

**Item 1. Individual Advisor Supplemental Information, Cover Page**

Individual: Edgar G. Ingraham  
2850 W. Market St.  
Suite 201  
Akron, OH 44333  
330-535-0881

Advisory Firm: EGI Financial, Inc.  
50 South Main St.  
Suite 1210  
Akron, OH 44308  
330-535-0881

Date of Supplement: February 16, 2021

This brochure supplement provides information about Edgar G. Ingraham that supplements the EGI Financial, Inc. brochure. You should have received a copy of that brochure. Please contact EGI Financial at 330-535-0881 if you did not receive the EGI Financial, Inc. brochure or if you have any questions about the contents of this supplement.

Additional information about Edgar G. Ingraham is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2. Educational Background and Business Experience**

Edgar G. Ingraham: DOB: 9/27/1946. Education: B.S./Finance, Ohio State University. Background: President, Chief Compliance Officer EGI Financial, Inc. April 1992-June 2016, Investment Advisor Representative since September 1992. President of American Heritage Securities, Inc. April 1992-April 2016.

**Item 3. Disciplinary Information**

None

**Item 4. Other Business Activities**

Edgar G. Ingraham is a Registered Representative of American Heritage Securities, Inc. since April 1992, an affiliate of EGI Financial, Inc., as described above in Item 2. This affiliation creates the potential for a conflict of interest as described in Item 4 of the *Brochure*. Any potential conflict of interest is avoided by the separation of the two businesses. In the event that any commission or other security related compensation is received by American Heritage Securities, Inc. related to a client of EGI Financial, Inc., such commission or compensation and the conflict of interest shall be fully disclosed to the client prior to completion of the transaction.

**Item 5. Additional Compensation**

Edgar G. Ingraham does not receive additional income, compensation or other benefits for providing advisory services to individuals or entities other than EGI Financial, Inc. clients.

**Item 6. Supervision**

Jeffrey C. Thomas, Chief Compliance Officer, 330-374-7500, supervises activity of EGI's IAR's by reviewing investment allocations, client objectives and monitoring investment activity.

**Item 7. Requirements for State-Registered Advisers**

In addition to Item 3, above, State-Registered Advisers are required to disclose if they have been the subject of an award, arbitration alleging damages of \$2,500; civil suit or self-regulating organization proceeding; or bankruptcy. Edgar G. Ingraham has no such disclosures.

**Item 1. Individual Advisor Supplemental Information, Cover Page**

Individual: Tyler A. Krummel  
2850 W. Market St.  
Suite 201  
Akron, OH 44333  
330-535-0881

Advisory Firm: EGI Financial, Inc.  
50 South Main St.  
Suite 1210  
Akron, OH 44308  
330-535-0881

Date of Supplement: February 16, 2021

This brochure supplement provides information about Tyler A. Krummel that supplements the EGI Financial, Inc. brochure. You should have received a copy of that brochure. Please contact EGI Financial at 330-535-0881 if you did not receive the EGI Financial, Inc. brochure or if you have any questions about the contents of this supplement.

Additional information about Tyler A. Krummel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2. Educational Background and Business Experience**

Tyler A. Krummel: DOB: 08/24/1989. Education: B.S/B.A in Finance and Business Management, Ashland University. May 2011 to May 2015, Financial Advisor/Portfolio Manager at Investment & Trust Group of Richland Bank, Mansfield, OH. April 2103 to May 2015, Registered Representative at national brokerage firm. Registered Representative at American Heritage Securities, Inc. since May 2015.

**Item 3. Disciplinary Information**

None

**Item 4. Other Business Activities**

Tyler A. Krummel is a Registered Representative of American Heritage Securities, Inc. since 2015, an affiliate of EGI Financial, Inc., as described above in Item 2. This affiliation creates the potential for a conflict of interest as described in Item 4 of the *Brochure*. Any potential conflict of interest is avoided by the separation of the two businesses. In the event that any commission or other security related compensation is received by American Heritage Securities, Inc. related to a client of EGI Financial, Inc., such commission or compensation and the conflict of interest shall be fully disclosed to the client prior to completion of the transaction.

