

*This brochure supplement provides information about Brian Ott that supplements the H&H Retirement Design and Management, Inc. brochure. You should have received a copy of that brochure. Please contact Brian Ott if you did not receive H&H Retirement Design and Management, Inc.'s brochure or if you have any questions about the contents of this supplement.*

*Additional information about Brian Ott is also available on the SECs website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **H&H Retirement Design and Management, Inc.**

Form ADV Part 2B – Individual Disclosure Brochure

*for*

### **Brian Ott**

Personal CRD Number: 6144188  
Investment Adviser Representative

H&H Retirement Design and Management,  
Inc. 3755 Breakthrough Way, Ste 101  
Las Vegas, NV 89135  
(844) 702-1040

Brian.O@hhtba.net

Updated: 03/26/2021

## Item 2: Educational background and Business Experience

**Name:** Brian Ott      **Born:** 1971

### **Educational Background and Professional Designations:**

#### **Education:**

Bachelor of Science, Cornell University – 1993

#### **Business background:**

03/2021 – Present	Investment Adviser Representative H&H Retirement Design and Management, Inc.
03/2021 – Present	Financial Planner H&H Tax and Business Advisors
12/2019 – 12/2020	Financial Advisor Citizens Wealth Management
06/2018 – 12/2019	Partner New York Life Insurance Co
06/2016 – 06/2018	Investment Advisor Representative SurePath Wealth Management
01/2013 – 07/2016	Registered Representative New York Life Insurance Company
01/2011 – 12/2012	Certified Technical Representative Cooper Surgical, Inc
08/2009 – 01/2011	Account Executive Quest Diagnostics
11/2004 – 08/2009	Territory Manager American Medical Systems
08/2000 – 10/2004	Account Executive Cooper Surgical, Inc

### Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### Item 4: Other Business Activities

Brian Ott is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. H&H Retirement Design and Management, Inc. always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of H&H Retirement Design and Management, Inc. in their capacity as a licensed insurance agent.

### Item 5: Additional Compensation

Brian Ott does not receive any economic benefit from any person, company, or organization, other than H&H Retirement Design and Management, Inc. in exchange for providing clients advisory services through H&H Retirement Design and Management, Inc..

### Item 6: Supervision

As a representative of H&H Retirement Design and Management, Inc., Brian Ott is supervised by Kenneth Himmler, the firm's Chief Compliance Officer. Kenneth Himmler is responsible for ensuring that Brian Ott adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Kenneth Himmler is (844) 702-1040.