

# **Wainwright Investment Counsel, LLC**

## **Part 2B of Form ADV**

### **Brochure Supplement**

April 16, 2021

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This firm ADV Part 2B Brochure Supplement (the “Brochure Supplement”) provides information about the qualifications and business and other background of individuals that provide investment advice for clients of Wainwright Investment Counsel, LLC (hereinafter, “Wainwright,” or “the Firm” or “we” or “our” or “us”). If you have any questions about the contents of this Brochure Supplement, please contact us at 617-531-3100 or [Compliance@winvcounsel.com](mailto:Compliance@winvcounsel.com). The information in this Brochure Supplement has not been approved or verified by the United States Securities and Exchange Commission (“SEC”) or by any state securities authority.

Wainwright is registered with the SEC as an investment adviser. Registration as an investment adviser does not imply any level of skill or training. The oral and written communications of an adviser provide you with information that will assist you in determining to hire or retain an adviser.

Additional information about Wainwright is also available on the SEC’s website at <https://adviserinfo.sec.gov/firm/summary/108711>.

## Eric Bertonazzi

### Supervised Person's Name and Business Address

Eric Bertonazzi,  
Chairman of Wainwright Board, Chief Executive Officer and Chief Investment Officer, Investment Advisor  
Wainwright Investment Counsel, LLC  
One Boston Place, 41<sup>st</sup> Floor  
Boston, Ma 02108-4494  
Phone: 617-531-3100  
Fax: 617-531-3140

This Brochure Supplement provides information about Eric Bertonazzi that supplements the Wainwright Brochure. You should have received a copy of that Brochure. Please contact [Compliance@winvcounsel.com](mailto:Compliance@winvcounsel.com) if you did not receive Wainwright's Brochure or if you have any questions about the contents of this Supplement.

### Educational Background

Clemson University – Ph.D. Candidate in Economics (All But Dissertation)\*  
Clemson University – B.S. in Economics (1990)

*\*Mr. Bertonazzi has successfully completed all Ph.D. coursework and related examinations in the field of Economics and as a result has achieved the status of Ph.D. candidate (also referred to as "All But Dissertation").*

### Business Experience for Preceding Five Years

2013 – Present	Chairman, Chief Executive Officer and Chief Investment Officer <b>Wainwright Investment Counsel, LLC</b>
1999 – 2013	President and Chief Investment Officer <b>Wainwright Investment Counsel, LLC</b>

Although Wainwright does not have specific educational or business requirements for its personnel providing investment advice to clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

### Disciplinary Information

Not applicable.

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## **Eric Bertonazzi (*Continued*)**

### **Other Business Activities**

Wainwright has a wholly-owned subsidiary, WFS, LLC ("WFS"), which is a broker-dealer registered with the SEC and a member of FINRA and SIPC. Mr. Bertonazzi is a registered representative of WFS.

Wainwright has a wholly-owned subsidiary, Wainwright Capital Partners, LLC ("WCAP"), which is registered as a commodity pool operator registered with the U.S. Commodity Futures Trading Commission and is a member of the National Futures Association. Mr. Bertonazzi is the Manager of WCAP.

### **Additional Compensation**

Mr. Bertonazzi currently receives additional compensation in connection with his affiliations with WFS and WCAP.

### **Supervision**

Mr. Bertonazzi has overall responsibility for the investment advice given to clients by advisors employed by Wainwright. His telephone number is 617-531-3100. Mr. Bertonazzi is not directly supervised by any one person; however, the advice he provides to his clients is monitored through regular reviews by Wainwright's President and Deputy Chief Investment Officer and periodic reviews by Wainwright's Chief Compliance Officer and/or Chief Risk Officer for adherence to Wainwright's policies and procedures.

The name and contact information for the persons responsible for supervising Mr. Bertonazzi's advisory activities: Matthew Seney, 617-531-3100 and Gustavo Aristizabal, 617-531-3100.

### **Requirement for State-Registered Advisers**

Not applicable.

### **Professional Credentials**

Not applicable.