**Item 5. Additional Compensation**

Tyler A. Krummel does not receive additional income, compensation or other benefits for providing advisory services to individuals or entities other than EGI Financial, Inc. clients.

**Item 6. Supervision**

Jeffrey C. Thomas, Chief Compliance Officer, 330-374-7500, supervises activity of EGI's IAR's by reviewing investment allocations, client objectives and monitoring investment activity.

**Item 7. Requirements for State-Registered Advisers**

I In addition to Item 3, above, State-Registered Advisers are required to disclose if they have been the subject of an award or arbitration alleging damages of \$2,500; civil suit or self-regulating organization proceeding; or bankruptcy Tyler A. Krummel has no such disclosures.

**Item 1. Individual Advisor Supplemental Information, Cover Page**

Individual: Michael D. Prescott  
50 South Main St.  
Suite 1210  
Akron, OH 44308  
330-535-0881

Advisory Firm: EGI Financial, Inc.  
50 South Main St.  
Suite 1210  
Akron, OH 44308  
330-535-0881

Date of Supplement: February 16, 2021

This brochure supplement provides information about Michael D. Prescott that supplements the EGI Financial, Inc. brochure. You should have received a copy of that brochure. Please contact EGI Financial at 330-535-0881 if you did not receive the EGI Financial, Inc. brochure or if you have any questions about the contents of this supplement.

Additional information about Michael D. Prescott is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2. Educational Background and Business Experience**

Michael D. Prescott: DOB: 10/20/1950. Education: B.B.A./Finance, University of Akron, 2000. Attended University of Wisconsin. Background: Investment Advisor Representative since August 2002. Registered Representative at American Heritage Securities, Inc. since August 2002.

**Item 3. Disciplinary Information**

None

**Item 4. Other Business Activities**

Michael D. Prescott is a Registered Representative of American Heritage Securities, Inc. since 2002, an affiliate of EGI Financial, Inc., as described above in Item 2. This affiliation creates the potential for a conflict of interest as described in Item 4 of the *Brochure*. Any potential conflict of interest is avoided by the separation of the two businesses. In the event that any commission or other security related compensation is received by American Heritage Securities, Inc. related to a client of EGI Financial, Inc., such commission or compensation and the conflict of interest shall be fully disclosed to the client prior to completion of the transaction.

**Item 5. Additional Compensation**

Michael D. Prescott does not receive additional income, compensation or other benefits for providing advisory services to individuals or entities other than EGI Financial, Inc. clients.

**Item 6. Supervision**

Jeffrey C. Thomas, Chief Compliance Officer, 330-374-7500, supervises activity of EGI's IAR's by reviewing investment allocations, client objectives and monitoring investment activity.

**Item 7. Requirements for State-Registered Advisers**

In addition to Item 3, above, State-Registered Advisers are required to disclose if they have been the subject of an award, arbitration alleging damages of \$2,500; civil suit or self-regulating organization proceeding; or bankruptcy. Michael D. Prescott has no such disclosures.

**Item 1. Individual Advisor Supplemental Information, Cover Page**

Individual: Brian D. Vincelette  
50 South Main St.  
Suite 1210  
Akron, OH 44308  
330-535-0881

Advisory Firm: EGI Financial, Inc.  
50 South Main St.  
Suite 1210  
Akron, OH 44308  
330-535-0881

Date of Supplement: February 16, 2021

This brochure supplement provides information about Brian D. Vincelette that supplements the EGI Financial, Inc. brochure. You should have received a copy of that brochure. Please contact EGI Financial at 330-535-0881 if you did not receive the EGI Financial, Inc. brochure or if you have any questions about the contents of this supplement.

