licensed insurance professional. As an insurance professional, Ms. Borella will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Ms. Borella is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Ms. Borella or the Advisor. Ms. Borella spends approximately 20% of her time per month in this capacity.

My Secure Advantage

Ms. Borella is a speaker for My Secure Advantage. My Secure Advantage is a financial wellness program that offers education and coaching through company wellness and benefit programs. Ms. Borella as a speaker of My Secure Advantage is to conduct seminars and presentations to provide general education around the financial industry. At no time does Ms. Borella provide advisory services in these seminars. Ms. Borella spends less than one hour a month in this position.

Financial Consulting LLC

Ms. Borella uses Financial Consulting LLC as a holding company to receive payments for My Secure Advantage made for speaking engagements.

Mile High Young Professionals

Ms. Borella is the President of Mile High Young Professionals. This organization is a young professional's organization that provides young adults philanthropic, education and growth opportunities. Ms. Borella spends approximately 5% of her time in this position a month.

Item 5 – Additional Compensation

Ms. Borella has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Ms. Borella serves as a Wealth Management Advisor of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced

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or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

Zachary B. Schiffer
Wealth Management Advisor

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Zachary B. Schiffer (CRD# 7193653) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Mr. Schiffer is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7193653.

Item 2 – Educational Background and Business Experience

Zachary B. Schiffer, born in 1989, is dedicated to advising Clients of Maia Wealth as a Wealth Management Advisor. Mr. Schiffer earned a Bachelor of Arts in Political Science from the University Of Vermont in 2012. Additional information regarding Mr. Schiffer's employment history is included below.

Employment History:

Wealth Management Advisor, Maia Wealth, LLC	03/2019 to Present
Partner Development Manager, Evolve Vacation Rental	02/2018 to 02/2019
Travel Sales Advisor, Evolve Vacation Rental	07/2017 to 02/2018
Coach, Vantage	07/2016 to 07/2017
Production Manager, Breckenridge Distillery	02/2016 to 07/2016
Coach, Sealfit	03/2013 to 01/2016
Brewer, Stone Brewery	10/2012 to 03/2013

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Schiffer. Mr. Schiffer has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Schiffer.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Schiffer.***

However, we do encourage you to independently view the background of Mr. Schiffer on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7193653.

Item 4 – Other Business Activities

Mr. Schiffer is dedicated to the investment advisory activities of Maia Wealth's Clients. Mr. Schiffer does not have any other business activities.

Item 5 – Additional Compensation

Mr. Schiffer is dedicated to the investment advisory activities of Maia Wealth's Clients. Mr. Schiffer does not receive any additional forms of compensation.

Item 6 – Supervision

Mr. Schiffer serves as a Wealth Management Advisor of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

**Jordan L. Youngblade
Wealth Management Advisor**

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Jordan L. Youngblade (CRD# 6214219) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Mrs. Youngblade is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 6214219.

Item 2 – Educational Background and Business Experience

Jordan L. Youngblade, born in 1990, is dedicated to advising Clients of Maia Wealth as a Wealth Management Advisor. Mrs. Youngblade earned a Bachelor of Science in Business Administration from the University of Colorado at Boulder in 2013. Additional information regarding Mrs. Youngblade's employment history is included below.

Employment History:

Wealth Management Advisor, Maia Wealth, LLC	12/2018 to Present
Life and Purpose Coach, RevenueTribe	12/2018 to Present
Financial Coach, Jordan Youngblade LLC	11/2016 to 11/2018
Financial Advisor, North Star Resource Group	01/2013 to 11/2016
Assistant, Bittner Commercial Advisors	06/2012 to 12/2012
Nanny, Del Ponte Family	05/2011 to 08/2011
Hostess, Cantina Laredo	05/2010 to 08/2010
Waitress, Cherry Creek Country Club	05/2010 to 08/2010

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mrs. Youngblade. Mrs. Youngblade has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mrs. Youngblade.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mrs. Youngblade.***

However, we do encourage you to independently view the background of Mrs. Youngblade on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 6214219.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mrs. Youngblade is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from Mrs. Youngblade's role with Maia Wealth. As an insurance professional, Mrs. Youngblade will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mrs. Youngblade is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mrs. Youngblade or the Advisor. Mrs. Youngblade spends approximately 10% of her time per month in this capacity.

