



Form ADV Part 2BA – Brochure Supplement

Dated 25 March 2021

## Item 1

a.

Richard “Todd” Wood  
Principal, Chief Executive Officer

Agile Capital, Inc.  
Brochure Supplement

Contact: R. Todd Wood,  
300 East Main Street, Suit A  
Carmel, IN 46032 (888) 510-2012

b.

This Brochure Supplement provides information about Richard Todd Wood that supplements the Agile Capital, Inc, Brochure. You should have received a copy of that Brochure. Please contact Todd Wood if you did *not* receive Agile Capital’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Richard Todd Wood is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Education Background and Business Experience

Richard Todd Wood (Todd Wood) was born in 1971. Mr. Wood graduated from University of Notre Dame in 2005, with a Master’s Degree in Business Administration (MBA) and from Ball State University in 1995, with a Bachelor’s of Science in Political Science. Mr. Wood founded and has been with Agile Capital, Inc. since October 2014.

Todd began his career in finance working for Morgan Stanley and Merrill Lynch as a financial consultant for high net worth individuals and institutions on alternative investment allocations, including managed futures and managing the exposure of highly concentrated market positions that posed significant risk to clients. At Merrill Lynch, in particular, Todd was a valued contributor on a team managing \$390 million in assets and \$90 million in a discretionary equity portfolio.

Prior to attaining his MBA from the University of Notre Dame, Todd served as a founder and Chief Executive Officer of Terragraphix, an emerging medical software company where his performance was acknowledged by Indiana Business Magazine as one of “Indiana’s Technology Stars” in July of

2003. He was also awarded the 2003 Mira Award for entrepreneurial technology with products less than 2 years old that offer measurable value to customers, strong management team, and demonstrated ability to maintain growth for the State of Indiana.

As the former CFO of a publicly traded NASDAQ biotechnology company, Todd headed the capital raising initiatives completing \$15M in registered secondary offering, working closely with the executive management team and board on funding alternatives and capital reorganization that included PIPE's, right offering, warrant price resetting. He also served as primary liaison to investment banks, gaining him further insight into how loan officers and investment experts work.

### **Item 3 Disciplinary Information**

None.

### **Item 4a Other Investment Related Business Activities**

1. The supervised person is not actively engaged in any other investment-related businesses or occupations.

### **Item 4b Other Non-investment Related Business Activities**

1. Mr. Wood is an Officer in the U.S. Army Reserves
2. Mr. Wood is a minority Shareholder in RAW Life, LLC., a private non-investment related Limited Liability Company.

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). The Registrant is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee or investment adviser representative of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Todd Wood. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Wood at 888.510.2012.

## Item 1

a.

James (Jim) Longstreth  
Principal, Vice President of Business Development

Agile Capital, Inc.  
Brochure Supplement

Contact: R. Todd Wood, Chief Executive Officer  
300 East Main Street, Suite A  
Carmel, IN 46032 (888) 510-2012

b.

This Brochure Supplement provides information about James Longstreth that supplements the Agile Capital, Inc, Brochure. You should have received a copy of that Brochure. Please contact Todd Wood if you did *not* receive Agile Capital's Brochure or if you have any questions about the contents of this supplement.

Additional information about Richard Todd Wood is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Education Background and Business Experience

Jim Longstreth began his career as an Investment Professional at Shearson Lehman Brothers and distinguished himself as a Financial Advisor in Greenwich CT. Subsequent, Jim served as a trainer, mentor and supervisor of hundreds of Financial Advisors in New York, Southern California and in the Indianapolis area. Jim's deep fundamental approach to client satisfaction comes from serving clients in national firms like MetLife, PNC Bank, Wells Fargo Advisors and local firms like NatCity Investments and Noyes before deciding to join Agile Capital.

Longstreth earned a Bachelor of Art in Communications from Purdue University, West Lafayette. In addition to being a State of Indiana Licensed Life & Health Insurance Agent and a Licensed Professional Business Coach, he has held FINRA Licenses Series 3, 5, 7, 8, 15, 24, 28, 31, 63 and 65.

Jim and wife Gigi live in Carmel Indiana where they have reared 4 kids. Jim Longstreth believes in giving time and expertise to worthy charitable organizations and has served on the boards of Behavior Corp, Legacy Fund/Central Indiana Community Foundation, Safe Families For Children and as Board Chairman for The American Red Cross- Indiana.

### **Item 3 Disciplinary Information**

None.

### **Item 4a Other Investment Related Business Activities**

1. Jim is approved as a FINRA Arbitrator and serves in this capacity on an as needed basis.

### **Item 4b Other Non-investment Related Business Activities**

1. None

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

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## Item 1

a.

Howard Herring  
Vice President, Portfolio Manager

Agile Capital, Inc.  
Brochure Supplement

Contact: R. Todd Wood, Chief Executive Officer  
300 East Main Street, Suit A  
Carmel, IN 46032 (888) 510-2012

b.

This Brochure Supplement provides information about Howard Herring that supplements the Agile Capital, Inc, Brochure. You should have received a copy of that Brochure. Please contact Todd Wood if you did *not* receive Agile Capital's Brochure or if you have any questions about the contents of this supplement.

Additional information about Richard Todd Wood is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Education Background and Business Experience

Extensive experience in Investment Management and Supervisor/Compliance for broker-dealers and registered investment advisors. Experience includes Senior Management roles in large broker-dealers as well as Executive Management roles in smaller, privately-held companies. Considerable success with building high performance teams, increasing sales, and managing successfully in a high- growth scenario. Grew and managed AUM to over \$5 Billion in fixed income assets. Created cash management infrastructure for institutional clients.

Howard Herring enlisted in the Marine Corp and served his country in the Vietnam War. Herring, a Brooklyn, NY native, started his career as a runner for Wall Street firms in New York's Financial district delivering Bearer Bonds between Member Firms. Howard Herring lives in Austin Texas with his wife Linda. They have 3 adult children.

**Item 3 Disciplinary Information**

None.

**Item 4a Other Investment Related Business Activities**

1. The supervised person is not actively engaged in any other investment-related businesses or occupations.

**Item 4b Other Non-investment Related Business Activities**

1. None

**Item 5 Additional Compensation**

None.

**Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Executive Officer, Todd Wood, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee or investment adviser representative of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Jim Longstreth. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Wood at 1.888.510.2012.