Additional information about Brian D. Vincelette is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2. Educational Background and Business Experience**

Brian D. Vincelette: DOB: 04/12/1970. Education: B.S/B.A. Marketing, New Mexico State University. Professional Designations: CRPC, Chartered Retirement Planning Counselor\*, Background: January 2009 to January 2013, Registered Representative at national brokerage firm. Registered Representative at American Heritage Securities, Inc. since January 2013.

**Item 3. Disciplinary Information**

None

**Item 4. Other Business Activities**

Brian D. Vincelette is a Registered Representative of American Heritage Securities, Inc. since 2013, an affiliate of EGI Financial, Inc., as described above in Item 2. This affiliation creates the potential for a conflict of interest as described in Item 4 of the *Brochure*. Any potential conflict of interest is avoided by the separation of the two businesses. In the event that any commission or other security related compensation is received by American Heritage Securities, Inc. related to a client of EGI Financial, Inc., such commission or compensation and the conflict of interest shall be fully disclosed to the client prior to completion of the transaction.

**Item 5. Additional Compensation**

Brian D. Vincelette does not receive additional income, compensation or other benefits for providing advisory services to individuals or entities other than EGI Financial, Inc. clients.

**Item 6. Supervision**

Jeffrey C. Thomas, Chief Compliance Officer, 330-374-7500, supervises activity of EGI's IAR's by reviewing investment allocations, client objectives and monitoring investment activity.

**Item 7. Requirements for State-Registered Advisers**

In addition to Item 3, above, State-Registered Advisers are required to disclose if they have been the subject of an award or arbitration alleging damages of \$2,500; civil suit or self-regulating organization proceeding; or bankruptcy. Brian D. Vincelette has no such disclosures.

\* Minimum qualifications for persons holding the professional designation of Certified Retirement Planning Counselor are:

Individuals who hold the CRPC® designation have completed a course of study encompassing pre-and post-retirement needs, asset management, estate planning and the entire retirement planning process using models and techniques from real client situations. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations.

All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process.

Designees renew their designation every two-years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.

**Item 1. Individual Advisor Supplemental Information, Cover Page**

Individual: Brian K. Weinreich  
2850 W. Market St.  
Suite 201  
Akron, OH 44333  
330-535-0881

Advisory Firm: EGI Financial, Inc.  
50 South Main St.  
Suite 1210  
Akron, OH 44308  
330-535-0881

Date of Supplement: February 16, 2021

This brochure supplement provides information about Brian K. Weinreich that supplements the EGI Financial, Inc. brochure. You should have received a copy of that brochure. Please contact EGI Financial at 330-535-0881 if you did not receive the EGI Financial, Inc. brochure or if you have any questions about the contents of this supplement.

Additional information about Brian K. Weinreich is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2. Educational Background and Business Experience**

Brian K. Weinreich: DOB: 9/9/1973. Education: B.A./General Studies, Kent State University, 1997. Background: Investment Advisor Representative since May 2009. January 1999 to May 2009, Registered Representative at national brokerage firm. May 2009 to present, Registered Representative at American Heritage Securities, Inc. since May 2009.

**Item 3. Disciplinary Information**

None

**Item 4. Other Business Activities**

Brian K. Weinreich is a Registered Representative of American Heritage Securities, Inc. since 2009, an affiliate of EGI Financial, Inc., as described above in Item 2. This affiliation creates the potential for a conflict of interest as described in Item 4 of the *Brochure*. Any potential conflict of interest is avoided by the separation of the two businesses. In the event that any commission or other security related compensation is received by American Heritage Securities, Inc. related to a client of EGI Financial, Inc., such commission or compensation and the conflict of interest shall be fully disclosed to the client prior to completion of the transaction.

**Item 5. Additional Compensation**

Brian K. Weinreich does not receive additional income, compensation or other benefits for providing advisory services to individuals or entities other than EGI Financial, Inc. clients.