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# Gustavo Aristizabal

## Supervised Person's Name and Business Address

Gustavo Aristizabal  
Member of Wainwright Board, President and Corporate Secretary, Investment Advisor  
Wainwright Investment Counsel, LLC  
One Boston Place, 41st Floor  
Boston, Ma 02108-4494  
Phone: 617-531-3100,  
Fax: 617-531-3140

This Brochure Supplement provides information about Gustavo Aristizabal that supplements the Wainwright Brochure. You should have received a copy of that Brochure. Please contact [Compliance@winvcounsel.com](mailto:Compliance@winvcounsel.com) if you did not receive Wainwright's Brochure or if you have any questions about the contents of this Supplement.

## Educational Background

Northeastern University – Economics (All But Dissertation)\*  
Universidad EAFIT, Medellín, Colombia – B.S. in Business (1994)

*\*Mr. Aristizabal has successfully completed all Ph.D. coursework and related examinations in the field of Economics at Northeastern University and as a result has achieved the status of "All But Dissertation". It should be noted however that Mr. Aristizabal will likely not defend a dissertation and obtain a Ph.D.*

## Business Experience for Preceding Five Years

2013 – Present	President and Corporate Secretary <b>Wainwright Investment Counsel, LLC</b>
2013 – 2016	Chief Operating Officer <b>Wainwright Investment Counsel, LLC</b>
2003 – 2013	Director of Research <b>Wainwright Investment Counsel, LLC</b>

Although Wainwright does not have specific educational or business requirements for its personnel providing investment advice to clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

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## **Gustavo Aristizabal (Continued)**

### **Disciplinary Information**

Not applicable.

### **Other Business Activities**

Wainwright has a wholly-owned subsidiary, WFS, LLC ("WFS"), which is a broker-dealer registered with the SEC and a member of FINRA and SIPC. Mr. Aristizabal is the Branch Office Manager of WFS.

Wainwright has a wholly-owned subsidiary, Wainwright Capital Partners, LLC ("WCAP"), which is registered as a commodity pool operator registered with the U.S. Commodity Futures Trading Commission and is a member of the National Futures Association. Mr. Aristizabal is the Chief Compliance Officer of WCAP.

### **Additional Compensation**

Mr. Aristizabal receives additional compensation in connection with his affiliation with WFS and does not currently receive additional compensation in connection with his affiliation with WCAP, although he could receive additional compensation in the future.

### **Supervision**

Wainwright's Chief Investment Officer and Deputy Chief Investment Officer supervise Mr. Aristizabal and monitor the advice Mr. Aristizabal provides to his clients through regular reviews of client accounts for adherence to Wainwright Investment Counsel's stated guidelines. His telephone number is 617-531-3100. Furthermore, the advice Mr. Aristizabal provides to his clients is monitored through periodic reviews by Wainwright's Chief Compliance Officer and/or Chief Risk Officer for adherence to Wainwright's policies and procedures.

The name and contact information for the persons responsible for supervising Mr. Aristizabal's advisory activities: Matthew Seney, 617-531-3100 and Eric Bertonazzi, 617-531-3100.

### **Requirement for State-Registered Advisers**

Not applicable.

### **Professional Credentials**

Not applicable.

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## Matthew Seney, CFA

### Supervised Person's Name and Business Address

Matthew Seney, CFA  
Chief Operating Officer, Deputy Chief Investment Officer, Investment Advisor  
Wainwright Investment Counsel, LLC  
One Boston Place, 41<sup>st</sup> Floor  
Boston, Ma 02108-4494  
Phone: 617-531-3100  
Fax: 617-531-3140

This Brochure Supplement provides information about Matthew Seney that supplements the Wainwright Brochure. You should have received a copy of that Brochure. Please contact [Compliance@winvcounsel.com](mailto:Compliance@winvcounsel.com) if you did not receive Wainwright's Brochure or if you have any questions about the contents of this Supplement.

### Educational Background

F.W. Olin School of Business at Babson College – M.B.A. (2005)  
CFA Institute – Chartered Financial Analyst (2001)  
Babson College – B.S. in Investments (1999)

### Business Experience for Preceding Five Years

2016 – Present	Chief Operating Officer <b>Wainwright Investment Counsel, LLC</b>
2013 – Present	Deputy Chief Investment Officer <b>Wainwright Investment Counsel, LLC</b>
2006 – 2013	Vice President and Senior Investment Analyst <b>Wainwright Investment Counsel, LLC</b>

Although Wainwright does not have specific educational or business requirements for its personnel providing investment advice to clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

### Disciplinary Information

Not applicable.

