

*This brochure supplement provides information about Joel Matthew Grimm that supplements the Pathway Financial Advisers, LLC brochure. You should have received a copy of that brochure. Please contact Joel Matthew Grimm if you did not receive Pathway Financial Advisers, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Joel Matthew Grimm is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## Pathway Financial Advisers, LLC

### Form ADV Part 2B – Individual Disclosure Brochure

*for*

### **Joel Matthew Grimm**

Personal CRD Number: 6287745

Investment Adviser Representative

Pathway Financial Advisers, LLC  
4571 County Road 35  
Auburn, IN 46706  
(260) 925-2887  
[joel@pathwayria.com](mailto:joel@pathwayria.com)

UPDATED: 04/07/2021

## **Item 2: Educational Background and Business Experience**

**Name:** Joel Matthew Grimm **Born:** 1965

### **Educational Background and Professional Designations:**

#### **Education:**

Joel Matthew Grimm has no formal education after high school.

#### **Business Background:**

01/2014 - Present	Member & Investment Adviser Representative Pathway Financial Advisers, LLC
06/1986 - 12/2012	President DeKalb Distributing Company, Inc

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Joel Matthew Grimm is a part owner of Financial Building, LLC DBA Covenant Properties. This building was purchased by David Tracey (50%), Austin Miller (25%), Steve Post (12.5%) & Joel Grimm (12.5%) in Auburn, Indiana for the purpose of renting it to the Investment Firm Pathway Financial Advisers, LLC & an accounting/tax Firm Post & Miller, Inc. His responsibilities include managing the real estate property for the tenants of Pathway Financial Advisers, LLC & Post & Miller, Inc. 5 hours a month are devoted to this activity during trading hours. 2 hours a month are devoted to this activity outside of trading hours. 0 yearly compensation is expected to be derived from this business.

Joel Matthew Grimm is the owner of JG Legacy Properties LLC, a real estate property business. His responsibilities include managing real estate property for various tenants. 2 hours a month are devoted to this activity during trading hours. 8 hours a month are devoted to this activity

outside of trading hours. 10% of his yearly compensation is expected to be derived from this business.

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, or regular bonuses, Joel Matthew Grimm does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Pathway Financial Advisers, LLC.

### **Item 6: Supervision**

As a one third owner and representative of Pathway Financial Advisers, LLC, Joel M. Grimm is supervised by David R. Tracey, the firm's Chief Compliance Officer. David R. Tracey is responsible for ensuring that Joel M. Grimm adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for David R. Tracey is (260) 925-2887.