**Item 6. Supervision**

Jeffrey C. Thomas, Chief Compliance Officer, 330-374-7500, supervises activity of EGI's IAR's by reviewing investment allocations, client objectives and monitoring investment activity.

**Item 7. Requirements for State-Registered Advisers**

In addition to Item 3, above, State-Registered Advisers are required to disclose if they have been the subject of an award or arbitration alleging damages of \$2,500; civil suit or self-regulating organization proceeding; or bankruptcy. Brian K. Weinreich has no such disclosures.

**Item 1. Individual Advisor Supplemental Information, Cover Page**

Individual: Sara Gibbs  
50 South Main St.  
Suite 1210  
Akron, OH 44308  
330-374-1932

Advisory Firm: EGI Financial, Inc.  
50 South Main St.  
Suite 1210  
Akron, OH 44308  
330-535-0881

Date of Supplement: February 16, 2021

This brochure supplement provides information about Sara Gibbs that supplements the EGI Financial, Inc. brochure. You should have received a copy of that brochure. Please contact EGI Financial at 330-535-0881 if you did not receive the EGI Financial, Inc. brochure or if you have any questions about the contents of this supplement.

Additional information about Sara Gibbs is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2. Educational Background and Business Experience**

Sara Gibbs: DOB: 11/25/1956. Education: B.A., Morse College of Business. Background: Registered Representative at various brokerage firms since November 1986. Registered Representative at American Heritage Securities, Inc. since January 2019.

**Item 3. Disciplinary Information**

None

**Item 4. Other Business Activities**

Sara Gibbs is a Registered Representative of American Heritage Securities, Inc. since 2019, an affiliate of EGI Financial, Inc., as described above in Item 2. This affiliation creates the potential for a conflict of interest as described in Item 4 of the *Brochure*. Any potential conflict of interest is avoided by the separation of the two businesses. In the event that any commission or other security related compensation is received by American Heritage Securities, Inc. related to a client of EGI Financial, Inc., such commission or compensation and the conflict of interest shall be fully disclosed to the client prior to completion of the transaction.

**Item 5. Additional Compensation**

Sara Gibbs does not receive additional income, compensation or other benefits for providing advisory services to individuals or entities other than EGI Financial, Inc. clients.

**Item 6. Supervision**

Jeffrey C. Thomas, Chief Compliance Officer, 330-374-7500, supervises activity of EGI's IAR's by reviewing investment allocations, client objectives and monitoring investment activity.

**Item 7. Requirements for State-Registered Advisers**

In addition to Item 3, above, State-Registered Advisers are required to disclose if they have been the subject of an award or arbitration alleging damages of \$2,500; civil suit or self-regulating organization proceeding; or bankruptcy. Sara Gibbs has no such disclosures.

**Item 1. Individual Advisor Supplemental Information, Cover Page**

Individual: Kenneth R. Reeves  
50 South Main St.  
Suite 1210  
Akron, OH 44308  
330-374-1932

Advisory Firm: EGI Financial, Inc.  
50 South Main St.  
Suite 1210  
Akron, OH 44308  
330-535-0881

Date of Supplement: February 16, 2021

This brochure supplement provides information about Kenneth R. Reeves that supplements the EGI Financial, Inc. brochure. You should have received a copy of that brochure. Please contact EGI Financial at 330-374-1932 if you did not receive the EGI Financial, Inc. brochure or if you have any questions about the contents of this supplement.

Additional information about Kenneth R. Reeves is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2. Educational Background and Business Experience**

Kenneth R. Reeves: DOB: 08/10/1962. Education: B.S./B.A. in Marketing, University of Akron. Background: Prior to joining American Heritage Securities, Inc. as a Registered Representative and EGI Financial, Inc. as a Registered Investment Advisor in February of 2021, Ken was a registered representative with Stifel, Nicholas & Company, Inc. from January of 2009- February of 2021. He was also affiliated with Wachovia Securities and its predecessor firms from May of 1999-January of 2009. He began his career with one of PaineWebber, Incorporated's predecessor firms in November of 1987 and remained with those firms through June of 1999.