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## Matthew Seney, CFA (Continued)

### Other Business Activities

Wainwright has a wholly-owned subsidiary, WFS, LLC ("WFS"), which is a broker-dealer registered with the SEC and a member of FINRA and SIPC. Mr. Seney is a registered representative of WFS.

Mr. Seney serves as trustee for a trust which is a client of Wainwright and which stems from a longstanding personal relationship that Mr. Seney has with the client and for which he receives no compensation in his capacity as a trustee.

### Additional Compensation

Mr. Seney receives additional compensation in connection with his affiliation with WFS.

### Supervision

Wainwright's Chief Investment Officer and President supervise Mr. Seney and monitor the advice Mr. Seney provides to his clients through regular reviews of client accounts for adherence to Wainwright's stated guidelines.

The name and contact information for the persons responsible for supervising Mr. Seney's advisory activities: Eric Bertonazzi, 617-531-3100 and Gustavo Aristizabal, 617-531-3100.

### Requirement for State-Registered Advisers

Not applicable.

### Professional Credentials

Chartered Financial Analyst (CFA): Chartered Financial Analysts are licensed by the CFA Institute to use the CFA designation. CFA certification requirements:

- Hold a bachelor's degree from an accredited institution or have equivalent education or work experience.
- Successful completion of all three exam levels of the CFA Program.
- Have 48 months of acceptable professional work experience in the investment decision making process.
- Fulfill society requirements, which vary by society. Unless you are upgrading from affiliate membership, all societies require two sponsor statements as part of each application; these are submitted online by your sponsors.
- Agree to adhere to and sign the Member's Agreement, a Professional Conduct Statement, and any additional documentation requested by CFA Institute.

# Jeffrey Fabrizio

## **Supervised Person's Name and Business Address**

Jeffrey Fabrizio  
Director of Research, Investment Advisor  
Wainwright Investment Counsel, LLC  
One Boston Place, 41<sup>st</sup> Floor  
Boston, Ma 02108-4494  
Phone: 617-531-3100  
Fax: 617-531-3140

This Brochure Supplement provides information about Jeffrey Fabrizio that supplements the Wainwright Brochure. You should have received a copy of that Brochure. Please contact [Compliance@winvcounsel.com](mailto:Compliance@winvcounsel.com) if you did not receive Wainwright's Brochure or if you have any questions about the contents of this Supplement.

## **Educational Background**

Bentley College – M.S. in Finance (2000)  
Tufts University – M.S. in Engineering (1996)  
Wentworth Institute of Technology – B.S. in Engineering Technology (1994)

## **Business Experience for Preceding Five Years**

2013 – Present	Director of Research <b>Wainwright Investment Counsel, LLC</b>
2006 – 2013	Vice President and Managing Analyst <b>Wainwright Investment Counsel, LLC</b>

Although Wainwright does not have specific educational or business requirements for its personnel providing investment advice to clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

## **Disciplinary Information**

Not applicable.

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## Jeffrey Fabrizio (Continued)

### Other Business Activities

Wainwright has a wholly-owned subsidiary, WFS, LLC ("WFS"), which is a broker-dealer registered with the SEC and a member of FINRA and SIPC. Mr. Fabrizio is a registered representative of WFS.

Mr. Fabrizio periodically engages in outside business activities consisting of property and real estate pursuits that include: rehabilitation of houses, sale of said properties and "handyman" work to be done on weekends; and ownership and maintenance of rental property. Mr. Fabrizio is also periodically compensated for playing with a musical band. Mr. Fabrizio participates as a volunteer committee member of the Permanent Building Committee for the Town of Norwell, MA. The committee is tasked primarily with overseeing the restoration and/or renovation of town buildings. All of Mr. Fabrizio's outside business activities take place outside of market hours.

### Additional Compensation

Mr. Fabrizio does not currently receive additional compensation in connection with his affiliation with WFS but could receive additional compensation in the future. Mr. Fabrizio receives additional compensation in connection with his Other Business Activities unless otherwise noted above.

