
BROCHURE SUPPLEMENT

Stanley James Katz

Ross, Sinclaire & Associates, LLC
3033 Kettering Boulevard, Suite 326
Dayton, OH 45439
(937) 293-5500
www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Stanley Katz that supplements the Ross, Sinclaire and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclaire & Associates, LLC if you did not receive Ross, Sinclaire & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Stanley Katz is also available on the SEC's website at www.adviserinfo.sec.gov

Note:

While Ross, Sinclaire & Associates, LLC may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration with the SEC does not imply a certain level of skill or training.

Education, Business, and Disciplinary Information

Stanley James Katz

Date of Birth 5/7/1954

Investment Advisor at Ross, Sinclaire & Associates, LLC

Education

B.S. in Business Administration, University of North Carolina

Business Background

Ross, Sinclair & Associates, LLC (1/2016 – Present)

ClientFirst Financial Strategies, Inc. (3/2010 - 12/2015) - President, Portfolio Manager

Western International Securities, Inc. (3/2014 – 12/2015) - Registered Representative

Access Financial Group (1/2003 - 3/2014) - Registered Representative

First Alliance Asset Management, Inc. (3/1998 - 3/2010) - Secretary/Treasurer

Round Hill Securities, Inc. (3/1998 - 1/2003) - Registered Representative

Disciplinary Actions

Mr. Katz has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Registered Representative.

Other Business Activities and Additional Compensation

Stanley Katz has no investment related outside business activities.

Stanley Katz is a Marketing Committee Member for The Dayton Foundation.

Supervision

As Owner of Investment Advisory for Ross Sinclair & Associates, LLC, Murray Sinclair, Jr. is primarily responsible for supervision of Stanley Katz. Advisory clients may contact Mr. Sinclair at (513) 381-3939.

BROCHURE SUPPLEMENT

Lauren Katz Madera

Ross, Sinclaire & Associates, LLC

2769 N. Kenmore Ave.

Unit 1

Chicago, IL 60614

(773) 360-0883

www.rsanet.com

Purpose of the Brochure Supplement:

This *BROCHURE SUPPLEMENT* provides information about Lauren Katz Madera that supplements the Ross, Sinclaire and Associates, LLC *FIRM BROCHURE* document. You should have received a copy of that *FIRM BROCHURE*. Please contact Ross, Sinclaire & Associates, LLC if you did not receive Ross, Sinclaire & Associates, LLC's *FIRM BROCHURE*, or if you have any questions about the contents of this *BROCHURE SUPPLEMENT*.

Additional information about Lauren Katz Madera is also available on the SEC's website at

www.adviserinfo.sec.gov

Note:

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Education, Business, and Disciplinary Information

Lauren Katz Madera

Date of Birth 1/10/1981

Investment Advisor at Ross, Sinclaire & Associates, LLC

Education

BBA in Finance, University of Michigan Ross School of Business

MBA in Marketing and Entrepreneurship, University of Chicago Booth School of Business
CFA Charter Holder

Business Background

Ross Sinclair Asset Management (Oct 2016 – Present) – Investment Advisor

Magnetar Capital LLC (Apr 2012 – Oct 2016) – Client Service and Marketing Associate

Magnetar Capital LLC (Sept 2010 – Apr 2012) – Associate in the Office of the COO / Portfolio Finance

Man Investments (formerly known as Glenwood Capital Investments, LLC) (Sept 2007 – May 2010) – Investment Analyst

Man Investments (formerly known as Glenwood Capital Investments, LLC) (Sept 2005 – Sept 2007) – Operational Due Diligence Analyst

Man Investments (formerly known as Glenwood Capital Investments, LLC) (Sept 2004 – Sept 2005) – Research Assistant

Houlihan Lokey Howard & Zukin (Jul 2003 – Sept 2004) – Financial Analyst

Disciplinary Actions

Ms. Katz Madera has not been involved in any legal or disciplinary event that would be material nor immaterial to a client's or prospective client's evaluation of the firm or integrity of Ross, Sinclair & Associates, LLC management or Investment Advisor Representative.

Other Business Activities and Additional Compensation

Lauren Katz Madera has no investment related outside business activities.

Lauren Katz Madera has non-investment related outside business activities. (Rental Property)

Supervision

Senior Advisor Stanley Katz is primarily responsible for supervision of Lauren Katz Madera. Advisory clients may contact Mr. Katz at (937) 293-5500.