

EPIQ Partners, LLC Brochure Supplement

# Brochure Supplement

February 22, 2021

## DANIEL ARONSON

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Minneapolis, MN 55408

(612) 843-4805

This Brochure Supplement provides information about Daniel Aronson that supplements the Disclosure Brochure of EPIQ Partners, LLC (hereinafter “EPIQ”), a copy of which you should have received. Please contact EPIQ’s Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Daniel Aronson is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**EPIQ Partners, LLC, a Registered Investment Adviser**

2919 Knox Avenue South, Suite 200, Minneapolis, MN 55408 | (612) 843-4800  
[www.epiqpartnersllc.com](http://www.epiqpartnersllc.com)

### Item 2. Educational Background and Business Experience

Born 1966

#### Post-Secondary Education

Skidmore College | B.A., Music | 1989

#### Recent Business Background

EPIQ Partners, LLC | Managing Member | September 2012 – Present

Commonwealth Investors LLC | Managing Member | May 2008 - Present

Tealwood Asset Management | January 2007 – January 2011, Representative | January 2011 – September 2012, Principal

#### Professional Designation

Daniel Aronson holds the professional designation of Chartered Financial Analyst (“CFA”).

The CFA® charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management.

For additional information about this credential, please refer directly to the website of the issuing organization.

### Item 3. Disciplinary Information

EPIQ is required to disclose information regarding any legal or disciplinary events material to a client’s evaluation of Daniel Aronson. EPIQ has no information to disclose in relation to this Item.

### Item 4. Other Business Activities

EPIQ is required to disclose information regarding any investment-related business or occupation in which Daniel Aronson is actively engaged. EPIQ has no information to disclose in relation to this Item.

### **Item 5. Additional Compensation**

EPIQ is required to disclose information regarding any arrangement under which Daniel Aronson receives an economic benefit from someone other than a client for providing investment advisory services. EPIQ has no information to disclose in relation to this Item.

### **Item 6. Supervision**

Daniel Aronson is a Managing Member of EPIQ and is generally responsible for his own supervision. However, Benjamin Frey, Chief Compliance Officer, periodically reviews the activities of Daniel Aronson. Mr. Frey can be reached by calling the number on the cover of this brochure supplement.

EPIQ supervises its personnel and the investments made in client accounts. EPIQ monitors the investments recommended by Daniel Aronson to ensure those investments are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. EPIQ periodically reviews the advisory activities of Daniel Aronson, which may include reviewing individual client accounts and correspondence (including e-mails) sent to and received by Daniel Aronson.

### **Item 7. Requirements for State Registered Advisers**

EPIQ is required to disclose information regarding Daniel Aronson's involvement in certain civil, self-regulatory organization or administrative proceedings, arbitration awards or findings, or bankruptcy proceedings. EPIQ has no information to disclose in relation to this Item.