

RONALD J. VANSURKSUM

FIRM SUPPLEMENTAL BROCHURE
(ADV PART 2B)

FEBRUARY 24, 2021

ADVANCED ASSET MANAGEMENT, LLC.

4555 Wilson Avenue SW, Suite 2

Grandville, MI 49418

P: 616-531-5220

F: 616-531-7773

www.aamllc.com

This Brochure Supplement provides information about Ronald J. VanSurksum that supplements the Advanced Asset Management, LLC's Brochure. You should have received a copy of that Brochure. Please contact Mr. VanSurksum at (616) 531-5220 if you did not receive Advanced Asset Management, LLC's Brochure or if you have any questions about the contents of this supplement. Registration does not imply a certain level of skill or training.

Additional information about Ronald J. VanSurksum is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. The CRD number for Mr. VanSurksum is 2304890.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Ronald J. VanSurksum, CFP®

Born: 1969

Education:

Aquinas College – BS in Business and Accounting – 1993

Certified Financial Planner™ – CFP® – 2000

Issued by: Certified Financial Planner Board of Standards, Inc.

Prerequisites/Experience Required: Candidate must meet the following requirements:

- A bachelor's degree (or higher) from an accredited college or university, and
- Three years of full-time personal financial planning experience

Educational Requirements: Candidate must complete a CFP-board registered program or hold one of the following: CPA; ChFC; Chartered Life Underwriter (CLU); CFA; Ph.D. in business or economics; Doctor of Business Administration; or Attorney's License

Examination Type: CFP Certification Examination

Continuing Education/Experience Requirements: 30 hours every 2-years

ITEM 3 – DISCIPLINARY HISTORY

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. These include the following:

A. Criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the *supervised person*

1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any *felony*; (b) a *misdemeanor* that *involved* investments or an *investment-related* business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
2. is the named subject of a pending criminal *proceeding* that involves an *investment-related* business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
3. was *found* to have been *involved* in a violation of an *investment-related* statute or regulation; or
4. was the subject of any *order*, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the *supervised person* from engaging in any *investment-related* activity or from violating any *investment-related* statute, rule, or *order*.

Mr. VanSurksum has no information applicable to this item.

B. An administrative *proceeding* before the SEC, any other federal regulatory agency, any state regulatory agency, or any *foreign financial regulatory authority* in which the *supervised person*

1. was *found* to have caused an *investment-related* business to lose its authorization to do business; or
2. was *found* to have been *involved* in a violation of an *investment-related* statute or regulation and was the subject of an *order* by the agency or authority

- i. denying, suspending, or revoking the authorization of the *supervised person* to act in an *investment-related* business;
- ii. barring or suspending the *supervised person's* association with an *investment-related* business;
- iii. otherwise significantly limiting the *supervised person's investment-related* activities; or
- iv. imposing a civil money penalty of more than \$2,500 on the *supervised person*.

Mr. VanSurksum has no information applicable to this item.

C. A *self-regulatory organization (SRO)* proceeding in which the *supervised person*

- 1. was *found* to have caused an *investment-related* business to lose its authorization to do business; or
- 2. was *found* to have been *involved* in a violation of the *SRO's* rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from *investment-related* activities; or (iii) fined more than \$2,500.

Mr. VanSurksum has no information applicable to this item.

D. Any other *proceeding* in which a professional attainment, designation, or license of the *supervised person* was revoked or suspended because of a violation of rules relating to professional conduct. If the *supervised person* resigned (or otherwise relinquished his attainment, designation, or license) in anticipation of such a *proceeding* (and the adviser knows, or should have known, of such resignation or relinquishment), disclose the event.

Mr. VanSurksum has no information applicable to this item.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. VanSurksum has no other business activities.

ITEM 5 – ADDITIONAL COMPENSATION

Mr. VanSurksum does not receive any additional compensation.

ITEM 6 – SUPERVISION

Mr. VanSurksum is the firm's sole principal. Therefore, he has no internal supervision placed over him. The firm's code of ethics and fiduciary oath binds him to a high standard. Mr. Van Surksum can be reached at (616) 531-5220.

ITEM 7 REQUIREMENTS FOR STATE-REGISTERED ADVISERS

A. Arbitration or Civil, Self-Regulatory Organization or Administrative Proceedings History

Mr. VanSurksum has **not** been the subject of any arbitration, civil, self-regulatory organization or administrative claim, or proceeding.

B. Bankruptcy History

Mr. VanSurksum has **not been** the subject of a bankruptcy petition.

GABRIEL A. JONES

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Additional information about Gabriel A. Jones is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. The CRD number for Mr. Jones is 6178147.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Gabriel A. Jones

Born: 1976

Education:

Ferris State University – Attended 1997 to 2000

The University of Phoenix – BS in Business with a Financial Planning Certificate – 2017

Business Background:

Advanced Asset Management, LLC – May 2014 to Present

– Investment Adviser Representative

Nautilus Financial LLC – February 2014 to May 2014

– Investment Adviser Representative

Self Employed – May 2008 to May 2014

– Painter

ITEM 3 – DISCIPLINARY HISTORY

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A. Criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the *supervised person*

1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any *felony*; (b) a *misdemeanor* that *involved* investments or an *investment-related* business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
2. is the named subject of a pending criminal *proceeding* that involves an *investment-related* business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
3. was *found* to have been *involved* in a violation of an *investment-related* statute or regulation; or
4. was the subject of any *order*, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the *supervised person* from engaging in any *investment-related* activity or from violating any *investment-related* statute, rule, or *order*.

Mr. Jones has no information applicable to this item.

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1. was *found* to have caused an *investment-related* business to lose its authorization to do business; or
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 - i. denying, suspending, or revoking the authorization of the *supervised person* to act in an *investment-related* business;

- ii. barring or suspending the *supervised person's* association with an *investment-related* business;
- iii. otherwise significantly limiting the *supervised person's investment-related* activities; or
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- D. Any other *proceeding* in which a professional attainment, designation, or license of the *supervised person* was revoked or suspended because of a violation of rules relating to professional conduct. If the *supervised person* resigned (or otherwise relinquished his attainment, designation, or license) in anticipation of such a *proceeding* (and the adviser knows, or should have known, of such resignation or relinquishment), disclose the event.

Mr. Jones has no information applicable to this item.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Jones has no other business activities.

ITEM 5 – ADDITIONAL COMPENSATION

Mr. Jones does not receive any additional compensation.

ITEM 6 – SUPERVISION

Mr. Jones is supervised by Ron Van Surksum, the firm's owner. Mr. Van Surksum reviews all new accounts opened by Mr. Jones. Mr. Van Surksum can be reached at (616) 531-5220.

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B. Bankruptcy History

Mr. Jones has **not** been the subject of a bankruptcy petition.