**Item 3. Disciplinary Information**

None

**Item 4. Other Business Activities**

Kenneth R. Reeves is a Registered Representative of American Heritage Securities, Inc. since 2021, an affiliate of EGI Financial, Inc., as described above in Item 2. This affiliation creates the potential for a conflict of interest as described in Item 4 of the *Brochure*. Any potential conflict of interest is avoided by the separation of the two businesses. In the event that any commission or other security related compensation is received by American Heritage Securities, Inc. related to a client of EGI Financial, Inc., such commission or compensation and the conflict of interest shall be fully disclosed to the client prior to completion of the transaction. In addition to the aforementioned activities, Ken is the managing member of Reeves Family Limited Partnership, dba Mountain Creek Farm, an entity that grows and sells Christmas trees. This activity requires about 10 hours per month of Ken's time with more time required during the month between Thanksgiving and Christmas.

**Item 5. Additional Compensation**

Kenneth R. Reeves does not receive additional income, compensation or other benefits for providing advisory services to individuals or entities other than EGI Financial, Inc. clients.

**Item 6. Supervision**

Jeffrey C. Thomas, Chief Compliance Officer, 330-374-7500, supervises activity of EGI's IAR's by reviewing investment allocations, client objectives and monitoring investment activity.



**Item 7. Requirements for State-Registered Advisers**

In addition to Item 3, above, State-Registered Advisers are required to disclose if they have been the subject of an award, arbitration alleging damages of \$2,500; civil suit or self-regulating organization proceeding; or bankruptcy.

Ken has been the subject of two customer disputes, one in September of 2015 and the other in November of 2013, that were settled in the amounts of \$10,292.20 and \$65,769.05 respectively. Further details about these disputes can be found on FINRA's BrokerCheck website.

**Item 1. Individual Advisor Supplemental Information, Cover Page****Team Name:** Team 3Gen

Brian K. Weinreich  
Edgar G. Ingraham  
Tyler A. Krummel  
2850 W. Market St.  
Suite 201  
Akron, OH 44333  
330-535-0881

Advisory Firm: EGI Financial, Inc.  
50 South Main St.  
Suite 1210  
Akron, OH 44308  
330-535-0881

Date of Supplement: February 16, 2021

This brochure supplement provides information about Team 3Gen that supplements the EGI Financial, Inc. brochure. You should have received a copy of that brochure. Please contact EGI Financial at 330-535-0881 if you did not receive the EGI Financial, Inc. brochure or if you have any questions about the contents of this supplement.

Additional information about Brian K. Weinreich, Edgar G. Ingraham, and Tyler A. Krummel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2. Educational Background and Business Experience**

See individual listings in Supplement, Form ADV 2B

**Item 3. Disciplinary Information**

None

**Item 4. Other Business Activities**

See individual listings in Supplement, Form ADV 2B for other business activities.

**Item 5. Additional Compensation**

Brian K. Weinreich, Edgar G. Ingraham, nor Tyler A. Krummel receive additional income, compensation or other benefits for providing advisory services to individuals or entities other than EGI Financial, Inc. clients.

**Item 6. Supervision**

Jeffrey C. Thomas, Chief Compliance Officer, 330-374-7500, supervises the activity of EGI's IAR's by reviewing investment allocations, client objectives, and monitoring investment activity.

**Item 7. Requirements for State-Registered Advisers**

In addition to Item 3, above, State-Registered Advisers are required to disclose if they have been the subject of an award or arbitration alleging damages of \$2,500; civil suit or self-regulating organization proceeding; or bankruptcy. Brian K. Weinreich, Edgar G. Ingraham, and Tyler A. Krummel have no such disclosures.