

Charles Colchamiro

Joseph Gunnar & Co., LLC

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Charles Colchamiro that supplements the Joseph Gunnar & Co., LLC brochure. You should have received a copy of that brochure. Contact us at 212-440-9600 if you did not receive Joseph Gunnar & Co., LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Charles Colchamiro (CRD # 1011800) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Charles Colchamiro

Year of Birth: 1957

Formal Education After High School:

- Stony Brook University, BA Political Science, 1980

Business Background:

- Joseph Gunnar & Co., LLC, Senior Investment Adviser/Registered Representative, 8/2018 - Present
- Oppenheimer & Co.Inc., Financial Consultant, 11/2005 - 8/2018

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Charles Colchamiro has no required disclosures under this item.

Please refer to the *Requirements for State Registered Advisers* section under Item 7 for further information related to disclosable items under that section.

Item 4 Other Business Activities

Charles Colchamiro is a registered representative with Joseph Gunnar & Co., LLC. Joseph Gunnar & Co., LLC is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Colchamiro may recommend securities or insurance products offered by Joseph Gunnar & Co., LLC as part of your investment portfolio. If you purchase these products through Mr. Colchamiro, he will receive the customary commissions in his separate capacity as a Registered Representative of Joseph Gunnar & Co., LLC.

As stated above, persons providing investment advice to advisory clients on behalf of our firm are registered representatives with Joseph Gunnar & Co., LLC. In their capacity as registered representatives, these persons receive compensation in connection with the purchase and sale of securities or other investment products, including asset-based sales charges, service fees or 12b-1 fees for the sale or holding mutual funds. Compensation earned by these persons in their capacities as registered representatives is separate and in addition to our advisory fees. This practice presents a conflict of interest because persons providing investment advice to advisory clients on behalf of our firm who are registered representatives have an incentive to recommend investment products based on the compensation received rather than solely based on your needs. Persons providing investment advice to advisory clients on behalf of our firm can select or recommend, and in many instances will select or recommend, mutual fund investments in share classes that pay 12b-1 fees when clients are eligible to purchase share classes of the same funds that do not pay such fees and are less expensive. This presents a conflict of interest. You are under no obligation, contractually or otherwise, to purchase securities products through a person affiliated with our firm.

Charles Colchamiro is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Colchamiro for insurance related activities. This presents a conflict of interest because Mr. Colchamiro may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no

obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Mr. Colchamiro does not receive economic benefits (sales awards and other prizes) from someone who is not a client. Refer to the *Other Business Activities* section above for disclosures on Mr. Colchamiro's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Joseph Gunnar & Co., LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Joseph Gunnar & Co., LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

My supervisor is: John Govic, Chief Compliance Officer

Supervisor phone number: 212-440-9600

Item 7 Requirements for State Registered Advisers

Disclosures exist regarding Mr. Colchamiro. Mr. Colchamiro was named in investment related arbitration claims alleging damages in excess of \$2,500.

Specifically on February 25, 1991, an arbitration was filed with the National Association of Securities Dealers, Inc. ("NASD"), naming Charles Colchamiro, alleging misrepresentation and suitability related to an equity security (NASD - CASE #91-00417). The alleged damages were in the amount of \$6,891.25. On September 30, 1991, the arbitration disposition resulted in compensatory damages in the award amount of \$6,891.25. Charles Colchamiro and Ladenburg, Thalmann & Co., Inc. were jointly and severally liable for the award.

Specifically on March 21, 1991, an arbitration was filed with the National Association of Securities Dealers, Inc. ("NASD"), naming Charles Colchamiro, alleging misrepresentation and suitability related to an equity security (NASD - CASE #91-00681). The alleged damages were in the amount of \$6,891.25. On July 31, 1991, the arbitration disposition resulted in compensatory damages in the award amount of \$1,275. Charles Colchamiro and Ladenburg, Thalmann & Co., Inc. were jointly and severally liable for the award.

Further disclosure information exists and can be obtained by searching the BrokerCheck database of the Financial Industry regulatory Authority ("FINRA") at: <https://brokercheck.finra.org/> and entering Mr. Colchamiro's CRD number: 1011800.