

*This brochure supplement provides information about Aaron L. Steele that supplements the BWM Advisory, LLC brochure. You should have received a copy of that brochure. Please contact Aaron L. Steele if you did not receive BWM Advisory, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Aaron L. Steele is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**BWM Advisory, LLC**  
Form ADV Part 2B – Individual Disclosure Brochure  
*for*  
**Aaron L. Steele**  
Personal CRD Number: 2545726  
Investment Adviser Representative

**BWM Advisory LLC:**  
400 Union Ave SE, Ste. 200  
Olympia, WA 98501  
[aaron@steelecap.net](mailto:aaron@steelecap.net)

**Supervised From:**  
7621 E. Gray Rd. Suite C  
Scottsdale, AZ, 85260  
(800) 779-4182

UPDATED 08/20/2020

Aaron L. Steele is not licensed to act as an investment adviser representative in the State of New York.

## Item 2: Educational Background and Business Experience

**Name:** Aaron L. Steele      **Born:** 1970

### Educational Background and Professional Designations:

#### Education:

Arizona State University  
Bachelor of Science / Business Administration – Marketing  
1994

#### Business Background:

01/25/2017 to Present	Investment Advisor Representative BWM Advisory, LLC
09/2008 to Present	Owner Sound Cleaning

## Item 3: Disciplinary Information

There are no reportable legal or disciplinary events that are material to a client's or prospective client's evaluation of this Investment Advisor Representative.

## Item 4: Other Business Activities

Aaron L. Steele is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. BWM Advisory, LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of BWM Advisory, LLC in their capacity as a licensed insurance agent.

Mr. Steele is also the owner and manager of Sound Cleaning, a janitorial business. Mr. Steele devotes less than 10% of his time to the management of this business.

### **Item 5: Additional Compensation**

Apart from the receipt of commissions for the sale of insurance products, Aaron L. Steele does not receive any economic benefit from any person, company, or organization, other than BWM Advisory, LLC in exchange for providing clients advisory services through BWM Advisory, LLC.

### **Item 6: Supervision**

As a representative of BWM Advisory, LLC, Aaron L. Steele works closely with supervisor Jeff Boettcher, and all advice provided to clients is reviewed by this supervisor prior to implementation. Jeff Boettcher can be reached at (800) 779-4183. Aaron L. Steele adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

### **Item 7: Requirements For State Registered Advisers**

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Aaron Steele has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Aaron Steele has NOT been the subject of a bankruptcy.