

Jeff Garagliano

Year of Birth

- 1975

Education

- Colorado State University, B.A., Economics

Credentials & Licenses

- Registered Representative – Series 7
- General Securities Principal – Series 24
- Investment Advisor Representative – Series 66

Business Background

- Alliance Advisory & Securities, Inc.: 1998 – present
 - Investment Advisor Representative & Registered Representative
 - Chief Compliance Officer

Disciplinary Information

- None

Additional Compensation

- Jeff Garagliano is broker/dealer representative and is compensated by service fees from the sale of mutual funds. Receipt of such compensation creates an incentive to recommend investment products based on the compensation received, rather than on the client's needs.

Supervision

- Jeff Garagliano is part of the management team of Alliance Advisory & Securities, Inc. and is not supervised. However, all of Jeff Garagliano's trades are reviewed and supervised by the Compliance Department.