### Supervision

Wainwright's President supervises Mr. Fabrizio and monitors the advice Mr. Fabrizio provides to his clients through regular reviews of client accounts for adherence to Wainwright's stated guidelines.

The name and contact information for the person responsible for supervising Mr. Fabrizio's advisory activities: Gustavo Aristizabal, 617-531-3100 and Matthew Seney, 617-531-3100.

### Requirement for State-Registered Advisers

Not applicable.

### Professional Credentials

Not applicable.

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## Donald (Don) Ross

### Supervised Person's Name and Business Address

Donald Ross  
Managing Director, Investment Advisor  
Wainwright Investment Counsel, LLC  
One Boston Place, 41<sup>st</sup> Floor  
Boston, Ma 02108-4494  
Phone: 617-531-3100  
Fax: 617-531-3140

This Brochure Supplement provides information about Donald Ross that supplements the Wainwright Brochure. You should have received a copy of that Brochure. Please contact [Compliance@winvcounsel.com](mailto:Compliance@winvcounsel.com) if you did not receive Wainwright's Brochure or if you have any questions about the contents of this Supplement.

### Educational Background

University of Pennsylvania, Wharton School – M.B.A. (1972)  
University of North Carolina at Chapel Hill – B.A. in History (1970)

### Business Experience for Preceding Five Years

1999 – Present      Managing Director  
                             **Wainwright Investment Counsel, LLC**

Although Wainwright does not have specific educational or business requirements for its personnel providing investment advice to clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

### Disciplinary Information

Not applicable.

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## Donald (Don) Ross (Continued)

### Other Business Activities

Wainwright has a wholly-owned subsidiary, WFS, LLC ("WFS"), which is a broker-dealer registered with the SEC and a member of FINRA and SIPC. Mr. Ross is a registered representative of WFS.

Mr. Ross maintains outside business activities with various organizations, all of which are performed outside of market hours. These include as the Chairman of the Board of Directors for Stuart Land & Cattle Co of Virginia, which is a client of Wainwright, and for which Mr. Ross is compensated as a director. Mr. Ross is the trustee emeritus and former Chairman of the Board of Trustees of the Newport Preservation Society, RI, and is Chairman of their Investment Committee. Mr. Ross also serves on the Board of Governors of the Newport, RI Hospital and is a member of the Newport Historic District Commission. Mr. Ross serves as trustee/co-trustee for four trusts, which are clients of Wainwright, all of which stem from longstanding personal relationships. Mr. Ross is compensated as a trustee/co-trustee for two appointments and does not receive compensation for two trustee appointments.

### Additional Compensation

Mr. Ross receives additional compensation in connection with his affiliation with WFS. Mr. Ross receives additional compensation in connection with his Other Business Activities unless otherwise noted above.

### Supervision

Wainwright's President supervises Mr. Ross and monitors the advice Mr. Ross provides to his clients through regular reviews of client accounts for adherence to Wainwright's stated guidelines.

The name and contact information for the person responsible for supervising Mr. Ross's advisory activities: Gustavo Aristizabal, 617-531-3100 and Matthew Seney, 617-531-3100.

### Requirement for State-Registered Advisers

Not applicable.

### Professional Credentials

Not applicable.

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## Michael (Mike) F. Dwyer

### Supervised Person's Name and Business Address

Michael F. Dwyer  
Senior Vice President, Investment Advisor  
Wainwright Investment Counsel, LLC  
One Boston Place, 41<sup>st</sup> Floor  
Boston, Ma 02108-4494  
Phone: 617-531-3100  
Fax: 617-531-3140

This Brochure Supplement provides information about Michael Dwyer that supplements the Wainwright Brochure. You should have received a copy of that Brochure. Please contact [Compliance@winvcounsel.com](mailto:Compliance@winvcounsel.com) if you did not receive Wainwright's Brochure or if you have any questions about the contents of this Supplement.

### Educational Background

Providence College – B.S. in Business Management (1977)

### Business Experience for Preceding Five Years

1999 – Present      Senior Vice President  
                                 **Wainwright Investment Counsel, LLC**

Although Wainwright does not have specific educational or business requirements for its personnel providing investment advice to clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

### Disciplinary Information

Not applicable.