Item 5 – Additional Compensation

Mrs. Youngblade has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mrs. Youngblade serves as a Wealth Management Advisor of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

**Tyler C. Linkowski
Director of Employee Care**

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Tyler C. Linkowski (CRD# 7026961) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Mr. Linkowski is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7026961.

Item 2 – Educational Background and Business Experience

Tyler C. Linkowski, born in 1991, is dedicated to advising Clients of Maia Wealth as the Director of Employee Care. Mr. Linkowski earned a B.S. in Business Administration and Marketing from the University of Colorado in 2013. Additional information regarding Mr. Linkowski's employment history is included below.

Employment History:

Director of Employee Care, Maia Wealth, LLC	04/2020 to Present
Associate, One Vision Enterprises	05/2019 to Present
Registered Representative, MML Investors Services LLC	10/2018 to 04/2020
Insurance Agent, MassMutual	06/2018 to 04/2020
Sales Manager, Vindication Brewing Company	05/2013 to 04/2018

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Linkowski. Mr. Linkowski has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Linkowski.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Linkowski.***

However, we do encourage you to independently view the background of Mr. Linkowski on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7026961.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mr. Linkowski is a licensed insurance professional. Implementations of insurance recommendations are separate and apart from Mr. Linkowski's role with Maia Wealth. As an insurance professional, Mr. Linkowski will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Linkowski is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Linkowski or the Advisor. Mr. Linkowski spends approximately 10% of his time per month in this capacity.

OneVision Enterprises LLC

Mr. Linkowski is an Associate of One Vision Enterprises LLC. In his role as an Associate, Mr. Linkowski provides relationship management and coaching services to advisors. Mr. Linkowski spends approximately 10% of his time per month in this capacity.

Item 5 – Additional Compensation

Mr. Linkowski has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Linkowski serves as the Director of Employee Care of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

**Anne B. Kiser
Financial Advisor
Of
Maia Wealth, LLC**

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Anne B. Kiser (CRD# 6794676) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Ms. Kiser is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 6794676.

Item 2 – Educational Background and Business Experience

Anne B. Kiser, born in 1983, is dedicated to advising Clients of Maia Wealth as a Financial Advisor. Ms. Kiser earned an MPH in Community and Behavioral Health from the University of Colorado Anschutz in 2016. Ms. Kiser also earned a Bachelor's in English Literature from University of San Francisco in 2008. Additional information regarding Ms. Kiser's employment history is included below.

Employment History:

Financial Advisor, Maia Wealth, LLC	06/2020 to Present
Insurance Agent, New York Life Insurance Co.	05/2017 to Present
Financial Services Professional, New York Life Securities, LLC	06/2017 to 06/2019
Independent consultant, Centura Health	11/2015 to 10/2017
Volunteer Coordinator, International Rescue Committee	11/2013 to 06/2014

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Ms. Kiser. Ms. Kiser has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Ms. Kiser.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Ms. Kiser.***

However, we do encourage you to independently view the background of Ms. Kiser on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 6794676.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Ms. Kiser is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from Ms. Kiser's role with Maia Wealth. As an insurance professional, Ms. Kiser will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Ms. Kiser is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Ms. Kiser or the Advisor. Ms. Kiser spends approximately 10% of her time per month in this capacity.

Item 5 – Additional Compensation

Ms. Kiser has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Ms. Kiser serves as a Financial Advisor of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced

or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

**Terry M. Anderson, CFP®
Certified Financial Planner
Of
Maia Wealth, LLC**

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Terry M. Anderson (CRD# 2374045) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Mr. Anderson is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 2374045.

