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## **BROCHURE SUPPLEMENT**

Stanley James Katz

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Ross, Sinclaire & Associates, LLC  
3033 Kettering Boulevard, Suite 326  
Dayton, OH 45439  
(937) 293-5500  
[www.rsanet.com](http://www.rsanet.com)

### **Purpose of the Brochure Supplement:**

This *BROCHURE SUPPLEMENT* provides information about Stanley Katz that supplements the Ross, Sinclaire and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclaire & Associates, LLC if you did not receive Ross, Sinclaire & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Stanley Katz is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

### **Note:**

While Ross, Sinclaire & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration with the SEC does not imply a certain level of skill or training.

## **Education, Business, and Disciplinary Information**

Stanley James Katz

Date of Birth 5/7/1954

Investment Advisor at Ross, Sinclaire & Associates, LLC

### *Education*

B.S. in Business Administration, University of North Carolina

### *Business Background*

Ross, Sinclair & Associates, LLC (1/2016 – Present)

ClientFirst Financial Strategies, Inc. (3/2010 - 12/2015) - President, Portfolio Manager

Western International Securities, Inc. (3/2014 – 12/2015) - Registered Representative

Access Financial Group (1/2003 - 3/2014) - Registered Representative

First Alliance Asset Management, Inc. (3/1998 - 3/2010) - Secretary/Treasurer

Round Hill Securities, Inc. (3/1998 - 1/2003) - Registered Representative

### *Disciplinary Actions*

Mr. Katz has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

## **Other Business Activities and Additional Compensation**

Stanley Katz has no investment related outside business activities.

Stanley Katz is a Marketing Committee Member for The Dayton Foundation.

## **Supervision**

As Owner of Investment Advisory for Ross Sinclair & Associates, LLC, Murray Sinclair, Jr. is primarily responsible for supervision of Stanley Katz. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

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## **BROCHURE SUPPLEMENT**

Lauren Katz Madera

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Ross, Sinclair & Associates, LLC

1828 West Melrose St.

Chicago, IL 60657

(773) 360-0883

[www.rsanet.com](http://www.rsanet.com)

### **Purpose of the Brochure Supplement:**

This *BROCHURE SUPPLEMENT* provides information about Lauren Katz Madera that supplements the Ross, Sinclair and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclair & Associates, LLC if you did not receive Ross, Sinclair & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Lauren Katz Madera is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

### **Note:**

While Ross, Sinclair & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration with the SEC does not imply a certain level of skill or training.

## **Education, Business, and Disciplinary Information**

Lauren Katz Madera

Date of Birth 1/10/1981

Investment Advisor at Ross, Sinclair & Associates, LLC

#### *Education*

BBA in Finance, University of Michigan Ross School of Business

MBA in Marketing and Entrepreneurship, University of Chicago Booth School of Business

CFA Charter Holder

*Business Background*

Ross Sinclair Asset Management (Oct 2016 – Present) – Investment Advisor

Magnetar Capital LLC (Apr 2012 – Oct 2016) – Client Service and Marketing Associate

Magnetar Capital LLC (Sept 2010 – Apr 2012) – Associate in the Office of the COO / Portfolio Finance

Man Investments (formerly known as Glenwood Capital Investments, LLC) (Sept 2007 – May 2010) – Investment Analyst

Man Investments (formerly known as Glenwood Capital Investments, LLC) (Sept 2005 – Sept 2007) – Operational Due Diligence Analyst

Man Investments (formerly known as Glenwood Capital Investments, LLC) (Sept 2004 – Sept 2005) – Research Assistant

Houlihan Lokey Howard & Zukin (Jul 2003 – Sept 2004) – Financial Analyst

*Disciplinary Actions*

Ms. Katz Madera has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Investment Advisor Representative.

## **Other Business Activities and Additional Compensation**

Lauren Katz Madera has no investment related outside business activities.

## **Supervision**

Senior Advisor Stanley Katz is primarily responsible for supervision of Lauren Katz Madera. Advisory clients may contact Mr. Katz at (937) 293-5500.