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## Michael (Mike) F. Dwyer (Continued)

### Other Business Activities

Wainwright has a wholly-owned subsidiary, WFS, LLC ("WFS"), which is a broker-dealer registered with the SEC and a member of FINRA and SIPC. Mr. Dwyer is a registered representative of WFS.

### Additional Compensation

Mr. Dwyer receives additional compensation in connection with his affiliation with WFS.

### Supervision

Wainwright's Chief Investment Officer and Deputy Chief Investment Adviser supervise Mr. Dwyer and monitor the advice Mr. Dwyer provides to his clients through regular reviews of client accounts for adherence to Wainwright's stated guidelines.

The name and contact information for the persons responsible for supervising Mr. Dwyer's advisory activities: Matthew Seney, 617-531-3100 and Gustavo Aristizabal, 617-531-3100.

### Requirement for State-Registered Advisers

Not applicable.

### Professional Credentials

Not applicable.

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# Salim M. Khanachet

## **Supervised Person's Name and Business Address**

Salim M. Khanachet  
Vice President, Investment Advisor  
Wainwright Investment Counsel, LLC  
One Boston Place, 41<sup>st</sup> Floor  
Boston, Ma 02108-4494  
Phone: 617-531-3100  
Fax: 617-531-3140

This Brochure Supplement provides information about Salim M. Khanachet that supplements the Wainwright Brochure. You should have received a copy of that Brochure. Please contact [Compliance@winvcounsel.com](mailto:Compliance@winvcounsel.com) if you did not receive Wainwright's Brochure or if you have any questions about the contents of this Supplement.

## **Educational Background**

Boston College – B.A. in Philosophy and Economics (2006)

## **Business Experience for Preceding Five Years**

2013 – Present      Vice President  
                             **Wainwright Investment Counsel, LLC**

Although Wainwright does not have specific educational or business requirements for its personnel providing investment advice to clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

## **Disciplinary Information**

Not applicable.

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## Salim M. Khanachet (Continued)

### Other Business Activities

Wainwright has a wholly-owned subsidiary, WFS, LLC ("WFS"), which is a broker-dealer registered with the SEC and a member of FINRA and SIPC. Mr. Khanachet is a registered representative of WFS.

Mr. Khanachet is a member of the Alumni Counsel Executive Committee for the Buckingham Browne and Nichols School. Mr. Khanachet is co-author and co-owner of a screenplay for which he and his partner are identifying potential producers. All of Mr. Khanachet's outside business activities take place outside of market hours.

### Additional Compensation

Mr. Khanachet does not currently receive additional compensation in connection with his affiliation with WFS but may receive additional compensation in the future.

### Supervision

Wainwright's Chief Investment Officer and Deputy Chief Investment Adviser supervise and monitor the advice Mr. Khanachet provides to his clients through regular reviews of client accounts for adherence to Wainwright's stated guidelines.

The name and contact information for the persons responsible for supervising Mr. Khanachet's advisory activities: Eric Bertonazzi, 617-531-3100 and Gustavo Aristizabal, 617-531-3100.

### Requirement for State-Registered Advisers

Not applicable.

### Professional Credentials

Not applicable.

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## Richard Zack

### Supervised Person's Name and Business Address

Richard Zack  
Member of Wainwright Board, Chief Risk Officer, Chief Legal Officer, Investment Advisor  
Wainwright Investment Counsel, LLC  
One Boston Place, 41<sup>st</sup> Floor  
Boston, Ma 02108-4494  
Phone: 617-531-3100  
Fax: 617-531-3140

This Brochure Supplement provides information about Richard Zack that supplements the Wainwright Brochure. You should have received a copy of that Brochure. Please contact [Compliance@winvcounsel.com](mailto:Compliance@winvcounsel.com) if you did not receive Wainwright's Brochure or if you have any questions about the contents of this Supplement.