Item 2 – Educational Background and Business Experience

Terry M. Anderson, born in 1959, is dedicated to advising Clients of Maia Wealth as Certified Financial Planner. Mr. Anderson attended classes at the University of Wyoming in 1981. Mr. Anderson also attended Union College. Additional information regarding Mr. Anderson's employment history is included below.

Employment History:

CFP, Maia Wealth, LLC	03/2020 to Present
Registered Representative, First Allied Securities, Inc	08/2013 to 03/2020
Investment Advisor Representative, First Allied Advisory Service, Inc	09/2013 to 03/2020
Investment Advisor Representative, Capital Asset Management, LLC	02/2017 to 03/2020
Registered Representative, International Assets Advisory	09/2020 to 09/2011
Investment Advisor Representative, International Assets Investment Management, LLC	09/2011 to 03/2015
Registered Representative, J. W. Cole	08/2011 to 09/2011
Registered Representative, Capwest Securities	07/2010 to 08/2011
Registered Representative, Qa3 Financial	03/2010 to 05/2010

Certified Financial Planner™ ("CFP®")

The Certified Financial Planner™, CFP®, and federally registered CFP® (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner™ Board of Standards, Inc. ("CFP® Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- *Education* – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP® Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- *Examination* – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real-world circumstances;
- *Experience* – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- *Ethics* – Agree to be bound by CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- *Continuing Education* – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- *Ethics* – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

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CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP® Board's enforcement process, which could result in suspension or permanent revocation of their CFP®.

Item 3 – Disciplinary Information

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices.

However, we do encourage you to independently view the background of Mr. Anderson on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 2374045.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mr. Anderson is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from Mr. Anderson's role with Maia Wealth. As an insurance professional, Mr. Anderson will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Anderson is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Anderson or the Advisor. Mr. Anderson spends approximately 10% of his time per month in this capacity.

Item 5 – Additional Compensation

Mr. Anderson has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Anderson serves as a Certified Financial Planner of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

**Jack P. McCaddon
Wealth Management Advisor
Of
Maia Wealth, LLC**

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Jack P. McCaddon (CRD# 6427544) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Mr. McCaddon is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6427544.

Item 2 – Educational Background and Business Experience

Jack P. McCaddon, born in 1987, is dedicated to advising Clients of Maia Wealth as a Wealth Management Advisor. Mr. McCaddon earned a BA in Political Science from Colorado State University in 2010. Additional information regarding Mr. McCaddon's employment history is included below.

Employment History:

Wealth Management Advisor, Maia Wealth, LLC	08/2020 to Present
Agent, Mass Mutual Life Insurance Company	01/2017 to Present
Financial Representative, Mass Mutual	12/2016 to 08/2020
Financial Representative, Prudential Life Insurance Co	10/2016 to 12/2016
Financial Representative, Northwestern Mutual	12/2014 to 10/2016
Operations Manager, Sage Hospitality	12/2012 to 12/2014
Front Office Manager, Hilton Hotels	01/2010 to 12/2012

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. McCaddon. Mr. McCaddon has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. McCaddon.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. McCaddon.***

However, we do encourage you to independently view the background of Mr. McCaddon on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 6427544.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mr. McCaddon is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from Mr. McCaddon's role with Maia Wealth. As an insurance professional, Mr. McCaddon will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. McCaddon is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. McCaddon or the Advisor. Mr. McCaddon spends approximately 10% of his time per month in this capacity.

Item 5 – Additional Compensation

Mr. McCaddon has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. McCaddon serves as a Wealth Management Advisor of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised

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1401 17th Street, Suite 850, Denver, CO 80202

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Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

**Trevor J. Hougardy
Wealth Management Advisor
Of
Maia Wealth, LLC**

Effective: February 3, 2021

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Trevor J. Hougardy (CRD# 7280874) in addition to the information contained in the Maia Wealth, LLC (“Maia Wealth” or the “Advisor”, CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Mr. Hougardy is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7280874.

