

This brochure supplement provides information about Hector Morales that supplements the BWM Advisory, LLC brochure. You should have received a copy of that brochure. Please contact Hector Morales if you did not receive BWM Advisory, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Hector Morales is also available on the SEC's website at www.adviserinfo.sec.gov.

BWM Advisory, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Hector Morales

Personal CRD Number: 6157180

Investment Adviser Representative

BWM Advisory LLC

3252 Grouse Ave.

Kissimmee, FL 34744

hectorm@bedrockia.com

Supervised From:

7621 E. Gray Road, Suite C

Scottsdale, AZ 85260

(800) 779-4183

UPDATED: 08/20/2020

Hector Morales is not licensed to act as an investment adviser representative in the State of New York.

Item 2: Educational Background and Business Experience

Name: Hector Morales **Born:** 1979

Educational Background and Professional Designations:

Education:

University of Nevada, Las Vegas, 01/2011 to 12/2012

College of Southern Nevada, Las Vegas, 01/2009 to 12/2010

Business Background:

10/2015 to Present Investment Adviser Representative
BWM Advisory, LLC

10/2015 to Present Teacher
Kissimmee FL

01/2013 to 10/2015 Investment Advisor Representative/Registered
Representative
LPL Financial, LLC

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Hector Morales is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. BWM Advisory, LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of BWM Advisory, LLC in their capacity as a licensed insurance agent.

Mr. Morales is a teacher at Yuma High School. He spends about 40 hours/week in this capacity.

Item 5: Additional Compensation

Apart from the receipt of commissions for the sale of insurance products, Hector Morales does not receive any economic benefit from any person, company, or organization, other than BWM Advisory, LLC in exchange for providing clients advisory services through BWM Advisory, LLC.

Item 6: Supervision

As a representative of BWM Advisory, LLC, Hector Morales works closely with supervisor Jeff Boettcher, and all advice provided to clients is reviewed by this supervisor prior to implementation. Jeff Boettcher can be reached at (800) 779-4183. Hector Morales adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Hector Morales has not been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

B. Hector Morales has not been the subject of a bankruptcy petition.