### Educational Background

Georgetown University Law Center – LL.M. in Taxation (1992)  
Rutgers University School of Law – J.D. (1989)  
Emory University – B.A. in Economics (1986)

### Business Experience for Preceding Five Years

2013–Present	Chief Executive Officer <b>WFS, LLC</b>
2012–Present	Chief Risk Officer & Chief Legal Officer <b>Wainwright Investment Counsel, LLC,</b>
2012–Present	Registered Representative <b>WFS, LLC</b>

Although Wainwright does not have specific educational or business requirements for its personnel providing investment advice to clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

### Disciplinary Information

Not applicable.

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## **Richard Zack (Continued)**

### **Other Business Activities**

Wainwright has a wholly-owned subsidiary, WFS, LLC ("WFS"), which is a broker-dealer registered with the SEC and a member of FINRA and SIPC. Mr. Zack is the Chief Executive Officer and a Registered Representative of WFS.

Mr. Zack is the owner of RLZ Consulting, LLC, where he serves as a professional expert & litigation consultant in the areas of structured products, equity derivatives, hedge funds & suitability. Mr. Zack and/or RLZ Consulting, LLC work with and/or refer matters to outside law firms. Mr. Zack is a FINRA arbitrator and Mr. Zack receives compensation when he is selected as a FINRA arbitrator.

### **Additional Compensation**

Mr. Zack does not currently receive additional compensation in connection with his affiliation with WFS but may receive additional compensation in the future.

### **Supervision**

Wainwright's Chief Executive Officer and President supervise Richard Zack and monitor the advice Richard Zack provides to his clients or his solicitation activities through regular reviews of client accounts or solicitation activities for adherence to Wainwright Investment Counsel's stated guidelines.

The name and contact information for the persons responsible for supervising Richard Zack's advisory and solicitation activities: Eric Bertonazzi, 617-531-3100 and Gustavo Aristizabal, 617-531-3100. Furthermore, the activities of Mr. Zack are monitored via regular reviews by Wainwright's Chief Compliance Officer for adherence to Wainwright's policies and procedures.

### **Requirement for State-Registered Advisers**

Not applicable.

### **Professional Credentials**

Not applicable.

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## Stephen Barrett

### Supervised Person's Name and Business Address

Stephen Barrett  
Member of Wainwright Board, Investment Advisor  
Wainwright Investment Counsel, LLC  
One Boston Place, 41<sup>st</sup> Floor  
Boston, Ma 02108-4494  
Phone: 617-531-3100  
Fax: 617-531-3140

This Brochure Supplement provides information about Stephen Barrett that supplements the Wainwright Brochure. You should have received a copy of that Brochure. Please contact [Compliance@winvcounsel.com](mailto:Compliance@winvcounsel.com) if you did not receive Wainwright's Brochure or if you have any questions about the contents of this Supplement.

### Educational Background

The College of William & Mary – B.A in Economics and Fine Arts (1963)

### Business Experience for Preceding Five Years

2005–Present      Investment Advisor Representative  
**Wainwright Investment Counsel, LLC**

Although Wainwright does not have specific educational or business requirements for its personnel providing investment advice to clients, it generally requires an undergraduate college degree in business, accounting, finance or related areas, or equivalent investment advisory experience.

### Disciplinary Information

Not applicable.

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## Stephen Barrett (Continued)

### Other Business Activities

Wainwright has a wholly-owned subsidiary, WFS, LLC ("WFS"), which is a broker-dealer registered with the SEC and a member of FINRA and SIPC. Mr. Barrett is a registered representative of WFS.

Wainwright has a wholly-owned subsidiary, Wainwright Capital Partners, LLC ("WCAP"), which is registered as a commodity pool operator registered with the U.S. Commodity Futures Trading Commission and is a member of the National Futures Association. Mr. Barrett is a Principal of WCAP.

### Additional Compensation

Mr. Barrett does not currently receive additional compensation in connection with his affiliation with WFS or WCAP but may receive additional compensation in the future.

### Supervision

Wainwright's Chief Investment Officer supervises Mr. Barrett and monitors the advice Mr. Barrett provides to his clients through regular reviews of client accounts for adherence to Wainwright's stated guidelines. The name and contact information for the person responsible for supervising Mr. Barrett's advisory activities: Eric Bertonazzi, 617-531-3100.

### Requirement for State-Registered Advisers

Not applicable.

### Professional Credentials

Not applicable.

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