Item 2 – Educational Background and Business Experience

Trevor J. Hougardy, born in 1983, is dedicated to advising Clients of Maia Wealth as a Wealth Management Advisor. Mr. Hougardy earned a Bachelor of Arts from Drury University in 2006. Additional information regarding Mr. Hougardy's employment history is included below.

Employment History:

Wealth Management Advisor, Maia Wealth, LLC	08/2020 to Present
Investment Advisor Representative, Colorado Wealth Group, LLC	08/2020 to 09/2020
Sales executive, Costar Group	04/2014 to Present
Triathlon Coach, Trimonsster	02/2010 to 01/2016

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Hougardy. Mr. Hougardy has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Hougardy.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Hougardy.***

However, we do encourage you to independently view the background of Mr. Hougardy on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 7280874.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mr. Hougardy is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from Mr. Hougardy's role with Maia Wealth. As an insurance professional, Mr. Hougardy will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Hougardy is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Hougardy or the Advisor. Mr. Hougardy spends approximately 10% of his time per month in this capacity.

Item 5 – Additional Compensation

Mr. Hougardy has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Hougardy serves as a Wealth Management Advisor of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Maia Wealth, LLC

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www.maiawealth.com



MAIA WEALTH

Form ADV Part 2B – Brochure Supplement

for

**Adam B. Coleman
Wealth Management Advisor
Of
Maia Wealth, LLC**

Effective: February 3, 2021

This Form ADV 2B ("Brochure Supplement") provides information about the background and qualifications of Adam B. Coleman (CRD# 5292043) in addition to the information contained in the Maia Wealth, LLC ("Maia Wealth" or the "Advisor", CRD# 292817) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Maia Wealth Disclosure Brochure or this Brochure Supplement, please contact the Advisor at (720) 644-8803.

Additional information about Mr. Coleman is available on the SEC's Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 5292043.

Item 2 – Educational Background and Business Experience

Adam B. Coleman, born in 1982, is dedicated to advising Clients of Maia Wealth as a Wealth Management Advisor. Mr. Coleman earned an M.B.A. from Villanova University in 2011. Mr. Coleman also earned a B.S. Information Science and Technology from Pennsylvania State University in 2004. Additional information regarding Mr. Coleman's employment history is included below.

Employment History:

Wealth Management Advisor, Maia Wealth, LLC	08/2020 to Present
Financial Planner, Colorado Wealth Group	08/2019 to 08/2020
Senior Advisor, Dell	08/2004 to 08/2019

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Coleman. Mr. Coleman has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Coleman.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. ***As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Coleman.***

However, we do encourage you to independently view the background of Mr. Coleman on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or his Individual CRD# 5292043.

Item 4 – Other Business Activities

Insurance Agency Affiliations

Mr. Coleman is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from Mr. Coleman's role with Maia Wealth. As an insurance professional, Mr. Coleman will receive customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Coleman is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Coleman or the Advisor. Mr. Coleman spends approximately 10% of his time per month in this capacity.

Item 5 – Additional Compensation

Mr. Coleman has additional business activities where compensation is received that are detailed in Item 4 above.

Item 6 – Supervision

Mr. Coleman serves as a Wealth Management Advisor of Maia Wealth and is supervised by Scott Marek, the Chief Compliance Officer. Mr. Marek can be reached at (720) 644-8803.

Maia Wealth has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Maia Wealth. Further, Maia Wealth is subject to regulatory oversight by various agencies. These agencies require registration by Maia Wealth and its Supervised Persons. As a registered entity, Maia Wealth is subject to examinations by regulators, which may be announced or unannounced. Maia Wealth is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Privacy Policy

Effective: February 3, 2021

Our Commitment to You

Maia Wealth, LLC ("Maia Wealth" or the "Advisor") is committed to safeguarding the use of personal information of our Clients (also referred to as "you" and "your") that we obtain as your Investment Advisor, as described here in our Privacy Policy ("Policy").

