

**Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
April 2021**

Nicklaus Streit



HIGHVIEW
CAPITAL MANAGEMENT

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Buffalo Grove, IL 60173
www.hv-cap.com**

**Firm Contact:
Mark Sansoterra
Chief Compliance Officer**

This brochure supplement provides information about Mr. Streit that supplements our brochure. You should have received a copy of that brochure. Please contact Mark Sansoterra if you did not receive Highview Capital Management, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Streit is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

Nicklaus Streit
Year of Birth: 1977

Educational Background:

- 1999: Illinois State University; Bachelors in Finance/Insurance

Business Background:

- 03/2020 – Present Highview Capital Management, LLC; Managing Partner & Investment Adviser Representative
- 03/2015 – 03/2020 BMO Private Bank; Wealth Advisor
- 05/2005 – 03/2015 Northern Trust; Wealth Advisor
- 02/2002 – 05/2005 Ridge Investment Advisors; President
- 02/1999 – 02/2002 Northwestern Mutual; Financial Representative

Exams, Licenses & Other Professional Designations:

- 10/2017: Certified Private Wealth Advisor (CPWA®)
- 08/2004: CERTIFIED FINANCIAL PLANNER™, CFP®

Certified Private Wealth Advisor (CPWA®)

The CPWA® designation signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for the professional designation, which is centered on private wealth management topics and strategies for high-net-worth clients. The designation is administered through The Investments & Wealth Institute (“IWI”) formerly known as the Investment Management Consultants Association. Prerequisites for the CPWA® designation are a Bachelor’s degree from an accredited college or university or one of the following designations or licenses: CIMA®, CIMC®, CFA®, CFP®, ChFC®, or CPA license; have an acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements and five years of professional client-centered experience in financial services or a related industry. CPWA® designees have completed a rigorous educational process that includes self-study requirements, an in-class education component, and successful completion of a comprehensive examination. CPWA® designees are required to adhere to the institute’s *Code of Professional Responsibility* and *Rules and Guidelines for Use of the Marks*. CPWA® designees must report 40 hours of continuing education credits, including two ethics hours, every 2 years to maintain the certification.

CERTIFIED FINANCIAL PLANNER™, CFP®

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its high standard of professional education, stringent code of conduct and standards of practice and ethical requirements that govern professional engagements with clients. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally

accredited United States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, Complete at least three years of full-time financial planning-related experience and agree to be bound by CFP® Board's *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Mr. Streit.

Item 4: Other Business Activities

Mr. Streit does not have any outside business activities to report.

Item 5: Additional Compensation

Mr. Streit does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Mark Sansoterra, Managing Partner & Chief Compliance Officer of Highview Capital Management, LLC, supervises and monitors Mr. Streit's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mark Sansoterra if you have any questions about Mr. Streit's brochure supplement at 773-425-1474.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Item 7: Requirements for State-Registered Advisers

Mr. Streit has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.