Our relationship with you is our most important asset. We understand that you have entrusted us with your private information, and we do everything that we can to maintain that trust. Maia Wealth (also referred to as "we", "our" and "us") protects the security and confidentiality of the personal information we have and implements controls to ensure that such information is used for proper business purposes in connection with the management or servicing of our relationship with you.

Maia Wealth does not sell your non-public personal information to anyone. Nor do we provide such information to others except for discrete and reasonable business purposes in connection with the servicing and management of our relationship with you, as discussed below.

Details of our approach to privacy and how your personal non-public information is collected and used are set forth in this Policy.

Why you need to know?

Registered Investment Advisors ("RIAs") must share some of your personal information in the course of servicing your account. Federal and State laws give you the right to limit some of this sharing and require RIAs to disclose how we collect, share, and protect your personal information.

What information do we collect from you?

Driver's license number	Date of birth
Social security or taxpayer identification number	Assets and liabilities
Name, address and phone number[s]	Income and expenses
E-mail address[es]	Investment activity
Account information (including other institutions)	Investment experience and goals

What Information do we collect from other sources?

Custody, brokerage and advisory agreements	Account applications and forms
Other advisory agreements and legal documents	Investment questionnaires and suitability documents
Transactional information with us or others	Other information needed to service account

How do we protect your information?

To safeguard your personal information from unauthorized access and use we maintain physical, procedural and electronic security measures. These include such safeguards as secure passwords, encrypted file storage and a secure office environment. Our technology vendors provide security and access control over personal information and have policies over the transmission of data. Our associates are trained on their responsibilities to protect Client's personal information.

We require third parties that assist in providing our services to you to protect the personal information they receive from us.

How do we share your information?

An RIA shares Client personal information to effectively implement its services. In the section below, we list some reasons we may share your personal information.

Basis For Sharing	Do we share?	Can you limit?
Servicing our Clients We may share non-public personal information with non-affiliated third parties (such as administrators, brokers, custodians, regulators, credit agencies, other financial institutions) as necessary for us to provide agreed upon services to you, consistent with applicable law, including but not limited to: processing transactions; general account maintenance; responding to regulators or legal investigations; and credit reporting.	Yes	No
Marketing Purposes Maia Wealth does not disclose, and does not intend to disclose, personal information with non-affiliated third parties to offer you services. Certain laws may give us the right to share your personal information with financial institutions where you are a customer and where Maia Wealth or the client has a formal agreement with the financial institution. We will only share information for purposes of servicing your accounts, not for marketing purposes.	No	Not Shared
Authorized Users Your non-public personal information may be disclosed to you and persons that we believe to be your authorized agent[s] or representative[s].	Yes	Yes
Information About Former Clients Maia Wealth does not disclose and does not intend to disclose, non-public personal information to non-affiliated third parties with respect to persons who are no longer our Clients.	No	Not Shared

State-specific Regulations

California	In response to a California law, to be conservative, we assume accounts with California addresses do not want us to disclose personal information about you to non-affiliated third parties, except as permitted by California law. We also limit the sharing of personal information about you with our affiliates to ensure compliance with California privacy laws.
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Changes to our Privacy Policy

We will send you a copy of this Policy annually for as long as you maintain an ongoing relationship with us.

Periodically we may revise this Policy, and will provide you with a revised Policy if the changes materially alter the previous Privacy Policy. We will not, however, revise our Privacy Policy to permit the sharing of non-public personal information other than as described in this notice unless we first notify you and provide you with an opportunity to prevent the information sharing.

Any Questions?

You may ask questions or voice any concerns, as well as obtain a copy of our current Privacy Policy by contacting us at (720) 644-8803.